

INDEX OF FORMATS

Formats for Transmission

S.No.	Format No.	Description
1	Form 1	Aggregate Revenue Requirement
2	Form 2	Return on Equity
3	Form: 2.2	Details of Additional RoE
4	Form 3	Details of Transmission Lines and Sub-Stations
5	Form 4	Transmission Losses
6	Form 5	Transmission Availability Factor
7	Form 6	Income from investments, Non-tariff income and Other Business
8	Form 7	Open Access related charges
9	Form 8	Operations and Maintenance Expenses
10	Form 8.1	Employee Expenses
11	Form 8.2	Repair & Maintenance Expenditure
12	Form 8.3	Administration & General Expenses
13	Form 9.1	Statement of Total Gross Fixed Asset
14	Form 9.2	Deposit works and grants/subsidies towards cost of capital assets
15	Form 9.3	Statement of GFA funded through Deposit Works/Capital Subsidy/Grant
16	Form 9.4	Statement of GFA excluding Assets funded through Deposit Works/Capital Subsidy/Grant
17	Form: 9.5	Element wise Break-up of Project/Asset/Element Cost for Transmission System or Communication System
18	Form: 9.6	Break-up of Construction/Supply/Service Packages
19	Form: 9.7	Details of elementwise cost of the project
20	Form: 9.8	Statement of Additional Capitalisation after COD
21	Form: 9.9	Financing of Additional Capitalisation
22	Form: 9.A	Incidental Expenditure during Construction
23	Form: 9.B	Statement of De-capitalisation
24	Form 10.1	Statement of Asset wise Depreciation
25	Form: 10.2	Statement of Depreciation
26	Form: 11.1	Statement of Capital Expenditure
27	Form: 11.2	Statement of Capital Works-in-Progress
28	Form: 11.3	Statement of Capital Expenditure & Schedule of Completion of New Schemes
29	Form: 11.4	Break-up of Scheme-wise Capital Expenditure for New Schemes
30	Form: 12	Draw Down Schedule for Calculation of IDC & Financing Charges
31	Form: 13	Details of Capital Cost and Financing Structure
32	Form: 14	Details of Financial Packages
33	Form: 14.1	Financial Package upto COD
34	Form: 15.1	Statement of Outstanding Loans
35	Form: 15.2	Calculation of Weighted Average Interest Rate of Interest on Actual Loans *
36	Form: 15.3	Calculation of Interest on Normative Loan
37	Form 16	Interest and Finance Charges
38	Form 17	Details of Interest on Working Capital
39	Form 18	Investment Plan
40	Form 19	Investment Plan
41	Form 20	Summary of Truing Up
42	Form 21.1	Shunt Capacitor Addition / Repair Program
43	Form 21.2	Electrical Accidents
44	Form 21.3	Failure of Transformers

Name of Transmission Licensee _____

Form 2

Return on Equity

(Figures in Rs Crore)

S.No.	Item	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
		(Actuals / Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected
1	Equity at the beginning of the year							
2	Capital Expenditure							
3	Equity portion of capital expenditure							
4	Equity at the end of the year							
	Return Computation							
5	Return on Equity							

Petitioner

Name of Transmission Licensee _____

Form: 2.2

Details of Additional RoE

(Figures in Rs Crore)

Project/ Element	Completion Time as per Investment approval			Actual Completion time			Qualifying time schedule(as per regulation) (in months)
	Start Date	Scheduled COD (Date)	Months	Start Date	Actual COD (Date)	Months	
1							
2							
3							
4							
....							
.....							

Petitioner

Name of Transmission Licensee _____

Form 3

Details of Transmission Lines and Sub-Stations

Transmission Lines

(Figures in Rs Crore)

S No.	Name of Line	Type of Line AC/ HVDC	S/C or D/C	No. of Sub-conductor	Voltage Level kV	Line Length Ckt Kms	Date of Commercial Operation	Covered in the Present Petition	
								Yes / No	If No, Petition No. and Year
1									
2									
3									
4									
-									
-									

Sub-Stations

S No.	Name of Sub-Station	Type of Sub-Station Conventional/GIS/ HVDC terminal/ HVDC back to back	Voltage Level kV	No. of Transformer s/Reactors/SVC, etc. (with capacity)	No. of Bays			Date of Commercial Operation	Covered in the Present Petition	
					400 kV	220 kV	132 kV & below		Yes / No	If No, Petition No. and Year
1										
2										
3										
4										
-										
-										

Petitioner

Name of Transmission Licensee _____

Form 4

Transmission Losses

(Figures in Rs Crore)

S.No	Loss Calculation	Previous Year (n-1) (Actuals / Audited)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
			Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected
1	Total Energy Delivered by Generating Stations in the State and interstate tie-lines to the Transmission System of the Licensee (MU)							
2	Energy Delivered by Grid S/Ss to Distribution Licensees/EHT Consumers (MU)							
3	Transmission loss in system (1-2)							
4	Transmission loss in system (%) {(1-2)/1}							

Assumptions made, if any, should be clearly indicated at appropriate places.

Petitioner

Name of Transmission Licensee _____

Form 5

Transmission Availability Factor

(Figures in Rs Crore)

S.No	Particulars	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
		(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected
1	Transmission Availability Factor							

Petitioner

Name of Transmission Licensee _____

Form 6

Income from investments, Non-tariff income and Other Business

(Figures in Rs Crore)

S.No	Particulars	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
		(Actuals / Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected
A	Income from Investments							
1	Interest Income from Investments							
2	Interest on fixed deposits							
3	Interest from Banks other than Fixed Deposits							
4	Interest on any other items							
	Sub-Total (a)							
B	Other Non Tariff Income							
1	Interest on loans and Advances to Staff							
2	Interest on Loans and Advances to Lessors							
3	Interest on Advances to Suppliers / Contractors							
4	Gain on Sale of Fixed Assets							
5	Income/Fee/Collection against staff welfare activities							
6	Revenue from surcharges for late payment							
7	Revenue from surcharge for low power factor and other penal charges							
8	Miscellaneous receipts							
9	Misc. charges from consumers							
	Sub-Total (b)							
C	Income from Other Business							
	Income from other business under Section 41 of EA, 2003							
	Total (a)+(b)+(c)							

Petitioner

Name of Transmission Licensee _____

Form 7

Open Access related charges

(Figures in Rs Crore)

S.No	Particulars	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
		(Actuals / Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected
1	Grid support charges							
2	Reactive energy drawl charges							
3	Scheduling and system operation charges							
4	Inter-state transmission charges (for open access consumers)							
5	Handling and Service Charges							
6	Any other levies as approved by the Commission							
	Total							

Petitioner

Name of Transmission Licensee _____

Form 8

Maintenance Expenses

(Figures in Rs Crore)

S.No.	Item	Previous Year (n-6)	Previous Year (n-5)	Previous Year (n-4)	Previous Year (n-3)	Previous Year (n-2)	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
		(Actuals/ Audited)	(Actuals/ Audited)	(Actuals/ Audited)	(Actuals/ Audited)	(Actuals/ Audited)	(Actuals/ Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected
1	Employee Expenses												
2	Adminstrative & General Costs												
3	Repair & Maintenance Expenses												
	Sub-total (1 to 3)												

Petitioner

**Form 8.1
Employee Expenses**

(Figures in Rs Crore)

S.No	Particulars	Previous Year (n-6)	Previous Year (n-5)	Previous Year (n-4)	Previous Year (n-3)	Previous Year (n-2)	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
		(Actuals/ Audited)	(Actuals/ Audited)	(Actuals/ Audited)	(Actuals/ Audited)	(Actuals/ Audited)	(Actuals/ Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected	
A	Employee's Cost (Other Than Covered In 'C' & 'D')													
1	Salaries													
2	Additional Pay / Dearness Allowance (DA)													
3	Other Allowances & Relief													
4	Interim Relief / Wage Revision													
5	Honorarium/Overtime													
6	Statutory bonus/ Ex-gratia													
	Sub Total													
B	Other Costs													
1	Medical Expenses Reimbursement													
2	Travelling Allowance(Conveyance Allowance)													
3	Leave Travel Assistance													
4	Earned Leave Encashment													
5	Payment Under Workman's Compensation And Gratuity													
6	Subsidised Electricity To Employees													
7	Any Other Item													
8	Staff Welfare Expenses													
	Sub Total													
C	Apprentice And Other Training Expenses													
D	Contribution To Terminal Benefits													
1	Provident Fund Contribution													
2	Provision for PF Fund													
3	Any Other Items													
	Total C													
E	Grand Total													
F	Employee expenses capitalised													
G	Net Employee expenses (E)-(F)													

B. Details of number of employees

S. No.	Item	Previous Year (n-6)	Previous Year (n-5)	Previous Year (n-4)	Previous Year (n-3)	Previous Year (n-2)	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
		(Actuals/ Audited)	(Actuals/ Audited)	(Actuals/ Audited)	(Actuals/ Audited)	(Actuals/ Audited)	(Actuals/ Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected	
A	Officer/Managerial Cadre													
1	Technical													
2	Administrative													
3	Accounts and finance													
4	Other (Please specify)													
B	Staff Cadre													
5	Technical													
5.1	Grade I													
5.2	Grade II													
5.3	Grade III													
5.4	Grade IV													
6	Administrative													
6.1	Grade I													
6.2	Grade II													
6.3	Grade III													
6.4	Grade IV													
7	Accounts and finance													
7.1	Grade I													
7.2	Grade II													
7.3	Grade III													
7.4	Grade IV													
8	Others (please specify)													
8.1	Grade I													
8.2	Grade II													
8.3	Grade III													
8.4	Grade IV													
	Total Employees													

Name of Transmission Licensee _____

Form 8.2

Repair & Maintenance Expenditure

(Figures in Rs Crore)

S. No	Particulars	Previous Year (n-6)	Previous Year (n-5)	Previous Year (n-4)	Previous Year (n-3)	Previous Year (n-2)	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
		(Actuals / Audited)	(Actuals / Audited)	(Actuals / Audited)	(Actuals / Audited)	(Actuals / Audited)	(Actuals / Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected	
1	Plant and Machinery													
2	Building													
3	Civil Works													
4	Hydraulic Works													
5	Lines, Cables Net Works etc.													
6	Vehicles													
7	Furniture and Fixtures													
8	Office Equipments													
9	Station Supplies													
10	OtherCredits to R&M Charges													
	Total (1 to 10)													

Petitioner

Form 9.1 Statement of Total Gross Fixed Asset

Previous year (n-1)

(Figures in Rs Crore)

Particulars of Assets	Opening balance	Additions during the year	Retirement of assets during the year	Closing balance
a) Land				
b) Building				
c) and so on (As per categorisation given in the Regulations)				
Total				

Current Year (n)

(Figures in Rs Crore)

Particulars of Assets	Opening Balance	Additions of assets during the year		Retirement of assets during the year		Closing balance
		Apr-Sep (Actual)	Oct-Mar (Estimated)	Apr-Sep (Actual)	Oct-Mar (Estimated)	
a) Land						
b) Building						
c) and so on (As per categorisation given in the Regulations)						
Total						

Ensuing year (n +1)

(Figures in Rs Crore)

Particulars of Assets	Opening balance	Additions during the year	Retirement of assets during the year	Closing balance
a) Land				
b) Building				
c) and so on (As per categorisation given in the Regulations)				
Total				

Form 9.1
Statement of Total Gross Fixed Asset

Ensuing year (n +2)

(Figures in Rs Crore)

Particulars of Assets	Opening balance	Additions during the year	Retirement of assets during the year	Closing balance
a) Land				
b) Building				
c) and so on (As per categorisation given in the Regulations)				
Total				

Ensuing year (n +3)

(Figures in Rs Crore)

Particulars of Assets	Opening balance	Additions during the year	Retirement of assets during the year	Closing balance
a) Land				
b) Building				
c) and so on (As per categorisation given in the Regulations)				
Total				

Petitioner

Name of Transmission Licensee _____

Form 9.2

Deposit works and grants/subsidies towards cost of capital assets

(Figures in Rs Crore)

S.No	Particulars	Previous Year (n-1)			Current Year (n)		Ensuing Year (n+1)		Ensuing Year (n+2)		Ensuing Year (n+3)	
		Balance at the start of the year	Additions during the Year	Balance at the end of the Year	Additions during the Year	Balance at the end of the Year	Additions during the Year	Balance at the end of the Year	Additions during the Year	Balance at the end of the Year	Additions during the Year	Balance at the end of the Year
1	Consumer Contribution / deposit works Towards Cost Of Capital Assets											
2	Grant/subsidies Towards Cost Of Capital Assets											
	Total											

Petitioner

Name of Transmission Licensee _____

Form 9.3
Statement of GFA funded through Deposit Works/Capital Subsidy/Grant

Previous year (n-1)

(Figures in Rs Crore)

Particulars of Assets	Opening balance	Additions during the year		Retirement of assets during the year		Closing balance
		Apr-Sep (Actual)	Oct-Mar (Estimated)	Apr-Sep (Actual)	Oct-Mar (Estimated)	
a) Land						
b) Building						
c) Major Civil Works						
d) Plant & Machinery						
e) Lines and Cable Network						
f) Vehicles						
g) Furniture and Fixtures						
h) Office Equipment & Other Items						
Total						

Current Year (n)

(Figures in Rs Crore)

Particulars of Assets	Opening Balance	Additions of assets during the year		Retirement of assets during the year		Closing balance
		Apr-Sep (Actual)	Oct-Mar (Estimated)	Apr-Sep (Actual)	Oct-Mar (Estimated)	
a) Land						
b) Building						
c) Major Civil Works						
d) Plant & Machinery						
e) Lines and Cable Network						
f) Vehicles						
g) Furniture and Fixtures						
h) Office Equipment & Other Items						
Total						

Form 9.3**Statement of GFA funded through Deposit Works/Capital Subsidy/Grant**

Ensuing year (n +1)

(Figures in Rs Crore)

Particulars of Assets	Opening balance	Additions during the year	Retirement of assets during the year	Closing balance
a) Land				
b) Building				
c) Major Civil Works				
d) Plant & Machinery				
e) Lines and Cable Network				
f) Vehicles				
g) Furniture and Fixtures				
h) Office Equipment & Other Items				
Total				

Ensuing year (n +2)

(Figures in Rs Crore)

Particulars of Assets	Opening balance	Additions during the year	Retirement of assets during the year	Closing balance
a) Land				
b) Building				
c) Major Civil Works				
d) Plant & Machinery				
e) Lines and Cable Network				
f) Vehicles				
g) Furniture and Fixtures				
h) Office Equipment & Other Items				
Total				

Ensuing year (n +3)

(Figures in Rs Crore)

Particulars of Assets	Opening balance	Additions during the year	Retirement of assets during the year	Closing balance
a) Land				
b) Building				
c) Major Civil Works				
d) Plant & Machinery				
e) Lines and Cable Network				
f) Vehicles				
g) Furniture and Fixtures				
h) Office Equipment & Other Items				
Total				

Petitioner

Form 9.4**Statement of GFA excluding Assets funded through Deposit Works/Capital Subsidy/Grant****Previous year (n-1)**

(Figures in Rs Crore)

Particulars of Assets	Opening balance	Additions during the year		Retirement of assets during the year		Closing balance
a) Land						
b) Building						
c) Major Civil Works						
d) Plant & Machinery						
e) Lines and Cable Network						
f) Vehicles						
g) Furniture and Fixtures						
h) Office Equipment & Other Items						
Total						

Current Year (n)

(Figures in Rs Crore)

Particulars of Assets	Opening Balance	Additions of assets during the year		Retirement of assets during the year		Closing balance
		Apr-Sep (Actual)	Oct-Mar (Estimated)	Apr-Sep (Actual)	Oct-Mar (Estimated)	
a) Land						
b) Building						
c) Major Civil Works						
d) Plant & Machinery						
e) Lines and Cable Network						
f) Vehicles						
g) Furniture and Fixtures						
h) Office Equipment & Other Items						
Total						

Ensuing year (n +1)

(Figures in Rs Crore)

Particulars of Assets	Opening balance	Additions during the year		Retirement of assets during the year		Closing balance
a) Land						
b) Building						
c) Major Civil Works						
d) Plant & Machinery						
e) Lines and Cable Network						
f) Vehicles						
g) Furniture and Fixtures						
h) Office Equipment & Other Items						
Total						

Form 9.4**Statement of GFA excluding Assets funded through Deposit Works/Capital Subsidy/Grant****Ensuing year (n +2)**

(Figures in Rs Crore)

Particulars of Assets	Opening balance	Additions during the year	Retirement of assets during the year	Closing balance
a) Land				
b) Building				
c) Major Civil Works				
d) Plant & Machinery				
e) Lines and Cable Network				
f) Vehicles				
g) Furniture and Fixtures				
h) Office Equipment & Other Items				
Total				

Ensuing year (n +3)

(Figures in Rs Crore)

Particulars of Assets	Opening balance	Additions during the year	Retirement of assets during the year	Closing balance
a) Land				
b) Building				
c) Major Civil Works				
d) Plant & Machinery				
e) Lines and Cable Network				
f) Vehicles				
g) Furniture and Fixtures				
h) Office Equipment & Other Items				
Total				

Petitioner

Name of Transmission Licensee _____

Form: 9.5

Element wise Break-up of Project/Asset/Element Cost for Transmission System or Communication System

(Figures in Rs Crore)

Sl. No. (1)	Cost in Rs. Lakh									
	As per Original Estimates (3)			Actual Capital Expenditure as on COD (4)			Liabilities/ Provisions (5)	Variation (6=3-4-5)	Reasons for Variation (7)	Admitted Cost (8)
	Quantity	Rate	Estimated Amount	Quantity	Rate	Estimated Amount				
A	TRANSMISSION LINE									
1.0	Preliminary works									
1.1	Design & Engineering									
1.2	Preliminary Investigation, Right of way, forest clearance, PTCC, general civil works etc.									
1.3	Total Preliminary works									
2.0	Transmission Lines material									
2.1	Towers Steel									
2.2	Conductor									
2.3	Earth Wire									
2.4	Insulators									
2.5	Hardware Fittings									
2.6	Conductor & Earth wire accessories									
2.7	Spares									
2.8	Erection, Stringing & Civil works including foundation									
	Total Transmission Lines material									
3.0	Taxes and Duties									
3.1	Custom Duty									
3.2	Other Taxes & Duties									
	Total Taxes & Duties									
	Total -Transmission lines									
B.	SUBSTATIONS									
4.0	Preliminary works & land									
4.1	Design & Engineering									
4.2	Land									
4.3	Site preparation									
	Total Preliminary works & land									

Sl. No. (1)	Cost in Rs. Lakh									
	As per Original Estimates (3)			Actual Capital Expenditure as on COD (4)			Liabilities/ Provisions (5)	Variation (6=3-4-5)	Reasons for Variation (7)	Admitted Cost (8)
	Quantity	Rate	Estimated Amount	Quantity	Rate	Estimated Amount				
5.0	Civil Works									
5.1	Control Room & Office Building including HVAC									
5.2	Township & Colony									
5.3	Roads and Drainage									
5.4	Foundation for structures									
5.5	Misc. civil works									
	Total Civil Works									
6.0	Substation Equipments									
6.1	Switchgear (CT,PT, Circuit Breaker, Isolator etc)									
6.2	Transformers									
6.3	Compensating Equipment(Reactor, SVCs etc)									
6.4	Control , Relay & Protection Panel									
6.5	PLCC									
6.6	HVDC package									
6.7	Bus Bars/ conductors/Insulators									
6.8	Outdoor lighting									
6.9	Emergency D.G. Set									
6.1	Grounding System									
6.11	Structure for switchyard									
	Total Substation Equipments									
7.00	Spares									
8.00	Taxes and Duties									
8.1	Custom Duty									
8.2	Other Taxes & Duties									
8.3	Total Taxes & Duties									
	Total (Sub-station)									
C	Communication System									
9.1	Preliminary Works									
9.2	Communication System equipment's									
9.3	Taxes and Duties									
	Total									
	(Communication System)									

Sl. No. (1)	Cost in Rs. Lakh									
	As per Original Estimates (3)			Actual Capital Expenditure as on COD (4)			Liabilities/ Provisions (5)	Variation (6=3-4-5)	Reasons for Variation (7)	Admitted Cost (8)
	Quantity	Rate	Estimated Amount	Quantity	Rate	Estimated Amount				
10.0	Construction and pre-commissioning expenses									
10.1	Site supervision & site administration etc.									
10.2	Tools and Plants									
10.3	construction Insurance									
	Total Construction and pre commissioning expenses									
11.0	Overheads									
11.1	Establishment									
11.2	Audit & Accounts									
11.3	Contingency									
	Total Overheads									
12.0	Cost of Plant & Machinery									
13.0	Capital Cost including Plant & Machinery									
13.1	Interest During Construction (IDC)									
13.2	Financing Charges (FC)									
13.3	Foreign Exchange Rate Variation (FERV)									
13.4	Hedging Cost									
	Total of IDC, FC, FERV & Hedging Cost									
	Capital cost including IDC, FC, FERV & Hedging Cost									

Note:
1. In case of cost variation, a detailed note giving reasons of such variation should be submitted clearly indicating whether such cost over- run was beyond the control of the transmission licensee.
2. Separate details of free hold/lease hold land should be submitted.

Petitioner

Name of Transmission Licensee _____

Form: 9.6

Break-up of Construction/Supply/Service Packages

(Figures in Rs Crore)

Name/No. of Construction/supply/service package	Scope of works ¹ (in line with head of cost break-ups as applicable)	Whether awarded through ICB/DCB/ Departmentally/ Deposit Work, etc.	No. of bids received	Date of Award	Date of Start of work	Date of Completion of Work	Value of Award ² in (Rs. Lakh).	Firm or With Escalation in prices	Actual expenditure till the completion or up to COD whichever is earlier (Rs. Lakh)	Taxes & Duties and IEDC (Rs. Lakh)	IDC, FC, FERV & Hedging cost (Rs. Lakh)	Sub-Total (Rs. Lakh)

Note:
1 The scope of work in any package should be indicated in conformity of cost break-up in Form-9.7 to the extent possible.
2 If there is any package, which need to be shown in Indian Rupee and foreign currency(ies), the same should be shown separately along with the currency, the exchange rate and the date e.g. Rs. 800 Lakh. + US\$ 5m=Rs. 3900 Lakh. at US\$=Rs62 as on say 01.04.14.

Petitioner

Name of Transmission Licensee _____

Form: 9.7

Details of elementwise cost of the project

(Figures in Rs Crore)

Name of line	AppORTIONED approved cost (Rs. Lakh)	Revised cost estimates, if applicable(Rs. Lakh)	Amount capitalized /Proposed to be capitalized	Covered in the present petition	
				Yes/No	If No, petition No.

Name of Sub-station	AppORTIONED approved cost (Rs. Lakh)	Revised cost estimates, if applicable(Rs. Lakh)	Completed Cost (Rs. Lakh)	Covered in the present petition	
				Yes/No	If No, petition No.

Name of Communication System	AppORTIONED approved cost (Rs. Lakh)	Revised cost estimates, if applicable(Rs. Lakh)	Completed Cost (Rs. Lakh)	Covered in the present petition	
				Yes/No	If No, petition No.

Petitioner

Name of Transmission Licensee _____

Form: 9.8

Statement of Additional Capitalisation after COD

(Figures in Rs Crore)

Sr. No.	Year	Work/Equipment proposed to be added after COD upto Cut off Date/beyond Cut- off Date	Amount capitalized /Proposed to be capitalized	Justification	Regulations under which covered	Admitted Cost ¹
1						
3						
4						
5						

1. In case the project has been completed and any tariff notification(s) has already been issued in the past, fill column 7 giving the cost as admitted for the purpose of tariff notification already issued by (Name of the authority) (Enclose copy of the tariff Order).

Note:

- Fill the form in chronological order year wise along with detailed justification clearly bringing out the necessity and the benefits accruing to the beneficiaries.
- In case initial spares are purchased along with any equipment, then the cost of such spares should be indicated separately.
- In case of de-capitalisation of assets separate details to be furnished. Further, the original book value and year of capitalisation of such asset to be furnished. Where de-caps are on estimated basis the same to be shown separately.

Petitioner

Name of Transmission Licensee _____

Form: 9.9

Financing of Additional Capitalisation

(Figures in Rs Crore)

Financial Year (Starting from COD)	Actual/Projected					Admitted				
	Year1	Year2	Year3	Year4	Year 5 & So on	Year1	Year2	Year3	Year4	Year 5 & So on
1	2	3	4	5	6	7	8	9	10	11
Amount capitalised in Work/Equipment										
Financing Details										
Loan-1										
Loan-2										
Loan-3 and so on										
Total Loan										
Equity										
Internal Resources										
Others										
Total										

Note:

1 Year 1 refers to Financial Year of COD in case of new elements. For existing elements it is from 2014-15 and Year 2, Year 3 etc. are the subsequent financial years respectively.

2 Loan details for meeting the additional capitalisation requirement should be given as per FORM-9 or 9(A) whichever is relevant.

Petitioner

Name of Transmission Licensee _____

Form: 9.A

Incidental Expenditure during Construction

(Figures in Rs Crore)

Sr. No.	Parameters	Year -1	Year-2	Year 3	Year-4	Year-5
A	Expenses:					
1	Employees' Remuneration & Benefits					
2	Finance Costs					
3	Water Charges					
4	Communication Expenses					
5	Power Charges					
6	Other Office and Administrative Expenses					
7	Others (Please Specify Details)					
8	Other pre-Operating Expenses					
					
B	Total Expenses					
	Less: Income from sale of tenders					
	Less: Income from guest house					
	Less: Income recovered from Contractors					
	Less: Interest on Deposits					
					

Note:IEDC should be duly reconciled with the corresponding figures of Auditor's Certificate.

Petitioner

Name of Transmission Licensee _____

Form: 9.B

Statement of De-capitalisation

(Figures in Rs Crore)

Sr. No.	Year of De-capitalisation	Work/Equipment proposed to be De-capitalised	Year of capitalisation of asset/equipment being decapitalised	Original Book Value of the asset being decapitalised	Debt Equity ratio at the time of capitalisation	Cumulative Depreciation corresponding to decapitalisation	DCumulative Repayment of Loan corresponding to decapitalisation	Justification
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
1								
2								
3								
4								
5								

Petitioner

Form 10.1 Statement of Asset wise Depreciation

Previous year (n-1)

(Figures in Rs Crore)

Particulars of Assets	Rate of Depreciation in %	Accumulated depreciation at the beginning of the year	Depreciation provided for the year		Withdrawals during the year	Balance of accumulated depreciation at the end of the year
			Apr-Sep (Actual)	Oct-Mar (Estimated)		
a) Land						
b) Building						
c) and so on (As per categorisation given in the Regulations)						
Total						

Current Year (n)

(Figures in Rs Crore)

Particulars of Assets	Rate of Depreciation in %	Accumulated depreciation at the beginning of the year	Depreciation provided for the year		Withdrawals during the year		Balance of accumulated depreciation at the end of the year
			Apr-Sep (Actual)	Oct-Mar (Estimated)	Apr-Sep (Actual)	Oct-Mar (Estimated)	
a) Land							
b) Building							
c) and so on (As per categorisation given in the Regulations)							
Total							

Ensuing year (n +1)

(Figures in Rs Crore)

Particulars of Assets	Rate of Depreciation in %	Accumulated depreciation at the beginning of the year	Depreciation provided for the year		Withdrawals during the year	Balance of accumulated depreciation at the end of the year
			Apr-Sep (Actual)	Oct-Mar (Estimated)		
a) Land						
a) Land						
b) Building						
c) and so on (As per categorisation given in the Regulations)						
Total						

Form 10.1**Statement of Asset wise Depreciation**

Ensuing year (n +2)

(Figures in Rs Crore)

Particulars of Assets	Rate of Depreciation in %	Accumulated depreciation at the beginning of the year	Depreciation provided for the year	Withdrawals during the year	Balance of accumulated depreciation at the end of the year
a) Land					
b) Building					
c) and so on (As per categorisation given in the Regulations)					
Total					

Ensuing year (n +3)

(Figures in Rs Crore)

Particulars of Assets	Rate of Depreciation in %	Accumulated depreciation at the beginning of the year	Depreciation provided for the year	Withdrawals during the year	Balance of accumulated depreciation at the end of the year
a) Land					
b) Building					
c) and so on (As per categorisation given in the Regulations)					
Total					

Petitioner

Name of Transmission Licensee _____

Form: 10.2
Statement of Depreciation

(Figures in Rs Crore)

Financial Year	Upto 2000-01	2001-02	2002-03	2003-04	2004-05	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16
Depreciation on Capital Cost																
Depreciation on Additional Capitalisation																
Amount of Additional Capitalisation																
Depreciation Amount																
Detail of FERV																
Amount of FERV on which Depreciation is charged																
Depreciation Amount																
Depreciation recovered during the year																
Advance against Depreciation recovered during the year																
Depreciation and Advance against Depreciation recovered during the year																
Cummulative Depreciation and Advance against Depreciation recovered upto the year																

Petitioner

Form: 11.1
Statement of Capital Expenditure

(Figures in Rs Crore)

Particulars	FY of Capitalisation	Previous Year (n-1)	Current Year (n)			Remarks+	Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks+	Total Expenditure Approved by Competent Authority	Total Expenditure Actually Incurred	Remarks++
		Actual / Audited	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)		Projected	Projected	Projected				
A) Expenditure Details													
a) Land													
b) Building													
c) Major Civil Works													
d) Plant & Machinery													
e) Lines and Cable Network													
f) Vehicles													
g) Furniture and Fixtures													
h) Office Equipment & Other Items													
Total (A)													
B) Break up of sources of financing													
Rupee term Loan													
Debt 1													
Debt 2													
....													
Foreign Currency Loan													
Debt 1													
Debt 2													
....													
Equity													
In Rupees													
In Foreign Currency													
Others (Please specify)													
Total (B)													

Note:

- i) The break up wherever required and necessary may be given in respect of Loans and Equity financing supported with respective documentation.
ii) Copies of Approval from competent authorities (if required) may be furnished in respect of cost of each Scheme, its components and scheme of finance.
iii) Remarks+: Explain for the causes of deviation if the actual expenditure during the current year is expected to be different from that approved by UERC or other authorised agencies
iv) Remarks++: Explain for the causes of deviation if the total actual expenditure is different from that approved by UERC or the authorised agencies

Petitioner

Name of Transmission Licensee _____

Form: 11.2

Statement of Capital Works-in-Progress

(Figures in Rs Crore)

Particulars	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
	Actual / Audited	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected	
(A) Opening balance of CWIP								
(a) Opening balance of CWIP as per books								
(b) Amount of capital liabilities in (a) above								
(c) Amount of (i) IDC (ii) FC (iii) FERV & (iv) Hedging cost included in (a) above								
(B) Add: Addition/Adjustment								
(a) Add: Addition/Adjustment in CWIP Amount during the period								
(b) Amount of capital liabilities in (a) above								
(c) Amount of (i) IDC (ii) FC (iii) FERV & (iv) Hedging cost included in (a) above								
(C) Expenses Capitalised								
(a) Capitalization/Transfer to Fixed asset of CWIP Amount during the period								
(b) Amount of capital liabilities in (a) above								
(c) Amount of (i) IDC (ii) FC (iii) FERV & (iv) Hedging cost included in (a) above								
(D) Closing balance of CWIP								
(a) Closing CWIP Amount as per books								
(b) Amount of capital liabilities in (a) above								
(c) Amount of (i) IDC (ii) FC (iii) FERV & (iv) Hedging cost in (a) above								

Petitioner

Name of Transmission Licensee _____

Form: 11.3

Statement of Capital Expenditure & Schedule of Completion of New Schemes

(Figures in Rs Crore)

Name of the Line / Sub-Station:				
		Amount		
Capital Cost				
Foreign Exchange Rate considered for capital cost estimates				
Cost Details		Amount	Exchange Rate	Amount (Rs. Crore)
A) Basic Cost				
Foreign Component (in Foreign Currency)				
Domestic Component				
Total Basic Cost	a			
B) IDC &FC				
Foreign Component (in Foreign Currency)				
Indian Component				
Total IDC &FC	b			
C) Total Cost (Including IDC and FC)		c=(a+b)		

Petitioner

Name of Transmission Licensee _____

Form: 11.4
Break-up of Scheme-wise Capital Expenditure for New Schemes

Name of the Line / Sub-Station: _____

(Figures in Rs Crore)

Particulars	Total Expenditure Approved by Competent Authority	Previous year (n-1)	Current Year (n)		Total Expenditure Incurred upto Current Year	Total Expenditure supposed to be incurred till current year, as per the approved plan	Difference between column 6 and 7	Remarks+	Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
			Expenditure Actually Incurred	Apr-Sep (Actual)							
1	2	3	4	5	6	7	8	9	10	11	12
A) Expenditure Details											
a) Land											
b) Building											
c) Major Civil Works											
d) Plant & Machinery											
e) Lines and Cable Network											
f) Vehicles											
g) Furniture and Fixtures											
h) Office Equipment & Other Items											
Total (A)											
B) Break up of sources of financing											
a) Loans/ Borrowings											
b) Equity											
c) Others (Please specify)											
Total (B)											

Note:

ii) Remark+: Explain for the causes of difference between 6 and 7.

Petitioner

Sl. No.	Draw Down Particulars	Quarter 1			Quarter 2			Quarter n (Quarter of Completion and Energisation)		
		Quantum in Foreign Currency	Exchange Rate on Draw Down Date	Amount in Indian Rupee	Quantum in Foreign Currency	Exchange Rate on Draw Down Date	Amount in Indian Rupee	Quantum in Foreign Currency	Exchange Rate on Draw Down Date	Amount in Indian Rupee
1.2.2	Indian Loan 2									
	Draw Down Amount									
	IDC									
	Financing Charges									
1.2.n	Indian Loan n									
	Draw Down Amount									
	IDC									
	Financing Charges									
1.2	Total Indian Loans									
	Draw Down Amount									
	IDC									
	Financing Charges									
1	Total of Loans Drawn									
	IDC									
	Financing Charges									
	FERV									
	Hedging Cost									
2	Equity									
2.1	Foreign Equity Drawn									
2.2	Indian Equity Drawn									
2	Total Equity Deployed									

Note: 1. Drawl of Debt and Equity shall be on pari passu basis quarter wise to meet the Completion schedule. Drawl of higher Equity in beginning is permissible.
2. Applicable Interest Rates including reset dates used for computation may be furnished separately.

Petitioner

Name of Transmission Licensee _____

Form: 13
Details of Capital Cost and Financing Structure

(Figures in Rs Crore)

Year ending March	FY of Capitalisation	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
		Actual / Audited	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected	
Basic Project Financial Parameters									
Capital Cost*									
Addition during the year									
Deletion during the year									
Gross Capital Cost (A)									
Equity against Original Project Cost									
Addition during the year									
Equity Sub-Total (B)									
Debt outstanding against the original Capital cost									
New Loans added during the year									
Debt Sub-Total (C)									
Grants against original project cost									
Addition during the year									
Grants Sub-Total (D)									
Total Financing (B+C+D)									

Note :

- i) *Approved or Actual Capital Cost whichever is less.
- ii) The equity and loan shall be divided into foreign and domestic component if applicable.

Petitioner

Name of Transmission Licensee _____

Form: 14
Details of Financial Packages

(Figures in Rs Crore)

Source of Funds	Amount in FC	Exchange Rate	Amount in Indian Currency	Terms of Repayment	Grace Period	Interest Rate / Return on Equity	Guarantee Commission	Upfront Fees/ Exposure Premium	% of Total Debt	% of Total Equity	% of Total PC
	(Name of Currency)	(Rs./FC)	(Rs. in Crore)	(Years)	(Years)	(%)	(Rs. in Crore)	(Rs. in Crore)	(%)	(%)	(%)
(A) Debt											
Foreign:											
Loan I											
Loan II											
Loan III											
Loan IV etc.											
Indian:											
Loan I											
Loan II etc.											
Total Loan (A)											
(B) Equity											
Foreign:											
Indian:											
Total Equity(B)											
(C) Grants											
Foreign:											
Indian:											
Total Grant (C)											
Total Financing (A+B+C)											
Total Project Cost											

Note:

- (i) In case of completion of Schemes: Financial package details, as admitted by the Competent Authority on Completion and Energisation of the Scheme, shall be furnished in the Format alongwith supporting documents.
- (ii) In case of schemes which are yet to be completed Financial package details, as approved by the Competent Authority, shall be furnished in the Format alongwith supporting documents.
- (iii) FC- Foreign Currency
- (iii) PC- Project Cost

Petitioner

Ensuing year (n+2)

(Figures in Rs Crore)

Loan Agency (Source of loan)	Rate of interest (%)	Repayment period (Years)	Balance at the beginning of the year	Amount to be received during the year	Principal due during the year	Principal to be redeemed during the year	Principal Overdue at the year end	Principal Due at the year end	Remarks
	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated		
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)=(6)-(7)	(9)=(4)+(5)-(6)	(10)
A. Other than state government									
Loan 1 (Name of lender)									
Loan 2 (Name of lender)									
Loan 3 (Name of lender), etc.									
Sub-Total (A)									
B. Government Loans									
Type 1									
Type 2									
Type 3 etc.									
Sub-Total (B)									
Sub-Total (A+B)									
C. Normative Loans									
TOTAL (A+B+C)									

Ensuing year (n+3)

(Figures in Rs Crore)

Loan Agency (Source of loan)	Rate of interest (%)	Repayment period (Years)	Balance at the beginning of the year	Amount to be received during the year	Principal due during the year	Principal to be redeemed during the year	Principal Overdue at the year end	Principal Due at the year end	Remarks
	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated		
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)=(6)-(7)	(9)=(4)+(5)-(6)	(10)
A. Other than state government									
Loan 1 (Name of lender)									
Loan 2 (Name of lender)									
Loan 3 (Name of lender), etc.									
Sub-Total (A)									
B. Government Loans									
Type 1									
Type 2									
Type 3 etc.									
Sub-Total (B)									
Sub-Total (A+B)									
C. Normative Loans									
TOTAL (A+B+C)									

- Note:** i) In case reschedulement of any loan has been undertaken, the terms of reschedulement to be specified clearly, through an attachment alongwith copy of the letter from the Lender outlining the terms of Re-schedulement.
 ii) Any Loan not allocated to any specific Scheme and not forming part of Appoved Financial Package, to be shown separately, with reasons thereof.
 iii) The original Financing Plan and the cumulative repayment as per the Original Financing Plan to be outlined for each Loan.
 iv) For current year, Loans already drawn and the loan proposed to be drawn by end of year to be shown separately.
 v) Any new loan from existing or new lenders to be identified separately as a Loan.
 vi) In case of Foreign Currency Loans, data to be provided in the currency of borrowing alongwith the name of the currency..

Petitioner

Form: 15.2**Calculation of Weighted Average Interest Rate of Interest on Actual Loans ***

(Figures in Rs Crore)

S.No.	Particulars	Previous Year (n-1)	Current Year (n)	Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
				Projected	Projected	Projected
	Loan 1					
	Gross Loan - Opening					
	Cummulative payments of Loan upto Previous Year					
	Net Loan - Opening					
	Add: Drawl(s) during the year					
	Less: Repayment(s) of Loan during the year					
	Net Loan - Closing					
	Average Net Loan					
	Rate of Interest on Loan on Annual Basis					
	Interest on Loan					
	Loan 2					
	Gross Loan - Opening					
	Cummulative payments of Loan upto Previous Year					
	Net Loan - Opening					
	Add: Drawl(s) during the year					
	Less: Repayment(s) of Loan during the year					
	Net Loan - Closing					
	Average Net Loan					
	Rate of Interest on Loan on Annual Basis					
	Interest on Loan					
	Loan n					
	Gross Loan - Opening					
	Cummulative payments of Loan upto Previous Year					
	Net Loan - Opening					
	Add: Drawl(s) during the year					
	Less: Repayment(s) of Loan during the year					
	Net Loan - Closing					
	Average Net Loan					
	Rate of Interest on Loan on Annual Basis					
	Interest on Loan					
	Total Loan					
	Gross Loan - Opening					
	Cummulative payments of Loan upto Previous Year					
	Net Loan - Opening					
	Add: Drawl(s) during the year					
	Less: Repayment(s) of Loan during the year					
	Net Loan - Closing					
	Average Net Loan					
	Rate of Interest on Loan on Annual Basis					
	Interest on Loan					
	Weighted Average Rate of Interest on Loans					

* In case of Foreign Loans, the calculation in Indian Rupee is to be furnished. However, the calculation in Original currency is also to be furnished separately in the same format

Petitioner

Name of Transmission Licensee _____

Form: 15.3
Calculation of Interest on Normative Loan

(Figures in Rs Crore)

Particulars	Previous Year (n-1)	Current Year (n)	Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
			Projected	Projected	Projected
Gross Normative Loan - Opening					
Cummulative payments of Normative Loan upto Previous Year					
Net Normative Loan - Opening					
Increase or Decrease during the year					
Less: Repayment of Normative Loan during the year					
Net Normative Loan - Closing					
Average Normative Loan					
Weighted Average Rate of Interest on Actual Loan on Annual Basis					
Interest on Normative Loan					

Petitioner

Name of Transmission Licensee _____

Form 16

Interest and Finance Charges

(Figures in Rs Crore)

S.No.	Loan Details	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
		(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected
A	Interest charges on State Govt. Loans, Bonds And Advances							
	State Government Loans							
1	Bonds							
2	Foreign Currency Loans / Credits							
3	Debentures							
	Sub-total A							
B	Interest on Long Term Loans / Credits from the FIs/ banks/ organisations approved by the State Government							
	Secured Loans							
1								
2								
3								
	Unsecured Loans							
1								
2								
3								
	Sub-total B							
C	Normative Loans							
D	Total Interest Charges (A+B+C)							
E	Cost of raising finance & Bank Charges on project loans							
F	Grand Total Of Interest & Finance Charges: D+E							
G	Less: Interest & Finance Charges Chargeable to Capital Account							
H	Total interest and finance charges chargeable to revenue account (F-G)							

Petitioner

Name of Transmission Licensee _____

Form 17
Details of Interest on Working Capital

(Figures in Rs Crore)

Sl.No.	Particulars	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
		Actual / Audited	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Estimated	Estimated	Estimated	
1	O & M expenses - 1 month								
2	Spares (15% of O&M Expenses)								
3	Recievables- 2 months								
4	Total Working Capital (1+2+3)								
5	Working Capital Loan								
6	Normative Interest Rate (%)								
7	Normative Interest on Working Capital (5 X 6)								

Petitioner

Name of Transmission Licensee _____

Form 18

Investment Plan

(Figures in Rs Crore)

Part A: PROPOSED TRANSMISSION WORKS

S. No.	NAME OF THE TRANSMISSION LINE & ASSOCIATED SUBSTATIONS	LENGTH OF LINE (Ckt.Kms.) / S/S. CAP. (MVA)	ESTIMATED COST (Rs Crores)	Scheduled Date of Completion	COMPLETION PROGRAMME / REMARKS
1	2	3	4	5	6
I.	400 KV LINES				
1					
2					
3					
	SUB TOTAL (II) (400kV LINES)				
II.	400 KV SUB STATIONS				
1					
2					
3					
	SUB TOTAL (II) (400KV S/S)				
III.	220 KV LINES :				
1					
2					
3					
	SUB TOTAL (III) (220KV LINES)				
IV.	220 KV SUB STATIONS				
1					
2					
3					
	SUB TOTAL (IV) (220KV SUB STATIONS)				
V.	132 KV LINES				
1					
3					
6					
	SUB TOTAL (IV)(132KV LINES)				
VI.	132 KV SUB STATIONS				
1					
2					
3					
	SUB TOTAL (VI)(132KV SUB-STATIONS)				
VII.	MISC. WORKS				
1					
2					
3					
	SUB TOTAL (VII) MISC. WORKS				
	GRAND TOTAL (I..VII)				

Petitioner

Name of Transmission Licensee _____

Form 19

Investment Plan

(Figures in Rs Crore)

Part A: ACTUAL TRANSMISSION WORKS

S. No.	NAME OF THE TRANSMISSION LINE & ASSOCIATED SUBSTATIONS	LENGTH OF LINE (Ckt.Kms.) / S/S. CAP. (MVA)	APPROVED COST (Rs Crores)	COMPLETED COST (Rs Crores)	VARIATION in COST (Rs Crores)	Reasons of Variation in Cost	Scheduled Date of Completion	Actual Date of Completion	Date of Electrical Inspector's Clearance*	Variation in Schedule	Reasons of Variation in Schedule
1	2	3	4	5	6	7	8	9	10	11	12
I.	400 KV LINES										
1											
2											
3											
	SUB TOTAL (II) (400kV LINES)										
II.	400 KV SUB STATIONS										
1											
2											
3											
	SUB TOTAL (II) (400KV S/S)										
III.	220 KV LINES :										
1											
2											
3											
	SUB TOTAL (III) (220KV LINES)										
IV.	220 KV SUB STATIONS										
1											
2											
3											
	SUB TOTAL (IV) (220KV SUB STATIONS)										
V.	132 KV LINES										
1											
3											
6											
	SUB TOTAL (IV)(132KV LINES)										
VI.	132 KV SUB STATIONS										
1											
2											
3											
	SUB TOTAL (VI)(132KV SUB-STATIONS)										
VII.	MISC. WORKS										
1											
2											
3											
	SUB TOTAL (VII) MISC. WORKS										
	GRAND TOTAL (I..VII)										
	(Provide details wef FY 2011-12)										

* Date of Approval by Electrical Inspector shall be considered as Date of Energisation / Capitalisation

Petitioner

Name of Transmission Licensee _____

Form 20

Summary of Truing Up

Previous Year (n-1) for Final Truing Up

(Figures in Rs Crore)

S. No.	Particulars	Approved	Actual	Variation	Reasons for Variation	Controllable	Uncontrollable
A.	Annual Transmission Charges						
1	Interest on Loan (Including Interest on Normative Loans)						
2	Depreciation						
3	Lease Charge						
4	Return on Equity						
5	O&M Expenses						
6	Interest on Working Capital						
7	Income Tax						
8	Other Expenses (pls provide details)						
9	Gross Annual Transmission Charges (1+2+3+4+5+6+7+8)						
10	Less: Other Income (provide details)						
	Annual Transmission Charges						
B	Revenue						
1	Revenue from Transmission Charges						
2	Income from Other Business u/s 41 of EA, 2003						
	Total Revenue						
C	Surplus / (Gap)						

Note: Please give detailed explanation separately for the deviations on account of uncontrollable factors

Current Year (n) Annual Performance Review

(Rs. Crore)

S.no.	Particulars	Approved	Actual	Variation	Reasons for Variation	Controllable	Uncontrollable
A.	Annual Transmission Charges						
1	Interest on Loan (Including Interest on Normative Loans)						
2	Depreciation						
3	Lease Charge						
4	Return on Equity						
5	O&M Expenses						
6	Interest on Working Capital						
7	Income Tax						
8	Other Expenses (pls provide details)						
9	Gross Annual Transmission Charges (1+2+3+4+5+6+7+8)						
10	Less: Other Income (provide details)						
	Annual Transmission Charges						
B	Revenue						
1	Revenue from Transmission Charges						
2	Income from Other Business u/s 41 of EA, 2003						
	Total Revenue						
C	Surplus / (Gap)						

Note: Please give detailed explanation separately for the deviations on account of uncontrollable factors

Petitioner

Name of Transmission Licensee _____

Form 21.1

(Figures in Rs Crore)

Shunt Capacitor Addition / Repair Program

S.No.	Particulars	Capacity (MVAR)
Capacitor Additions		
1	Total Capacitors requirement at the end of Previous year	
2	Actual installed Capacitors at the end of Previous Year	
3	Backlog/shortfall at the end of previous year (1-2)	
4	Additional Requirement for the Current Year	
5	Total Capacity required to be added during the current year (3+4)	
6	Actual installed during first half of Current year	
7	Target for the 2nd half of Current year	
8	Total Capacitors likely to be added during Current Year (6+7)	
9	Total capacity likely to be available by the end of current year (2+8)	
10	Short fall if any (5-9)	
Repair of Defective Shunt Capacitors		
11	At the end of the end of previous year	
12	Net Capacity Available by the end of previous year (2-11)	
13	Capacitors damaged during 1st half of the current year	
14	Capacitors repaired during 1st half of the current year	
15	Net Capacity Available by the end of 1st half of the year (12-13+14)	
16	Target level of damaged capacitors by the end of current year	
17	Net Capacity Likely to be Available by the end of current year (9-16)	
18	Net Shortfall, by the end of the year (5-17)	

The above statement is to be accompanied by a detailed note to outline the reasons thereof and measures taken/ planned for improvement.

Petitioner

Name of Transmission Licensee _____

Form 21.2

Electrical Accidents

(Figures in Rs Crore)

Type of Accident	No. of Accidents					
	Previous year			Current year		
	FATAL	NON FATAL	Total	FATAL	NON FATAL	Total
HUMAN						
ANIMAL						
Total						

Petitioner

Name of Transmission Licensee _____

Form 21.3

Failure of Transformers

(Figures in Rs Crore)

Sl. No.	Item	Preceding Year			Current Year (Actual)		
		No. of Transformers	No. of failures	Total Duration of failure (Hrs.)	No. of Transformers	No. of failures	Total Duration of failure (Hrs.)
	Transformation ratio						
1	Transformation ratio 1						
2	Transformation ratio 2						
3	Transformation ratio 3						
4	Transformation ratio 4						
	Average duration of interruption						
5	Average duration of interruption per transformer for Transformation ratio 1						
6	Average duration of interruption per transformer for Transformation ratio 2						
7	Average duration of interruption per transformer for Transformation ratio 3						
8	Average duration of interruption per transformer for Transformation ratio 4						

Petitioner