

**UTTARAKHAND ELECTRICITY REGULATORY COMMISSION**  
**1st Floor of Institution of Engineers (I), Near I.S.B.T., Majra, Dehradun-248002**  
**Draft Notification**  
**November 30, 2012**

In exercise of the powers conferred under Sub-section (1)(g) of Section 86 read with sub-section (2)(zg) & (2)(zp) of section 181 of the Electricity Act, 2003, and all other powers enabling it in this behalf, the Uttarakhand Electricity Regulatory Commission hereby makes the following amendments in the Uttarakhand Electricity Regulatory Commission (Fees and Fines) Regulations, 2002 (Principal Regulations), namely:

**1. Short Title, Commencement and Interpretation**

- (1) These Regulations may be called the Uttarakhand Electricity Regulatory Commission (Fees and Fines) (First Amendment) Regulations, 2012.
- (2) These shall come into force on the date of their publication in the official Gazette.

**2. Amendment of Schedule of Principal Regulation:**

- a. The Schedule referred to in Regulation 3(1), 4(2), 4(5) and Regulation 7 of the Principal Regulation and forming part of the Principal Regulation shall be replaced by the Schedule as appended to these Regulations.

**SCHEDULE**  
*{See Regulation (3)}*

<b>Sl. No.</b>	<b>Nature of Application/Petition</b>	<b>Fees (In Rs.)</b>
1.	Application for inspection of orders/records/documents of the Commission.	Rs. 200.00 (Rs. Two Hundred)/day for a period not exceeding three hours
2.	Petition for approval of investment in utility	Rs. 15,000.00 (Rs. Fifteen Thousand)
3.	Supply of certified copies of documents/orders of the Commission	Rs. 5.00 (Rs. Five)/page
4.	Miscellaneous Petitions not covered elsewhere.	

Sl. No.	Nature of Application/Petition	Fees (In Rs.)
(a)	If filed by licensee / generating company	Rs. 10,000.00 (Rs. Ten Thousand) per application
(b)	If filed by anybody other than licensee or individual	Rs. 1,000.00 (Rs. Thousand) per application
(c)	If filed by individual	Rs. 500.00 (Rs. Five Hundred) per application
<b>Part B - Resolution of disputes and complaints</b>		
1.	Adjudication of disputes and differences under the Electricity Act, 2003 and regulations specified thereunder.	
(a)	Referred by a Licensee or by a Generating Company using Conventional source of energy	Rs. 20,000.00 (Rs. Twenty Thousand)
(b)	Referred by a Generating Company using Non-conventional source of energy	Rs. 10,000.00 (Rs. Ten Thousand)
(c)	Referred by a Person owning a Captive Generating Plant	Rs. 10,000.00 (Rs. Ten Thousand)
<b>Part C - Grant of Licence</b>		
1.	Application/Processing Fee to accompany application for grant of Distribution and Retail Supply Licence, Transmission and Trading in electricity.	Fee as may be prescribed by the State Government under the Electricity Act, 2003
2.	Annual Licence Fee payable by the Distribution Licensee.	0.05% of amount billed during the immediate preceding financial year in the supply area of the licensee, payable initially at the time of grant of licence, and annually in each subsequent year during validity of the licence, the amount determined by similar calculations every year.
3.	Annual Licence Fee payable by the Transmission Licence.	Rs. 150 (Rs. One Hundred and Fifty) for every One Lac units of electricity or part thereof supplied or transmitted or handled in any other manner by the licensee in the immediately preceding financial year payable initially at the time of grant of Licence. For subsequent years during validity of the licence, the amount determined by similar calculations every year.
4.	Annual licence fee to be paid by trading licensee	Annual Fee based on the Volume of Electricity proposed to be traded per annum: 1. Upto 100 million units: Rs. 1.00 Lakh (Rs. One Lakh) 2. From 100 to 200 million units: Rs. 2.00 Lakh (Rs. Two Lakh) 3. Above 200 million units: Rs. 5.00 Lakh (Rs. Five Lakh)

Sl. No.	Nature of Application/Petition	Fees (In Rs.)
5.	Applications seeking prior approval under section 17 of the Electricity Act, 2003	Rs. 5,00,000.00 (Rs. Five Lakh)
6.	Application for amendment of Licence under Section 18 of the Electricity Act, 2003	
(a)	By licensee	Rs.1,00,000.00 (Rs. One Lakh)
(b)	By any person other than a licensee	Rs. 10,000.00 (Rs. Ten Thousand)
7.	Application seeking exemption from grant of licence.	Fee as may be specified on case to case basis at the time of filing of application/petition or at other appropriate time.
<b>Part D - Setting Tariff</b>		
1.	Approval of business plan of licensee/ generating companies / SLDC	Rs. 50,000.00 (Rs. Fifty Thousand)
2.	Annual determination of tariff under MYT for retail sale, transmission and wheeling of electricity and SLDC Fee and Charges	Rs. 1,00,000.00 (Rs. One lakh)
3.	Annual Performance Review of distribution and transmission licensee and SLDC under MYT	Rs. 1,00,000.00 (Rs. One lakh)
4.	Application for determination of generation tariff	
(a)	For Renewable/ Non-conventional generating stations having installed capacity more than 2MW.	Rs. 1,00,000.00 (Rs. One Lakh) for generating stations having installed capacity more than 2 MW and upto 10 MW and Rs. 5,00,000.00 (Rs. Five Lakh) for capacity more than 10 MW.
(b)	Conventional Fuel based plant including hydel plants with capacity above 25 MW	Rs. 10,00,000.00 for installed capacity upto 100 MW and Rs. 10,000.00 for each additional MW or part thereof the installed capacity of the generating station.
5.	Annual Performance Review of Conventional Fuel based plant including hydel plants with capacity above 25 MW under MYT	Rs. 5,00,000.00 for installed capacity upto 100 MW and Rs. 5,000.00 for each additional MW or part thereof the installed capacity.
6.	Application for approval of Power Purchase Agreement for a period exceeding one year	
(a)	Conventional Fuel based plant including hydel plants with capacity above 25 MW	Rs. 25,000.00 per MW or part thereof [Minimum Rs. 2,00,000 and Maximum Rs. 10,00,000]
(b)	Renewable Generating sources/Co gen having installed capacity more than 2MW and upto 25 MW.	Rs. 10,000.00 per MW or part thereof [Minimum Rs. 50,000 and Maximum Rs. 5,00,000]

Sl. No.	Nature of Application/Petition	Fees (In Rs.)
<i>Part E - Review</i>		
1.	Petition/ Application for review of order for approval of investment in utilities.	Rs. 5,000.00 (Rs. Five Thousand)
2.	Application for review of order on adjudication of disputes and differences between licensees and generating companies and between licensees.	25% of the fee paid for original application
3.	Fee to accompany review application/petition for review of order on application/petition for grant of Distribution, Transmission or Trading Licence.	Rs. 50,000.00 (Rs. Fifty Thousand)
4.	Review petition for order on determination of generation tariff	
(a)	Conventional Fuel based plant including hydel plants with capacity above 25 MW	Rs. 50,000.00 (Rs. Fifty Thousand)
(b)	Renewable Generating sources/Co gen having installed capacity more than 2MW and upto 25 MW.	Rs. 5,000.00 (Rs. Five Thousand)
5.	Fee to accompany review petition/application for review of order on Tariff Petition/Application by distribution and transmission licensees and SLDC.	Rs. 50,000.00 (Rs. Fifty Thousand)
6.	Fee to accompany Petition/ Application for review of order for approval of Power Purchase and procurement process from	
(a)	Conventional Fuel based plant including hydel plants with capacity above 25 MW	Rs. 50,000.00 (Rs. Fifty Thousand)
(b)	Renewable Generating sources/Co gen having installed capacity more than 2MW and upto 25 MW.	Rs. 25,000.00 (Rs. Twenty Five Thousand)
7.	Application for review or reconsideration of any order of the Commission not covered elsewhere	Rs. 1,000.00 (Rs. One Thousand)