

**UTTARAKHAND ELECTRICITY REGULATORY COMMISSION
DEHRADUN**

DRAFT NOTIFICATION

July 2010

No.....- In exercise of the powers conferred by section 181, read with sections 39, 40, 42 and 86 of the Electricity Act, 2003 (36 of 2003), and all other powers enabling it in this behalf, the Uttarakhand Electricity Regulatory Commission hereby proposes to make the following Regulations, namely-

CHAPTER 1

PRELIMINARY

1. Short Title , Extent and Commencement

- (1) These regulations may be called the “Uttarakhand Electricity Regulatory Commission (Terms and Conditions of Intra State Open Access) Regulations, 2010.
- (2) These regulations shall apply to open access for use of intra state transmission system and/or distribution systems of Licensees in the State, including when such system is used in conjunction with interstate transmission system.
- (3) These regulations shall come into force on the date of publication in the Government Gazette.

2. Definitions In these regulations, unless the context otherwise requires

- (1) "Act" means the Electricity Act, 2003 (36 of 2003);
- (2) “Agreement(s)” means Bulk Power Transmission Agreement(BPTA) entered by the open access customer with the transmission licensee and/or Bulk Power Wheeling Agreement (BPWA) entered by the open access customer with distribution licensee;
- (3) “Allotted Capacity” means the power transfer in MW between the specified point(s) of injection and point(s) of drawal allowed to a long term customer

on the intra state transmission system and the expression "allotment of capacity" shall be construed accordingly;

- (4) "Commission" means the Uttarakhand Electricity Regulatory Commission;
- (5) The word 'consumer' in this regulation shall carry the same meaning as in the Act, but shall be restricted to such consumers within the State of Uttarakhand to whom these regulations will apply.
- (6) "Contracted load" means the load in kW/HP/kVA (kilo Watt/Horse Power/kilo Volt Ampere) which the distribution licensee has agreed to supply from time to time subject to the governing terms and conditions and is different from the connected load;
- (7) "Day" means a day starting at 00.00 hours and ending at 24.00 hours;
- (8) "Distribution licensee" means any person licensed under Part IV of the Act for distribution and retail supply of electricity in the State of Uttarakhand.
- (9) "Distribution system" means the system of wires and associated facilities between the delivery points on the transmission lines or the generating station connection and the point of connection to the installation of the consumers
- (10) "Grid Code" means the State Grid Code specified by the Commission applicable on the date of commencement of these regulations and as amended from time to time;
- (11) "IEGC" means Indian Electricity Grid Code Specified by Central Electricity Regulatory Commission and as amended from time to time;
- (12) "Month" means a calendar months as per the British calendar;
- (13) "Nodal agency" means the nodal agency defined in regulation 13 of these regulations;
- (14) "Open access" means the nondiscriminatory provision for the use of transmission lines or distribution system or associated facilities with such lines or system by any Licensee or consumer or a person engaged in generation in accordance with these regulations.

- (15) "Open access customer" means a person eligible to avail open access under these regulations.
- (16) "Part-of-the-day open access customer" means consumer who has a supply agreement with the distribution licensee in whose area of supply the consumer is located and avails open access in distribution and/or transmission system only during pre-scheduled load shedding due to shortage of power.
- (17) "Reserved Capacity" means the power transfer in MW between the specified point(s) of injection and point(s) of drawal allowed to a short term customer on the transmission/distribution system depending on availability of transmission/distribution capacity and the expression "reservation of capacity" shall be construed accordingly;
- (18) "SLDC" means the State Load Dispatch Centre established under sub-section (1) of section 31 of the Act;
- (19) "State Transmission Utility (STU)" means the Government Company specified by the State Government under sub-section (1) of section 39 of the Act;
- (20) "Supplier" means a generation company, or a trader or a power exchange or a licensee or a person effecting supply to open access customer within the State.
- (21) "Transmission licensee" means any person licensed under Part IV of the Act for transmission of electricity in the State of Uttarakhand.
- (22) "Transmission lines" means all high pressure cables and overhead lines (not being an essential part of the distribution system of a licensee) transmitting electricity from a generating station to another generating station or a sub-station, together with any step-up and step-down transformers, switch-gear and other works necessary to and used for the control of such cables or overhead lines, and such buildings or part thereof as may be required to accommodate such transformers, switchgear and other works;
- (23) "Transmission System Segment" means a part or whole of the transmission system from the point of injection to the point of drawal.

- (24) "Wheeling" means the operation whereby the distribution system and associated facilities of a transmission Licensee or distribution Licensee, as the case may be, are used by another person for the conveyance of electricity on payment of charges to be determined under section 62 of the Electricity Act 2003

Words and expressions used and not defined in these regulations but defined in the Act or IEGC or the Grid Code, Distribution code shall have the meaning assigned to them under the Act or the IEGC or the Grid Code, Distribution Code as the case may be.

CHAPTER 2

OPEN ACCESS CUSTOMERS

3. Eligibility for Open Access and conditions to be satisfied

- (1) Subject to the provisions of these regulations, the licensees, generating companies, captive generating plants, electricity traders, consumers shall be eligible for open access to the intra state transmission system of the State Transmission Utility or any transmission licensee on payment of transmission and other charges as may be determined by the Commission in accordance with **regulation 8** of the these regulations.
- (2) Subject to the provisions of these regulations, the licensees, captive generating plants and consumers shall be eligible for open access to distribution system of a distribution licensee on payment of the wheeling and other charges as may be determined by the Commission in accordance with **regulation 8** of the these regulations.

Subject to provisions of these regulations open access may also be provided to generating company in distribution system at discretion of concerned distribution licensee. -

- (3) Subject to the provisions of these regulations, open access shall be permissible only to those customers seeking for open access capacity of more than 1 MW and connected through an independent feeder emanating from a grid substation of licensee or industrial feeder provided that all the customers on such industrial feeder opt for open access and having simultaneous schedule of drawal under such open access.

- (4) Provided further that duties of the distribution licensee with respect to such open access customers shall be of a common carrier providing non-discriminatory open access as per section 42(3) of the Act.
- (5) A person having been declared insolvent or bankrupt or having outstanding dues against him for more than two months billing of distribution/transmission licensee at the time of application shall not be eligible for open access.

4. Provisions for existing agreements / contracts for wheeling of power

The persons availing access to the intra state transmission system and/or of the distribution system in the State on the date of coming into force of these regulations under an existing agreement / contract shall be entitled to continue to avail such access to the transmission and distribution system on the same terms and conditions, as stipulated under such existing agreement/contract. Such persons are eligible to avail long term open access under these regulations on expiry of such existing agreement/contract. Such of those persons, shall have to apply to come under the long term open access category at least thirty days prior to the expiry of such existing agreement/contract.

5. Categorisation of Open Access Customers

Subject to the provisions of regulation 4 above, the open access customers shall be classified into the following categories:

(1) Long term Open Access customers

An open access customer availing open access for a period of five years or more shall be long term open access customer.

Provided that open access applications for a period less than five years and more than a year shall be considered under short term open access only and shall be allowed at a time for a period not exceeding one year.

(2) Short-term open access customer

An open access customer including "Part-of-the-day open access customer", availing open access for a period of one year or less shall be short term open access customer.

Provided that short-term customer shall be eligible to obtain fresh reservation after expiry of his term.

Provided further that provisions of Regulation 6 to Regulation 11 shall not be applicable to Part-of-the-day open access customer.

6. Allotment Priority

The priority for allotment of open access shall be decided on the following criteria:

- (1) A distribution Licensee shall have the highest priority in allotment of open access capacity irrespective of whether the open access request is for long term or short term.
- (2) Other long term open access customers shall have the priority next to the Distribution Licensee.
- (3) The short term open access customer shall have the priority next to the long term open access customer.
- (4) Allotment priority for short term open access customer shall be decided subject to capacity availability.
- (5) An existing open access customer shall have the priority higher than new open access customer under respective category provided he applies for its renewal thirty days prior to the expiry of existing term of open access.
- (6) Subject to sub-regulation (1) to (5) above, the decision shall be based on the basis of first come first served.
- (7) During capacity availability constraints, the allotment can be made available to the next senior applicant, provided that the first senior is not able to limit his requirement to the available capacity and so on.

7. Computation of capacity availability for open access

- (1) The capacity available for the open access shall be computed for each transmission segment and for every sub-station by the STU following the methodology given below:
 - (a) Available open access capacity of a transmission system segment: = $(DC-SD-AC)+NC$ where, DC=Designed capacity of the transmission segment in MW, SD = Sustained demand in MW recorded in the

segment, AC = Already allotted capacity, but not availed in MW and NC = New capacity in MW expected to be added.

(b) Available open access capacity of a sub-station: = (TC-SP-AC)+NC where, TC= Transformer capacity of the sub-station in MVA, SP= Sub-station peak in MVA, AC= Already allotted capacity but not availed in MVA and New transformer capacity in MVA expected to be added.

(c) The STU shall update these values on monthly basis on the first calendar day of the month and publish it in their website.

(2) The appropriate distribution licensee shall determine the available capacity for allotment for the portion of the distribution system over which open access has been requested for.

8. Charges for Open Access Customer

The following charges as applicable are payable by the open access customer:

(1) Transmission charges and wheeling charges

(a) Transmission charges payable to State Transmission Utility/ transmission licensee and wheeling charges payable to distribution licensee, by an open access customer for usage of their system shall be as determined as under:

Transmission Charges = ATC/UHT (in Rs./kWh)

Wheeling Charges = (ARR - PPC - TC) /UHD (in Rs./kWh)

Where,

ATC=Annual Transmission Charges determined by the Commission for the State Transmission System for the year

UHT=Total Units handled by the State Transmission System in that year

ARR=Annual Revenue Requirement of the distribution licensee in that year

PPC= Total Power Purchase Cost of distribution licensee in that year

TC = Total transmission charges paid by distribution licensee for State and Inter-State transmission system for the year

UHD=Total Units sold by the concerned Distribution System in that year

- (b) Transmission and wheeling charges determined on Per Unit basis shall be payable, on monthly basis, by the open access customers based on the capacity booked or actually utilised maximum capacity whichever is higher multiplied by number of hours for which the capacity had been booked during the month.
 - (c) Where a dedicated transmission system and/or a distribution system used for open access has been constructed for exclusive use of an open access customer, the transmission charges or wheeling charges for such dedicated system shall be worked out by transmission and/or distribution licensee for their respective systems and got approved by the Commission and shall be borne entirely by such open access customer till such time the surplus capacity is allotted and used for by other persons or purposes.
 - (d) In case intra state transmission system and/or distribution system is used by an open access customer in addition to inter-state transmission system, transmission charges and wheeling charges as fixed and approved by the Commission shall be payable for use of intra state system in addition to payment of transmission charges for inter-state transmission.
- (2) Cross subsidy surcharge
- (a) If open access facility is availed of by a subsidising consumer of a distribution Licensee of the State, then such customer, in addition to transmission and/or wheeling charges, shall pay cross subsidy surcharge determined by the Commission from time to time in accordance with Para 8.5 of the National Tariff Policy.
 - (b) Cross subsidy surcharge determined on Per Unit basis shall be payable, on monthly basis, by the open access customers based on the actual energy drawn during the month through open access.
 - (c) The amount of surcharge shall be so calculated as to meet the current level of cross subsidy from that category of consumers and shall be

paid to the distribution Licensee of the area of supply from whom the consumer is availing supply.

Note: Provided that such surcharge shall not be levied in case transmission/distribution access is provided to a person who has established a captive generation plant for carrying the electricity to the destination of his own use.

(3) Additional Surcharge

- (a) An open access customer, receiving supply of electricity from a person other than the distribution Licensee of his area of supply, shall pay to the distribution Licensee an additional surcharge on the charges of wheeling, in addition to wheeling charges and cross-subsidy surcharge, to meet out the fixed cost of such distribution Licensee arising out of his obligation to supply as provided under sub-section (4) of section 42 of the Act.
- (b) This additional surcharge shall become applicable only if the obligation of the Licensee in terms of power purchase commitments has been and continues to be stranded or there is an unavoidable obligation and incidence to bear fixed costs consequent to such a contract. However, the fixed costs related to network assets would be recovered through wheeling charges.
- (c) The distribution licensee whose consumer intends to avail open access shall submit to the Commission within fifteen days of receipt of application, a detailed calculation statement of fixed cost which the licensee is incurring towards his obligation to supply.
- (d) The Commission shall scrutinize the statement of calculation of fixed cost submitted by the distribution licensee and obtain objections, if any, from the open access customer and determine the amount of additional surcharge.
- (e) The additional surcharge shall be levied for such period as the Commission may determine on case to case basis.

- (1) Additional surcharge determined on Per Unit basis shall be payable, on monthly basis, by the open access customers based on the actual energy drawn during the month through open access.

Note : Provided that such additional surcharges shall not be levied in case transmission/distribution access is provided to a person who has established a captive generation plant for carrying the electricity to the destination of his own use

- (4) Scheduling and system operation charges payable to State Load Despatch Centre (SLDC)
 - (a) Long term open access customers shall be liable to pay SLDC charges determined by the Commission under sub-section (3) of section 32 of the Act.
 - (b) SLDC charges determined on Per Unit basis shall be payable, on monthly basis, by the open access customers based on the actual energy drawn during the month through open access.
 - (c) A composite operating charge @ Rs.2,000/- per day or part of the day shall be payable by a short-term open access customer for each transaction to the SLDC or as determined by the Commission from time to time.

The operating charge includes fee for scheduling and system operation, energy accounting, fee for affecting revisions in schedule on bonafide grounds and collection and disbursement of charges. The operation charges collected by SLDC in accordance with clause (c) above shall be in addition to SLDC charges specified by the Commission under clause (a) of this sub-regulation.

9. Unscheduled interchange (UI) charges

The unscheduled interchange (UI) charges shall be applicable to open access customers in the following manner:

- (1) Scheduling of all transactions pursuant to grant of long term open access and short term open access shall be carried out on day-ahead basis in accordance with the relevant provisions of IEGC for inter-state transactions and in accordance with Grid Code for intra-state transactions.

- (2) Based on net metering on the periphery of each intra-State entity, composite accounts for Unscheduled Interchanges shall be issued by SLDC for each intra-State entity on a weekly cycle.
- (3) Any mismatch between the scheduled and the actual drawal at drawal points and the scheduled and the actual injection at injection points for the intra-State entities shall be determined by the State Load Despatch Centre and covered in the intra-State Unscheduled Interchanges accounting scheme.
- (4) UI rates applicable for intra-State entity shall be based on inter-State UI rates notified by CERC after suitably adjusting for intra-State transmission and distribution losses determined by the Commission in the applicable Tariff Orders and also by the applicable losses in the inter-State transmission estimated by NRLDC from time to time.

10. Reactive Energy Charges

- (1) The payment for the reactive energy charges by open access customers shall be in accordance with provisions stipulated in the Grid Code. If the voltage at the point of drawal is below 97% of the normal voltage, the open access customer shall pay reactive energy charges to the concerned utility /licensee for drawal of reactive energy at the drawal point. If at the injection point the voltage is higher than 103% of normal voltage, the open access customer shall pay reactive energy charges to the concern utility/ licensee for injection of reactive energy at the point of injection. Both drawal and injection of reactive energy shall be measured at 15 minutes time block along with voltage in the SEM meters.
- (2) Reactive energy rates applicable for intra-State entity shall be based on inter-State reactive energy rates notified by NRPC after suitably adjusting for intra-State transmission and distribution losses determined by the Commission in the applicable Tariff Orders and also by the applicable losses in the inter-State transmission estimated by NRLDC from time to time.

11. Drawal of power by open access customer from distribution licensee

- (1) In cases of outages of generator supplying to open access customer under open access or when the scheduled generation is not maintained or when the scheduled generation by the said generator is less than the requirement of open access customer, standby arrangements should be provided by the distribution licensee and the licensee shall be entitled to collect tariff under Temporary rate of charge for that category of consumer in the prevailing rate schedule.
- (2) If a generator happens to be an open access customer, partly or fully in third party sale of power and he desires to avail start up power from the Grid, the generator shall be permitted to do so at Temporary rate of charge for the start up power.

12. Default on payment of charges

Non-payment of any charge or sum of money payable by the customer under the Regulations shall be considered non-compliance of the Regulations and Section 56 of the Act, the generating company or STU or any other transmission licensee or a distribution licensee may disconnect supply after giving customer an advance notice of fifteen days without prejudice to his right to recover such charges by suit.

Provided that non-payment or delayed payment of UI bill shall be considered as default on part of customer and for such continued defaults, SLDC may bring a case before the Commission for non-compliance of the Regulations under Section 142 of the Electricity Act, 2003.

13. Nodal Agency

- (1) The Nodal Agency for arranging Long term open access in all cases shall be the State Transmission Utility whether its system is used or not; and for that purpose, a distribution licensee and/or a transmission licensee, whose system gets associated with such long term Open Access, shall be responsible to co-ordinate with the State Transmission Utility and provide all relevant information required to take decision for providing Open Access.
- (2) Similarly, the Nodal Agency for Short-term open access shall be the State Load Despatch Center and in such case, the State Transmission Utility and/or a transmission licensee and/or a distribution licensee concerned, as

the case may be, shall be responsible for co-ordination with the State Load Despatch Center and provide all relevant information required to take decision for providing Open Access.

14. Procedure for Long Term Open Access Customer

- (1) A person intending to be a long term Open Access customer shall file an application on the prescribed application form given in **[FORMAT LT-1]** to the State Transmission Utility with details such as the capacity needed, generation planned or power purchase contracted, point of injection, point of drawal, duration of availing open access, peak load, average load and any other additional information that may be required by the State Transmission Utility. The application shall be accompanied by a non-refundable fee of Rs. 50,000/- in cash or by demand draft in favour of the officer, so notified by STU, payable at Dehradun.
- (2) STU shall acknowledge receipt of the application by indicating time and date on "ACKNOWLEDGEMENT" to the applicant.
- (3) A consumer of distribution licensee intending to avail open access shall also submit a copy of his application to the distribution licensee who is supplying electricity to him.
- (4) The State Transmission Utility shall, in consultation with State Load Despatch Centre, transmission and distribution licensees assess the capacity available and intimate to the applicant whether the long term open access can be allowed, with or without further system strengthening within 30 days of receipt of the application.
- (5) Provided that where the long term open access can be allowed subject to the provisions in these regulations and without further system strengthening, the STU shall grant approval for long term open access as per **[Format LT2]**. The customer shall enter into Bulk Power Transmission Agreement (BPTA) and/or Bulk Power Wheeling Agreement (BPWA) with concerned STU/transmission licensee and/or distribution licensee within 30 days of the said approval and the copy of such agreements shall be sent to SLDC.

(6) If, in the opinion of the State Transmission Utility, in consultation with SLDC, transmission and distribution licensee as provided in sub-regulation (4) above, further system strengthening is essential before providing long term open access and the same shall be intimated to the applicant as per sub-regulation (4) above. Accordingly, the applicant may request the State Transmission Utility to carry out system studies and preliminary investigation for the purpose of cost estimates and completion schedule for system strengthening which shall be carried out in the manner provided in sub-regulation (7) below.

(7) System Strengthening

(a) STU shall carry out studies, immediately on receipt of request from the applicant under sub-regulation (6) above, to assess requirement of system strengthening and may require the customer, any other transmission licensee and/or distribution licensee to furnish additional information/study/data for further studies within 15 days of receipt of application. They shall submit information to STU within a month.

(b) Based on above information, STU shall prepare preliminary report of system study covering all aspects of Grid Code and communicate the same to all concerned within next 1 month.

Provided that if there are more than one application for long-term access, STU shall undertake joint studies and prepare one consolidated preliminary report.

(c) STU shall invariably send a copy of preliminary report to SLDC, transmission licensees and/or distribution licensees, as the case may be.

(d) The preliminary report shall be communicated along with specific information on [FORMAT LT2] to all concerned not later than 120 days from the date of receipt of application and such communication shall be deemed to be grant of open access. However, in case of more

than one application, the condition of 120 days shall not apply and STU shall fix such date which shall not be more than 150 days.

- (e) The customer shall enter into Bulk Power Transmission Agreement (BPTA) and/or Bulk Power Wheeling Agreement (BPWA) with concerned transmission licensee and/or distribution licensee within 60 days of the grant of open access as per clause (d) above and the copy of such agreements shall be sent to SLDC.
- (f) Notwithstanding anything contained in sub-clause (a) above, any other transmission licensee and/or distribution licensee shall also be required by STU to identify system strengthening requirements at their end and communicate the same to STU with relevant details in respect to inter-connection, cost estimates, construction milestones/schedule and site responsibility schedule, drawings and any other information as may be necessary for consideration of STU within 45 days from the date of receipt of preliminary report of system study by any other transmission licensee and/or distribution licensee as per sub-clause (c) above.
- (g) The requirement of system strengthening brought out in preliminary report shall be integrated with the transmission plan (made as per Section 39 of the Act read with the provisions of Grid Code) prepared by STU. The plan so prepared shall be approved by CEA.
- (h) Based on approved transmission plan, STU shall finalise strengthening requirements in transmission and distribution systems, in consultation with SLDC and concerned transmission and/or distribution licensees as the need be.
- (i) System strengthening requirements should clearly identify expansion, augmentation, renovation of existing sub-stations and/or electric lines and/or construction of new substations and electric lines. It also includes decision regarding who will construct, own, maintain and operate different parts of the system strengthening scheme.

- (j) The final report shall be communicated to all concerned to take up the work of the system strengthening as per the schedules finalised or decisions taken therein.

Provided that procedures, statutory requirements and time lines for completion of these works shall be consistent with UERC (Release of new LT Connections, Enhancement and Reduction of Loads) Regulations, 2007 for LT systems and UERC (Release of new HT&EHT Connections, Enhancement and Reduction of Loads) Regulations, 2008 for HT / EHT systems.

- (k) After final report is prepared, the customer, STU, any other transmission licensee and distribution licensee or any other person associated with transaction shall comply with such instructions, obligations, duties, time schedules or any other matter as may be specified by STU in final report.
- (8) The applicant shall reimburse the actual expenditure incurred by the STU for system studies and preliminary investigation referred to in sub-regulation (6) & (7) above.
 - (9) Provided that the application fee of rupees fifty thousand paid by the applicant shall be adjusted against the actual expenditure to be reimbursed by the applicant.
 - (10) Bulk Power Transmission Agreement (BPTA) and/or Bulk Power Wheeling Agreement (BPWA)- Long term open access agreement(s)
 - (a) Bulk Power Transmission Agreement (BPTA) and/or Bulk Power Wheeling Agreement (BPWA) shall be signed by the customer with STU, any other transmission licensee and distribution licensee, as the case be, agreeing therein to pay transmission and wheeling charges for use of existing transmission and/or distribution system. These agreements shall be signed within time communicated to the customer as per Regulation 5 or Regulation 7(e).
 - (b) At the instance of STU, agreements shall include provisions in respect to :

- (i) requirement of protection system, metering, operational and safety criteria, data and communication system;
 - (ii) conditions of interconnection/connectivity, technical requirements thereof;
 - (iii) relevant drawings covering all aspects in relation to (i) and (ii) above;
 - (iv) 'Site Responsibility Schedule' in relation to ownership, control, operation and maintenance of plant and apparatus and safety of persons;
 - (v) procedure for site access, site operational activities and maintenance standards for equipment of concerned transmission licensee and/or distribution licensee and/or customer's premises;
 - (vi) premature termination of agreement and its consequences on the contracting parties;
 - (vii) compliance with the provisions of State Grid Code, Indian Electricity Grid Code, regulations/norms/standards/codes specified by CEA/UERC or any provision of planning criteria/ any covenants/deeds/regulations by which STU/Transmission licensee / distribution licensee are bound;
 - (viii) details of system strengthening requirements including inter-connection, approximate cost estimates, construction and commissioning milestones/schedule, schedule of commissioning and commercial operationalisation;
 - (ix) provisions for payment security, payment, rebate and surcharge as per Terms and Condition of Tariff Regulations specified by the Commission from time to time and orders of the Commission made thereunder;
 - (x) Any other relevant necessary information.
- (11) Whenever any equipment and/or drawing are proposed to be changed, the customer or licensee shall intimate necessary changes to STU and all other concerned. When changes are implemented, revised single line diagram shall be circulated by the customer or licensee to STU and all concerned.

15. Procedure for Short Term Open Access Customer

- (1) A person intending to be a short-term open access customer shall submit an application on the prescribed application form given in [FORMAT ST-1] to the State Load Despatch Center. The application shall contain such details as capacity needed, generation planned or power purchase contracted, point of injection, point of drawal, duration of availing open access, peak load, average load and such other additional information as may be required by the State Load Despatch Center. The application shall be accompanied by a non-refundable application fee of Rs. 10,000/- in cash or by demand draft in favour of the officer so notified by SLDC.
- (2) SLDC shall acknowledge receipt of the application by indicating time and date on "ACKNOWLEDGEMENT" to the applicant.
- (3) A consumer of distribution licensee intending to avail open access shall also furnish a copy of his application to the distribution licensee of his area of supply.
- (4) Based on the type of transactions State Load Despatch Center shall take a decision on the applications for short term open access in the manner provided in sub-regulation 5 to 8 below.
- (5) Transaction for period three months to one year.
 - (a) An application for grant of open access commencing in any month may be submitted in a cover marked "Application for Short-Term Open Access - period from three months to one year" by 5th day of the preceding month.

For example, an application for grant of open access commencing in the month of July shall be made by 5th day of June
 - (b) The application received under **clause (a)** above shall be processed as per allotment priority criteria specified in **Regulation 6** of these regulations.
 - (c) SLDC shall check transaction for congestion of any element (electric line and transformer) of transmission and/or distribution system involved in transaction.

- (d) SLDC shall not permit open access if transaction may cause congestion of any element of involved transmission and/or distribution system.
 - (e) SLDC shall convey grant of open access or otherwise on format **[FORMAT-ST2]** along with schedule of payments to the customer latest by 15th day of such preceding month.
 - (f) SLDC shall assign specific reasons if open access is denied under **clause (e)** above.
- (6) Transaction for period less than three months
- (a) An application for grant of open access commencing in any month may be submitted in a cover marked “Application for Short-Term Open Access - period less than three months” up to 15th day of the preceding month.

For example, application for grant of open access commencing in the month of July shall be received up to 15th day of June.
 - (b) The application received under **clause (a)** above shall be processed as per allotment priority criteria specified under **Regulation 6** of these regulations.
 - (c) SLDC shall check transaction for congestion of any element (line and transformer) of transmission and/or distribution system involved in transaction.
 - (d) SLDC shall not permit open access if transaction may cause congestion of any element of involved transmission and/or distribution system.
 - (e) SLDC shall convey grant of open access or otherwise in format **[FORMAT-ST2]** along with schedule of payments to the customer latest by 20th day of such preceding month.
 - (f) SLDC shall assign specific reasons if open access is denied under **clause (e)**.
- (7) Day-Ahead Transaction

- (a) Day ahead transaction shall be permitted, in case, SLDC notifies on its website of any capacity, which has been expressly surrendered, whole or part thereof, or not in use for more than three days in past.

An application for grant of open access for such capacity may be received by SLDC within three days prior to the date of scheduling but not later than 1300 Hours of the day immediately preceding the day of scheduling for day-ahead transaction.

For example, application for day-ahead transaction on 25th day of July shall be received on 22nd day or 23rd day or up to 1300 hours on 24th day of that month.

- (b) SLDC shall check for congestion and convey grant of approval in the same [FORMAT-ST2] as provided in sub-regulation (5) and (6) above. All other provisions of application for short term open access shall apply.

(8) Bidding Procedure

- (a) If the capacity sought by the customers is more than the available capacity or SLDC perceives congestion of any element of transmission and/or distribution system involved in transaction before allocation, the allocation shall be made through bidding procedure.
- (b) The decision of SLDC in respect of an expected congestion shall be final and binding.
- (c) SLDC shall convey information of congestion and decision of invitation of snap bids indicating floor price on format [FORMAT-ST3] to the customers.
- (d) SLDC may invite bids through fax/e-mail.
- (e) SLDC shall also display bidding information on its website.
- (f) The floor price comprising of transmission and/or wheeling charges determined on the basis of relevant order of the Commission shall be indicated in FORMAT-ST3.

- (g) The Bids shall be accepted on format [FORMAT-ST4] up to the “bid closing time” as indicated in bidding invitation FORMAT-ST3. Modification / amendment to a bid, once submitted shall not be entertained.
- (h) If any customer does not participate in bidding process, his application shall be deemed to have been withdrawn and shall not be processed.
- (i) SLDC shall not entertain any request for extension of time/date for submission of bids.
- (j) Bid price and the approval of the capacity for open access shall be determined as follows:
 - (i) The bidders shall quote price (rounded-off to whole number) in denomination in which floor price has been determined.
 - (ii) The quoted price shall be arranged in descending order and allocation of available capacities shall be accorded in such descending order until the available capacity is exhausted.
 - (iii) In case of equal price quoted by two or more customers, the allocation from the residual available capacity at any stage under sub-clause (ii) above shall be made in proportion to capacity being sought by such customers.
 - (iv) All customers, in favour of whom full capacities have been allotted, shall pay the highest price obtained from bids.
 - (v) The customers, who are allotted less capacity, shall pay the price as quoted by him.
- (k) SLDC shall reject bid which are in-complete, vague in any manner or not found in conformity with bidding.
- (l) The successful bidding customer, in favour of whom the capacities have been allocated, shall pay transmission charges, wheeling charges, as the case may be, determined by bidding under clause j(iv) or clause j(v) of this sub-regulation.

- (9) The reserved capacity by a short term open access customer is not transferable to others.
- (10) The capacity available as a result of surrender or reduction or cancellation of the reserved capacity by the State Load Dispatch Centre, may be reserved for any other short term open access customer in accordance with these regulations.
- (11) For the purpose of application fee, renewal of short term open access allotment after expiry of the period for which it was granted shall be treated in the same way as a new applicant.

16. Under / Non-Utilisation of open access capacity

- (1) Long-term open access customers
 - (a) A long-term open access customer may apply for graded profile of capacity requirement. In such case, the State Transmission Utility may consider and allot capacity in graded manner to him.
 - (b) In case a long-term Open Access customer is unable to utilise the capacity allotted to him, he shall inform the State Transmission Utility along with reasons for his inability to utilise the capacity and may request for surrender of the capacity allotted to him by serving a notice of 30 days, after which the relevant capacity would be deemed to have been surrendered.
 - (c) The State Transmission Utility may reduce or cancel the allotted capacity of an open access customer on account of under utilisation after providing appropriate notice.
 - (d) The penalty payable for such reduction or cancellation or surrendering of capacity shall be equivalent to 20% of the charges paid by such customer based on average billing of respective open access charges **(excluding charges for dedicated system under Regulation 8(1)(c) of these regulations)** for the past three months immediately preceding the date of such reduction/cancellation/surrender of the capacity, for balance period of allocation ; and

- (e) In respect of charges for dedicated systems provided in Regulation 8(1)(c) of these regulations, customers who were also provided any dedicated transmission and/or distribution systems, the penalty payable for reduction or cancellation or surrendering of such dedicated capacity shall be 100% of the charges payable by such customer for the dedicated capacity so surrendered/cancelled /reduced for balance period of allocation, unless they can find alternate users for the such dedicated capacity.
- (2) Short-term open access customer
 - (a) In case a short-term open access customer is unable to utilise the reserved capacity to him, he shall inform the Nodal Agency with a copy to distribution licensee along with reasons for his inability to utilise the capacity and may surrender the reserved capacity.
 - (b) The State Load Despatch Center may reduce or cancel the reserved capacity of a short-term open access customer on account of underutilisation after providing appropriate notice under intimation to distribution licensee.
 - (c) A short-term Open Access customer, whose capacity has been reduced or cancelled or surrendered, shall bear the open access charges and operation charges based on the original reserved capacity for a period of thirty days from the date of receipt of request or the period of reservation surrendered or reduced or cancelled, as the case may be, whichever period is shorter.
 - (3) The State Transmission Utility shall develop the guidelines in this regard, in consultation with SLDC, within 90 days of notification of these Regulations and submit the same to the Commission for approval.

17. Non-drawal of scheduled power due to failure of Transmission/ Distribution system

If an open access customer is unable to draw the scheduled energy due to failure of transmission/distribution system of the licensee, the power injected by the supplier will be treated as Banked Power and the open access customer will be allowed to draw the same within a period of 3 months with an advance notice of

24 hours to the licensee. The power will in no case be drawn during evening peak load hours, unless banked during evening peak load hours. In case the open access customer is unable to draw the banked power, then he will be paid by the licensee UI charges for underdrawal of power as per Regulation 9 above.

18. Curtailment Priority

When, because of constraints or otherwise, it becomes necessary to curtail the open access service of the customers, subject to the requirements of Grid Code, the short term open access customers shall be curtailed first, followed by the long term open access customers. The open access to a distribution licensee shall be the last to be curtailed. SLDC shall frame guidelines for curtailment of open access customers.

19. Metering

- (1) ABT compatible special energy meters in accordance with the Central Electricity Authority (Installation and Operation of Meters) Regulations, 2006 at the point of injection if not already provided and point of drawal shall be provided.
- (2) The open access customer shall provide main meter on his premises at the point of drawal.
- (3) The generating company or the licensee contracting to effect supply to an open access customer shall provide main meter at injection points.
- (4) The distribution licensee shall provide check meter of the same specification as main meter at the point of injection and point of drawal as the case may be.
- (5) The main and check meters shall be periodically tested and calibrated by State Transmission Utility / distribution licensee as per Grid Code.
- (6) Reading of main and check meters shall be taken periodically at appointed day and hour by authorised officer of distribution licensee as per procedure specified in Grid Code.
- (7) Meter readings shall be immediately communicated by the distribution licensee within 12 hours to State Load Despatch Center, State Transmission Utility and generating company/ or the trading licensee, as the case may be.

- (8) Main and check meters shall have facility to communicate their readings to the State Load Despatch Center on real time basis.
- (9) The term 'Meter' shall include current transformers, potential transformer, wiring between them, meter box and panel.
- (10) The procedure for joint sealing, replacement of defective meter, billing in the event of meter(s) becoming defective shall be followed as laid down in the Grid Code.

20. Collection and Disbursement of charges

Unless notified otherwise by the Commission, the collection of various charges as specified in Regulation 8 shall be governed as follows:

- (1) The transmission and wheeling charges in respect of open access shall be payable by the open access customer directly to respective STU/Licensees.
- (2) The surcharge and additional surcharge shall be paid by the open access customer directly to the distribution licensee in his area of supply.
- (3) The scheduling and system operation charges in respect of open access customer shall be paid to the State Load Dispatch Centre.
- (4) Reactive energy charges, in accordance with provisions of Regulation 10 of these regulations, shall be paid by open access customers to the distribution licensee.
- (5) Penalty for Under/Non-Utilisation of open access capacity, in accordance with provisions of Regulation 16 of these regulations, shall be paid to the respective entity namely STU/transmission licensee/distribution licensee/SLDC by open access customer.

21. Billing and Payment

The licensee / SLDC shall raise bills and the Open Access customers shall pay the charges as below:

- (1) Transmission Charges
 - (a) The STU / Transmission licensee shall raise the bill for transmission charges on the Open Access customers before the 5th day of the succeeding calendar month.

- (b) The open access customer shall pay the charges within seven days from the date of the bill.
- (2) Wheeling Charges
 - (a) The Distribution licensee shall raise bill towards wheeling charges on the open access customer within five days from the date of meter reading.
 - (b) The open access customer shall pay the charges within seven days from the date of bill.
- (3) Scheduling and system operating charges
 - (a) The SLDC shall raise bills before the 5th day of the succeeding calendar month.
 - (b) The open access customer shall pay the charges within seven days from the date of the bill.
- (4) Surcharge and Additional Surcharge
 - (a) The Distribution Licensee shall raise bills on the open access customers within five days from the date of meter reading.
 - (b) The open access customer shall pay the charges within seven days from the date of bill.

22. Payment Security

- (1) Initial security deposit payable by open access customers shall be determined at the following rates or as determined by the Commission from time to time by an order:
 - (a) Rs. 0.5 lacs per MW of contracted capacity per month for transaction involving transmission system only.
 - (b) Rs.1.5 lacs per MW of contracted capacity per month for transaction involving transmission and distribution systems.
 - (c) Rs. 1.0 lac per MW of contracted capacity per month for transaction involving distribution system only.

Provided that security amount shall be charged for one month in case transaction is for less than three months otherwise for two months.

- (2) The open access customer shall furnish the above payment security within thirty days from the date of commencement of availing open access.
- (3) Such security may be in the form of cash deposit, irrevocable letter of credit from a local branch of a nationalised bank.
- (4) Additional Security Deposit
 - (a) The STU/transmission licensee/distribution licensee/SLDC shall review the adequacy of the security towards their respective open access charges from April to March of the previous year and the customer is required to maintain the following:
 - (i) As a payment security towards transmission charges, a deposit equal to three months of the average billing for these charges shall be maintained with the State Transmission Utility.
 - (ii) As a payment security towards wheeling charges, surcharge and additional surcharge, a deposit equal to three months of average billing for these charges shall be maintained with the distribution licensee of the area of supply.
 - (iii) As a payment security towards SLDC charges, a deposit equal to three months of the average billing for these charges shall be maintained with the State Load Dispatch Centre.
 - (b) Based on such review, if the security deposit falls short by not more than 10% of the existing security deposit, no claim shall be made for payment of additional security deposit. In case the security deposit falls short by more than 10% of the existing security deposit, the respective STU/licensees/SLDC shall issue the demand in the ensuing bill.
 - (c) If existing security deposit is found to be in excess of more than 10% of the required security deposit, refund of the excess amount shall be made by adjustment in the ensuing bills.
 - (d) Existing security deposit along with additional security deposit as above shall then become current security deposit and interest as

prescribed by the Commission from time to time shall be payable on full security deposit available with the STU/ licensees/SLDC.

- (e) Assessment of additional security deposit shall be done once a year in the month of April.
- (5) The security deposit available with the STU/licensees/SLDC in respect of each open access customer shall be shown in the bill issued to the customer. Refund of security to the customer by STU/ licensees/SLDC, as and when arises, shall be made through maximum of three bills without any other formalities.

CHAPTER 3

PART-OF-THE-DAY OPEN ACCESS

23. Eligibility for Part-of-day-Open Access Customer and conditions to be satisfied

- (1) Subject to the provisions of these regulations, any consumer of the licensee having contracted load more than 1 MW and connected through an independent feeder emanating from a grid substation of licensee or industrial feeder provided that all the consumers on such industrial feeder opt for Part-of-the-day open access and having simultaneous schedule of drawal under such open access.
- (2) Subject to the provisions of these regulations, in case of any part-of-day-open access customer, the minimum schedule for drawl of power through open access in each time slot during the day shall not be less than maximum demand recorded during previous month or 80% of its contracted load whichever is higher.

Explanation: For the purpose of this sub-regulation, "time slot" means each 30 minutes time block which is the minimum period for which the Time-of-day meter is capable of integrating the maximum demand.

24. Settlement of Energy at drawal point in respect of Part-of-the-day open access customer

- (1) The monthly energy drawal (in kVAh) during the open access period by such consumer shall be calculated from his scheduled drawal, and by

multiplying it with number of hours of open access availed during the month, as provided in the open access schedule of the consumer:

Provided that such energy drawal shall be at unity power factor.

- (2) Such energy drawal through open access shall be deducted from the monthly consumption/energy recorded in his existing meter installed by the distribution licensee for billing purposes.

<i>Example:</i>	
(a)	<i>Contracted load of the such consumer = 10,000 kVA</i>
(b)	<i>Scheduled drawal = 8000 kW</i>
(c)	<i>No. of hours during which open access was availed by the consumer during the month=180 hours</i>
(d)	<i>Assessed consumption for the month during open access period = 8000x180 = 1440000 kVAh = 1440000kWh (at unity pf)</i>
(e)	<i>Consumption recorded in consumer's meter for the month, say 2500000 kVAh</i>
(f)	<i>Net consumption for the month to be accounted by distribution licensee for billing purpose = 2500000-1440000= 1060000 kVAh</i>

- (3) Scheduling shall be done in accordance with relevant provisions of IEGC for inter-state transactions and in accordance with relevant provisions of Grid Code for intra-state transactions.

25. Overdrawal / Underdrawal at drawal point by respect of Part-of-the-day open access customer

Unscheduled Interchange (UI) charges as specified by CERC shall not be applicable for Part-of-the-day open access customers. However, Part-of-the-day open access customers shall be liable to pay penalty for drawing excess demand in the manner provided below:

- (1) Overdrawal

In case such open access customer draws power more than 100% of its contracted load or scheduled power, whichever is more for any time slot during the open access period in a day, it shall be liable for payment of penalty. Rate of penalty for each time slot (Rs./kVA of contracted load)

shall proportionate to the percentage of excess drawal as above and shall be equal to Rs. 0.10 for each percent.

Example:

Calculation of penalty for one day

<i>Contracted load (CD in kVA)</i>	<i>Excess drawal (%)</i>	<i>Rate of penalty (Rs./kVA/time slot)</i>	<i>No. of time slot where power drawn is more than 110% of the CD (TS)</i>	<i>Penalty for each time slot (Rs.)</i>	<i>Total Penalty payable by consumer (Rs.)</i>
		<i>(0.10x%excess drawal)</i>		<i>(TSxRatexCD)</i>	
10000	11	1.11	1	11100	15610000
	15	1.5	3	45000	
	20	2.0	5	100000	

Note: Any quantum of excess drawal by Part-of-the-day open access customer would reflect over drawal by distribution licensee (UPCL) from NR grid including transmission losses for State and NR system. Accordingly, UPCL shall be liable for payment of applicable UI charges for such overdrawal. Since UI charges are computed based on frequency dependent UI price vector and demand integrated over each 15 min time block recorded in SEM meters installed in the interstate lines. While, excess demand charges, calculated above, are at a different specified rates and based on demand integration over each 30 min time block recorded in existing ToD meters installed at consumer's premises. Therefore, in order to insure that UPCL does not incur any substantial loss on account of UI charges, the above mechanism have been proposed.

(2) Underdrawal

In case where Part-of-the-day open access customers underdraws from the grid, he shall be compensated at average rate for power purchase cost by distribution licensee as per applicable tariff order for relevant year and as described below:

Average rate of power purchase cost = [Total power purchase cost / Total units purchased].

26. Charges applicable to Part-of-the day open access customers

- (1) Part-of-the-day open access customers shall be exempted from payment of Transmission charges, Wheeling charges, cross subsidy surcharge and additional surcharge. However, they shall continue to pay other charges namely energy charge, demand/fixed charge, minimum consumption guarantee etc., applicable to them as per the rate schedule in the Tariff order.

Provided that energy charges shall be payable at balance energy/consumption calculated as per energy settlement mechanism provided in **Regulations 24& 25** above.

- (2) Part-of-the-day open access customers shall also be liable to pay composite operating charges @ Rs.2,000/- per day or part of the day for each transaction to the SLDC or as determined by the Commission from time to time.
- (3) In case inter-state transmission system is used by such consumer in addition intra-state transmission system or distribution system, transmission charges, RLDC charges etc., as fixed and approved by the CERC shall be payable for use of interstate transmission system in addition to payment of charges as per sub-regulation (1) &(2) above.

27. Drawal of power by Part-of-day-open access customer from distribution licensee

In cases where supplier fails to supply power, in any time slot, to Part-of-the-day open access customer due to any reason, said supplier shall revise his schedule as per provisions of Grid Code and the same shall be communicated to SLDC and concerned open access customer. The SLDC shall revise the schedule which shall become effective from 2nd time slot (counting the time slot in which such eventuality has taken place to be the first one) and the revised schedule shall be provided to distribution licensee. It shall be duty of open access customer to draw power as per revised schedule. Drawal of power in excess of the revised schedule by part of the day open access customer shall be treated as overdrawal of power by such consumer and shall be liable to pay penalty as per **Regulation 25** of the these regulations.

28. Nodal Agency for Part-of-day-open access customer

The Nodal Agency for Part-of-day-open access customer shall be the State Load Despatch Center and in such case, the State Transmission Utility and/or a transmission licensee and/or a distribution licensee concerned, as the case may be, shall be responsible for co-ordination with the State Load Despatch Center and provide all relevant information required to take decision for providing Open Access.

29. Procedure for Grant of Part-of-day-open access customer

The procedure for grant of short term open access specified in **regulation 15** shall be applicable for grant of Part-of-day-open access.

30. Metering for Part-of-day-open access customer

In case of Part-of-the-day open access customers, the existing ToD meter installed at their premises shall serve the purpose for energy accounting and billing.

31. Billing and Payment for Part-of-day-open access customer

(1) Part-of-the-day open access customer, being a consumer of distribution licensee, shall continue to pay electricity charges billed to him on monthly basis by the distribution licensee subject to adjustments of quantum of energy and other charges for drawal of power during the period of open access provided in **Regulation 26** above.

(2) Distribution licensee shall show these adjustments of quantum of energy and other charges, applicable to such consumer, separately in his electricity bill.

CHAPTER 3

INFORMATION SYSTEM

32. Information system

The State Load Dispatch Centre shall post following information on its website in a separate web page titled "Open access information" and also issue a monthly and annual report containing such information.

(1) A status report on **long term open access customers** indicating:

(a) Name of customer;

(b) Period of open access granted (date of commencement and date of termination);

- (c) Point of injection;
 - (d) Point of drawal;
 - (e) Transmission's system / distribution system used, and
 - (f) Open access capacity used.
- (2) A status report on the current **short term open access customers** indicating:
- (a) Name of customer;
 - (b) Period of open access granted (date of commencement and date of termination);
 - (c) Point of injection;
 - (d) Point of drawal;
 - (e) Transmission's system / distribution system used, and;
 - (f) Open access capacity used.
- (3) A status report on the **Part-of-the-day open access customers** indicating:
- (a) Name of customer;
 - (b) Period of open access granted (date of commencement and date of termination);
 - (c) Schedule of open access period for each day.
 - (d) Point of injection;
 - (e) Point of drawal;
 - (f) Transmission's system / distribution system used, and
 - (g) Open access capacity used.
- (4) Peak load flows and capacity available including the reserve capacity on all EHV lines and HV lines emanating from EHV sub stations.
- (5) The information regarding average loss in transmission and distribution system as determined by respective licensee.

CHAPTER 4

MISCELLANEOUS

33. Scheduling and Despatch Procedure

Scheduling and despatch procedures for open access transactions shall be in accordance with relevant provisions of IEGC for inter-state transactions and in accordance with relevant provisions of Grid Code for intra-state transactions.

34. Energy losses

(1) The transmission and distribution losses for the Intra State System shall be determined by the Commission in their Tariff Orders for the applicable year and shall be apportioned in proportion to the actual energy drawal by the open access customers and shall be payable in kind as explained in example below:

Example:

(A) *Embedded Generator connected to 33kV Distribution system of the licensee supplying to consumer outside State:*

(i) Power injected at interconnecting point	- 8.00 MW
(ii) Distribution system losses @ 15 %	- 1.20MW
(iii) Power injected at transmission level	- 6.80MW
(iv) State transmission system losses @1.86%	- 0.13 MW
(v) Net scheduled at state periphery (for SLDC)	- 6.67 MW
(vi) NR transmission losses@ 4%	- 0.27 MW
(vii) Net available to beneficiary	- 6.40MW

Note: In addition to above, transmission losses of other regions shall also be applicable if power is being transmitted outside NR region.

(B) *Consumer of distribution licensee availing power from outside State under open access (say from power exchange) :*

(i) Power drawn by the consumer at his premises (say A)	- 8 MW
(ii) Distribution loss @ 15% (say B)	
(iii) Power required at distribution periphery (C=A/(1-B))	- 9.4 MW
(iv) Transmission loss = 1.86% (say D)	
(v) Schedule at state periphery (E=C/(1-D))	- 9.6 MW

(vi) Central transmission losses(say F)

(vii) Power to be tied up from exchange ($G=E/(1-F)$) - 10 MW

Note: In addition to above, transmission losses of other regions shall also be applicable if power is being drawn from outside NR region.

35. Compliance of Grid Code and IEGC

The open access customer shall abide by the Grid Code, IEGC and instructions given by State Transmission Utility and State Load Dispatch Centre as applicable from time to time.

36. Exemption from Load shedding due to shortage of power

Open access customer shall be exempted from scheduled load shedding, being carried out by distribution licensee, due to shortage of power during any transaction period.

37. Redressal Mechanism

- (1) All disputes and complaints relating to open access shall be made to the respective nodal agency, which may investigate and endeavor to resolve the grievance within thirty days, and
- (2) Whenever the nodal agency viz., STU / SLDC is unable to resolve a grievance, the matter may be referred to the Commission.

38. Powers to Remove Difficulties

If any difficulty arises in giving effect to any of the provisions of these Regulations, the Commission may by general or special order, direct the State Transmission Utility, State Load Dispatch Centre, Licensees and the open access customer, to take such action, as may appear to the Commission to be necessary or expedient for the purpose of removing difficulties.

39. Force Majeure

- (1) Events such as war, mutiny, civil commotion, riot, flood, cyclone, lightning, earthquake or strike, lockout, fire affecting the premises, installations and activities of any of the parties having open access agreement shall be classified as force majeure events for the purpose of these regulations.

- (2) If any person being party to an open access agreement is unable to wholly or in part perform on time and as required, any obligation under such agreement or these regulations because of the occurrence of a force majeure event, then, subject to these regulations, that obligation is suspended to the extent for so long as the affected person's ability to perform such obligation is affected by that force majeure event.

40. Power to amend

The Commission may, at anytime, vary, alter, modify or amend any provisions of these Regulations.

41. Repeal and Savings

- (1) Save as otherwise provided in these regulations, the UERC (Terms and Conditions for Open Access in Distribution) Regulations, 2004, are hereby repealed.

- (2) Nothing contained in these Regulations shall invalidate the Commission's powers to exempt any Licensee or customer or person engaged in generation or a person whose premises are situated within the area of supply of a Distribution Licensee from any or all of the conditions for availing open access, whether before or after the notification of these Regulations:

Provided that the Commission shall, as far as practicable, give reasonable opportunity to any interested or affected party to make representations before granting such exemption.

- (3) Nothing in these Regulations shall be deemed to limit or otherwise affect the inherent power of the Commission to make such orders as may be necessary to meet the ends of justice or to prevent abuses of the process of the Commission.
- (4) Nothing in these Regulations shall bar the Commission from adopting in conformity with the provisions of the Act a procedure, which is at variance with any of the provisions of these Regulations, if the Commission, in view of the special circumstances of a matter or class of matters and for reasons to be recorded in writing, deems it necessary or expedient for dealing with such a matter or class of matters.

- (5) Nothing in these Regulations shall, expressly or impliedly, bar the Commission dealing with any matter or exercising any power or function under the Act for which no Regulations have been framed, and the Commission may deal with such matters, powers and functions in a manner it thinks fit.

(By Order of Uttarakhand Electricity Regulatory Commission)

Pankaj Prakash
Secretary

APPLICATION FOR GRANT OF LONG-TERM OPEN ACCESS
(to be submitted by the customer to STU)

To: General Manager (O&M)
PTCUL, Dehradun

1	Customer Application No.	<for office use only>	Date	
2	Customer Name			
3	Nature of Customer*	< seller/buyer/captive user/trader (on behalf of seller/buyer/captive user) >		
4	Trading License No. & Type	< if trader >	Valid up to	

<* In terms of power transfer>

5	Period of Open Access Sought for			
6	Proposed Date/Month of Commencement of Open Access			
7	Details of Proposed Open Access			
		Date		Capacity MW*
		From	To	
		Hours		
		From	To	

< MW* at point of injection >

General Information

8	Registered Address	< if registered as per Companies Act 1956 >
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9	Authorised Person(s)			
	(a) Prime Contact Details for the Purpose of Correspondences			
	Name			
	Designation			
	Phone	(Off)	(Res)	
	Mobile		Fax	
	E-Mail			
	(b) Alternate Contact Details			
	Name			
	Designation			
	Phone	(Off)	(Res)	
	Mobile		Fax	
	E-Mail			

10	Details of Non-Refundable Application Fee Made				
	Bank Details	Instrument Details			Amount(Rs.)
		Type(Draft/Cash)	Instrument No.	Date	

11	Details of PPA/PSA/MoU				
	Name & Address of Parties	Date of PPA/PSA/MoU	Validity Period		Capacity MW*
	Seller Buyer		Commencement	Expiry	

< MW* at point of injection >

Technical Information of Injecting Entity, Drawee Entity and Final Beneficiary

12	Involvement of Grid System during Transaction	Injecting Entity	Drawee Entity
	(a) Intra-State Transmission Network (Yes/No)		
	(b) Intra-State Distribution Network (Yes/No)		
	(c) Inter-State Transmission Network (Yes/No)	< if any >	

13	Details of Injecting Entity	
	(a)(1) Name	
	(2) Status (in terms of Ownership)	<State Utility/CPP/IPP/ Discom/Consumer/specify, if any other>
	(3) Whether Existing or New Entity	
	(4) Utility in which it is Embedded	
	(5) Whether Existing Long-term Customer	< yes/no >
	(6) Open Access Requirement of Entity	
	(i) Existing Capacity in Use (Contracted)	< if any >
	(ii) Open Access Capacity Sought for	
	(iii) Total Capacity (i+ii) (MW)	
	(7) Point of Grid Connectivity	< existing/proposed >
	(i) Name of Sub-station	
	(ii) Voltage level of Injection	
	(iii) Name of Licensee (Owner of S/S)	
	(8) ABT Interface Metering*	
	(i) Type	
	(ii) Make	
	(iii) Rating	
	(b) Other relevant information to support processing of application	
	(c) Other information as notified by STU on its web-site	

< * in case if ABT Meter is existing >

14	Details of Drawee Entity	
	(a)(1) Name	
	(2) Status (in terms of Ownership)	<State Utility/CPP/IPP/ Discom/Consumer/specify, if any other>
	(3) Whether Existing or New Entity	
	(4) Utility in which it is Embedded	
	(5) Whether Existing Long-term Customer	< yes/no >
	(6) Open Access Requirement of Entity	
	(i) Existing Capacity in Use (Contracted)	< if any >
	(ii) Open Access Capacity Sought for	
	(iii) Total Capacity (i+ii) (MW)	
	(7) Point of Grid Connectivity	< existing/proposed >
	(i) Name of Sub-station	
	(ii) Voltage level of Drawee	
	(iii) Name of Licensee (Owner of S/S)	
	(8) ABT Interface Metering*	
	(i) Type	
	(ii) Make	
	(iii) Rating	
	(b) Other relevant information to support processing of application	
	(c) Other information as notified by STU on its web-site	

< * in case if ABT meter is existing >

16(a) In case of Generating Station (Existing/Extension of Existing Station)			
< Customer may enclose supporting documents, as considered appropriate, duly signed on each page >			
(a) Existing Details of Station			
(1) Name			
(2) Name of Promoter			
(3) Location (with District)			
(4) Generation Capacity			
(5) Details of Units	Unit-	Unit-	Unit-
(Capacity in MW)			
(6) Type	< thermal/hydro/non-conventional/specify, if any other >		
(7) Fuel			
(8) Previous Three Years Generation	F. Y.	F. Y.	F. Y.
(in MU)			
(9) Whether Captive Plant	< yes/no; if yes F.Y. wise utilization during last 3 years>		
(10) Base Load or Peaking Load Station	< if peaking load, details of estimated hours of running >		
(11) Interfacing Voltage level with Grid S/S			
(12) Provision of Reactive Power			
(13) Other relevant Information			
(b) Augmentation/Renovation/Modernisation/Extension Details of Station (if any)			
(1) Specific Details			
(2) Commissioning Schedule			
(3) Other relevant Information			
(c) Description of 'electricity systems' along with Single Line Diagram (connected and/or proposed)			
(d) Other information as notified by STU on its web-site			

16(b) In case of New Generating Station			
< Customer may enclose supporting documents, as considered appropriate, duly signed on each page >			
(a)(1) Name			
(2) Name of Promoter			
(3) Location (with District)			
(4) Generation Capacity			
(5) Details of Units	Unit-	Unit-	Unit-
(Capacity in MW)			
(Commissioning Schedule)			
(6) Type	< thermal/hydro/non-conventional/specify, if any other >		
(7) Fuel			
(8) Whether Captive Plant	< yes/no >		
(9) Base Load or Peaking Load Station	< if peaking load, details of estimated hours of running >		
(10) Interfacing Voltage level with Grid S/S			
(11) Provision of Reactive Power			
(12) Whether identified Station of CEA	< yes/no >		
(13) Status of Clearances (Yes/No)			
i) Land Requisition			
ii) Fuel Agreement			
iii) Environment and Forest			
iv) TEC	< if required >		
v) PPA with Beneficiaries			
(14) Other relevant Information			
(b) Description of proposed 'electricity systems' along with Single Line Diagram			
(c) Other information as notified by STU on its web-site			

17	Details of Final beneficiary(to whom Power is to be Transmitted and/or Wheeled) < Customer may enclose supporting documents, as considered appropriate, duly signed on each page >	
	(A) In case of Distribution Licensee	
	(a)(1) Name of Entity	
	(2) Address of Entity	
	(3) Utility in which it is Embedded	
	(4) Allocation of Power (MW)	
	(5) Other relevant Information	
	(b) Other information as notified by STU on its web-site	
	(B) In case of other than Distribution Licensee	
	(a)(1) Name of Person/Entity	
	(2) Address of Person/Entity	
	(3) Utility in which it is Embedded	
	(4) Place of Installation to be Benefited	
	(5) Allocation of Power (MW)	
	(6) Quantum of Special Loads like arc furnace etc.	<requiring high reactive support from system
	(7) Reactors/Capacitors Installed	
	(8) Beneficiary is Consumer of Distribution Licensee	< yes/no >
	If Yes , then i) Name of Distribution Licensee	
	ii) Existing Contracted Capacity	
	(9) Other relevant Information	
	(b) Other information as notified by STU on its web-site	
18	Other relevant Information	

Undertaking on Behalf of Customer/User's involved in Transaction

19	Declaration	
	(a) All Entities/Utilities to transaction shall abide by provisions of the Electricity Act 2003(the Act), UERC (Terms and Conditions for Intra State Open Access) Regulations, 2010 and any other relevant regulation/order/code as amended from time to time.	
	(b) M/s _____ have a valid license (No. _____ , Type ___ and valid up to _____) issued by _____ for inter-State/intra-state trading and will abide by CERC Regulations on inter-State Trading License, as amended from time to time and amendments thereof . < This clause is applicable only in case customer is a trader >	
	(c) The open access customers involved in transactions shall abide by provisions of UERC(State Grid Code), 2007, IE Rules 1956 made under Section 37 of Indian Electricity Act 1910 whichever applicable, Technical Standards for Construction of Electrical Plants, Electric Lines and Connectivity to Grid , Grid standards for operation and maintenance of transmission lines, safety requirements for construction, operation and maintenance of electrical plants specified by CEA under section 73 of the Act, measures relating to Safety and Electric Supply made by CEA under Section 53 of the Act, Standards of performance of licensee made by the Commission under Section 57 and 58 of the Act, and Installation and Operation of Meters Regulations made by CEA.	
	(d) I hereby agree to keep STU and SLDC indemnified at all times and shall undertake to indemnify, defend and keep STU and SLDC harmless from any and all damages, losses, claims and actions including those relating to injury to or death of any person or damage to property, demands, suits, recoveries, costs and expenses, court costs, attorney fees, and all other obligations by or to third parties, arising out of or resulting from open access transaction.	

Place
Date

Signature (with stamp)
Name & Designation

Enclosures

- (1) Non-refundable application fee by demand draft or cash receipt (if payment by cash).
- (2) Self-certified copy of PPA/PSA/MoU entered between the parties (buyer and seller) of transaction stating contracted power, period of transaction, drawal pattern, point(s) of injection and drawal etc.
- (3) Self-certified copy of trading license. (If applicable)
- (4) Detailed description of 'electricity systems' of injecting and drawee entities (involved in the transaction) already connected and/or proposed to be connected with intra-State transmission system along with single line diagrams.
- (5) Connectivity and interface metering related supporting documents including outdoor and indoor layout single line diagrams. (If existing User's of intra-State system)
- (6) If any other.

Copy to along with relevant enclosures [except (1), (2) & (3)]

- (1) Managing Director of any other transmission licensee involved in transaction.
- (2) Managing Director of distribution licensee involved in transaction.
- (3) State Load Despatch Centre.
- (4) Any other concerned.

For use of STU (with Reference to Enrolment of Application)	
STU Reference ID No.	
Or Reason of Refusal*	
In case Application is Rejected	

< * STU may also enclose supporting documents for the reasons of refusal duly signed on each page >

ACKNOWLEDGEMENT
(for office use only)

APPLICATION FOR GRANT OF LONG-TERM OPEN ACCESS

(A) < to be filled by the customer >

1	Customer Application No.	< self generated by customer >	Date	
2	Customer Name			
3	Nature of Customer*	< seller/buyer/captive user/trader (on behalf of seller/buyer/captive user) >		
4	Trading License No. & Type	< if trader >	Valid up to	

<* In terms of power transfer>

5	Period of Open Access Sought for				
6	Proposed Date/Month of Commencement of Open Access				
7	Details of Proposed Open Access				
	Date		Hours		Capacity
	From	To	From	To	MW*

< MW* at point of injection >

(B) < to be filled by STU >

Date and Time of Receipt of Application	
---	--

Place
Date

Signature (with stamp)
Name & Designation

FORMAT- LT1: APPLICATION FOR GRANT OF LONG-TERM OPEN ACCESS (Page -6 of 6)

.....✂.....✂.....

ACKNOWLEDGEMENT

(to be issued by STU to the customer immediately on receipt of application duly filled in)

APPLICATION FOR GRANT OF LONG-TERM OPEN ACCESS

(A) < to be filled by the customer >

1	Customer Application No.	< self generated by customer >	Date	
2	Customer Name			
3	Nature of Customer*	< seller/buyer/captive user/trader (on behalf of seller/buyer/captive user) >		
4	Trading License No. & Type	< if trader >	Valid up to	

<* In terms of power transfer>

5	Period of Open Access Sought for				
6	Proposed Date/Month of Commencement of Open Access				
7	Details of Proposed Open Access				
	Date		Hours		Capacity
	From	To	From	To	MW*

< MW* at point of injection >

(B) < to be filled by STU >

Date and Time of Receipt of Application	
---	--

Place
Date

Signature (with stamp)
Name & Designation

N.B.: This counterfoil may be scored out and issued to the customer.

FORMAT-LT1: APPLICATION FOR GRANT OF LONG-TERM OPEN ACCESS (Page -6 of 6)

APPROVAL FOR LONG-TERM OPEN ACCESS

(to be issued by STU)

Ref: STU Ref. No. _____

Date _____

To : _____ (Name and Address of Customer)

1	Customer Application No.	< as provided by customer on Format LT1 >	Date	
2	Customer Name			
3	Nature of Customer*	< seller/buyer/captive user/trader(on behalf of seller/buyer/captive user) >		
4	Trading License No. & Type	< if trader >	Valid up to	

<* In terms of power transfer>

5	Period of Open Access Approved for			
6	Date/Month of Commencement of Open Access			
7	Details of Open Access			
		Date		Hours
		From	To	To
				Capacity
				MW*

< MW* at point of injection >

8	Nature of Requirement for Grant of Open Access (Refer regulations 14 Procedure for long term open access)	
	Electricity System Strengthening is Involved (Yes*/No)	

< * In case if 'Yes' i.e. system strengthening is involved, enclose preliminary report duly signed on each page >

9	Details of Transaction Party's to Grid		
		Injecting Entity	Drawee Entity
	Name of Entity		
	Status of Entity*		
	Utility in which it is Embedded		

< * In terms of ownership- State Utility/CPP/IPP/ ISGS/Discom/Consumer/specify, if any other >

10	Involvement of Grid System during Transaction	Injecting Entity	Drawee Entity
	(a) Intra-State Transmission Network (Yes/No)		
	(b) Intra-State Distribution Network (Yes/No)		
	(c) Inter-State Transmission Network (Yes/No)	< if any >	

11	Details of Injecting/Drawee Connectivity with intra-State System		
		Injecting Entity	Drawee Entity
	Name of Sub-station	Transmission	
		Distribution	
	Voltage Level	Transmission	
		Distribution	
	Name of Licensee (Owner of S/S)		
	Intervening intra-State Licensee		
	Intervening inter-State Licensee		

< Distribution license, if required, may treat interface periphery as its connectivity points >

12	Details of Final Beneficiary (to whom Power is to be Transmitted and/or Wheeled)	
	(1) Name of Person/Entity	
	(2) Address of Person/Entity	
	(3) Utility in which it is Embedded	
	(4) Allocation of Power (MW)	
	(5) Place of Installation to be Benefited	< in case other than distribution licensee >
	(6) Name of Distribution Licensee	<in case beneficiary is consumer of distribution licensee>

13	Other information as notified by STU on its web-site

General Information

14	Registered Address	<i>< as provided by customer on Format LT1 ></i>
----	--------------------	--

15	Authorised Person(s)		
	(a) Prime Contact Details for the Purpose of Correspondences		
	Name		
	Designation		
	Phone	(Off)	(Res)
	Mobile		Fax
	E-Mail		
	(b) Alternate Contact Details		
	Name		
	Designation		
	Phone	(Off)	(Res)
	Mobile		Fax
	E-Mail		

16. The grant of long-term open access for use of intra-State system for transmission and/or wheeling of capacity is vide Nodal STU Approval No. _____ dated ____ subject to following conditions:

- (a) _____
- (b) _____

17. The preliminary report with reference to said transmission and/or wheeling capacity allotment in intra-State system is enclosed herewith. < only in case if system strengthening is involved >

18. The terms and conditions for said transmission and/or wheeling capacity allotment through long-term open access shall be as per Bulk Power Transmission Agreement (BPTA) and/or Bulk Power Wheeling Agreement (BPWA), the draft(s) of which is/are enclosed herewith. You are requested to execute the BPTA and/or BPWA with concerned transmission licensee and/or distribution licensee within ____ days from the date of this allotment letter.

19. The said approval of transmission and/or wheeling capacity for use of intra-State system through long-term open access is subject to UERC (Terms and Conditions for Intra State Open Access) Regulations, 2010 and any other relevant regulation/order/code, as the case may be, as amended and applicable from time to time.

Place
Date

Signature (with stamp)
Name & Designation

Enclosure

- (1) BPTA and/or BPWA
- (2) Preliminary Report (if applicable)
- (3) If any other

Copy to along with preliminary report and other relevant enclosures

- (2) Managing Director of any other transmission licensee involved in transaction.
- (3) Managing Director of distribution licensee involved in transaction.
- (4) State Load Despatch Centre.
- (5) Any other concerned.

FORMAT- ST1

APPLICATION FOR GRANT OF SHORT-TERM OPEN ACCESS

(to be submitted by the customer to SLDC)

To: Dy. General Manager (SLDC),
400 kV sub-station, Veer Bhadra, Rishikesh

1	Customer Application No.	< self generated by customer >	Date	
2	Period of Transaction	< 3 months and more / less than 3 months / day-ahead >		
3	Nature of Customer*	< seller/buyer/captive user/trader(on behalf of seller/buyer/captive user) >		

<* In terms of power transfer>

4	Customer Name			
5	Registration Code		Valid up to	

< Registration code shall be as provided by SLDC >

6	Details of Transaction Party's to Grid			
		Injecting Entity	Drawee Entity	
	Name of Entity			
	Status of Entity*			
	Utility in which it is Embedded			

< * In terms of ownership- State Utility/CPP/IPP/ ISGS/Discom/Consumer/specify, if any other >

7	Details of Injecting/Drawee Connectivity with intra-State System			
		Injecting Entity	Drawee Entity	
	Name of Sub-station	Transmission		
		Distribution		
	Voltage Level	Transmission		
		Distribution		
	Name of Licensee (Owner of S/S)			
	Intervening intra-State Licensee			
	Intervening inter-State Licensee			

< Distribution license, if required, may treat interface periphery as its connectivity points >

8	Open Access Sought for (Period from date ____ to date ____)				
	Date		Hours		Capacity
	From	To	From	To	MW*

< MW* at point of injection >

9	Details of PPA/PSA/MoU					
	Name & Address of Parties		Date of PPA/PSA/MoU	Validity Period		Capacity MW*
	Seller	Buyer		Commencement	Expiry	

< MW* at point of injection >

10	Details of Non-Refundable Application Fee Made				
	Bank Details	Instrument Details			Amount(Rs.)
		Type(Draft/Cash)	Instrument No.	Date	

11	I hereby authorize SLDC to process said application, in case open access capacity allotted, for day-ahead scheduling in accordance with the provisions of intra-State ABT.
----	--

12	<u>Declaration</u> All Entities/Utilities to transaction shall abide by provisions of the Electricity Act 2003(the Act), UERC (Terms and Conditions for Intra State Open Access) Regulations and any other relevant regulation/order/ code as amended from time to time.
----	--

Place
Date

Signature (with stamp)
Name & Designation

Enclosures

- (1) Non-refundable application fee by Demand draft or cash receipt (if payment by cash).
- (2) Self-certified copy of PPA/PSA/MoU entered between the parties (buyer and seller) of transaction stating contracted power, period of transaction, drawal pattern, point(s) of injection and drawal etc.
- (3) Self-certified copies of concurrence of STU and/or transmission licensee and/or distribution licensee. (If period of transaction is of three months and more)
- (4) If any other

Copy to along with relevant enclosures [except (1) & (2)]:

- (1) Managing Director of transmission licensee involved in transaction.
- (2) Managing Director of distribution licensee involved in transaction or as per clause 14.8 of procedure.
- (3) Officer in charge of transmission substation involved in transaction.
- (4) Officer in charge of distribution substation involved in transaction.
- (5) Any other concerned.

For use of SLDC (with Reference to Enrolment of Application)	
SLDC Reference ID No.	
Nodal SLDC Approval No.	<i>< if approved ></i>
Or Reason of Refusal* (If Refused)	

*< * SLDC may also enclose supporting documents for the reasons of refusal duly signed on each page >*

ACKNOWLEDGEMENT

(for office use only)

APPLICATION FOR GRANT OF SHORT-TERM OPEN ACCESS

(A) < to be filled by the customer >

1	Customer Application No.	< self generated by customer >	Date	
2	Period of Transaction	< 3 months and more / less than 3 months / day-ahead >		
3	Nature of Customer*	< seller/buyer/captive user/trader(on behalf of seller/buyer/captive user) >		

<* In terms of power transfer>

4	Customer Name			
5	Registration Code		Valid up to	

< Registration code shall be as provided by SLDC >

(B) < to be filled by SLDC >

Date and Time of Receipt of Application	
---	--

Place

Date

Signature (with stamp)

Name & Designation

FORMAT- ST1: APPLICATION FOR GRANT OF SHORT-TERM OPEN ACCESS (Page -3 of 3)

.....✂.....✂.....

ACKNOWLEDGEMENT

(to be issued by SLDC to the customer immediately on receipt of application duly filled in)

APPLICATION FOR GRANT OF SHORT-TERM OPEN ACCESS

(A) < to be filled by the customer >

1	Customer Application No.	< self generated by customer >	Date	
2	Period of Transaction	< 3 months and more / less than 3 months / day-ahead >		
3	Nature of Customer*	< seller/buyer/captive user/trader(on behalf of seller/buyer/captive user) >		

<* In terms of power transfer>

4	Customer Name			
5	Registration Code		Valid up to	

< Registration code shall be as provided by SLDC >

(B) < to be filled by SLDC >

Date and Time of Receipt of Application	
---	--

Place

Date

Signature (with stamp)

Name & Designation

N.B.: This counterfoil may be scored out and issued to the customer.

FORMAT-ST1: APPLICATION FOR GRANT OF SHORT-TERM OPEN ACCESS (Page -3 of 3)

12. The approval is subject to provisions of UERC (Terms and Conditions for Intra State Open Access) Regulations, 2010 and any other relevant regulation/order/code as amended and applicable from time to time. *< only in case of approval >*

13. No approval is being granted on account of *<only in case of rejection>*

< SLDC shall convey specific reasons if open access is denied and may also enclose supporting documents to support the same duly signed on each page >

Place
Date

Signature (with stamp)
Name & Designation

Enclosures

- (1) Schedule of payments *< only in case of approval >*
- (2) If any other

Copy to along with enclosures

- (1) Customer
- (2) Managing Director of transmission licensee involved in transaction.
- (3) Managing Director of distribution licensee involved in transaction or as per clause 14.8 of procedure.
- (4) Officer in charge of transmission substation involved in transaction.
- (5) Officer in charge of distribution substation involved in transaction.
- (6) Any other concerned.

ENCLOSURE to FORMAT- ST2

SCHEDULE OF PAYMENTS

(to be enclosed for each month by SLDC along with FOMAT-ST2)

Nodal SLDC Approval No.	/ (R-0)	Date
-------------------------	---------	------

< 'R-O' states original approval with revision no. - zero >

1	Customer Application No.	<as provided by customer on FORMAT-ST1>	Date
2	Period of Transaction	< 3 months and more /less than 3 months/ day-ahead >	
3	Nature of Customer*	< seller/buyer/captive user/trader(on behalf of seller/buyer/captive user) >	

<* In terms of power transfer>

4	Customer Name	
5	Registration Code	Valid up to

6	Tentative* Monthly Payment Schedule for Short-Term Open Access Charges (Period: date ____ to date ____)			Month
	Payment Chargeable for	Rate (Rs./kWh)	MWh	Total (Rs.)
	(1) Intra-State Network			
	(a) Transmission Charges concerned Transmission Licensee Intervening intra-State Licensee(if any)			
	(b) Wheeling Charges concerned Distribution Licensee Intervening intra-State Licensee(if any)			
	(c) Surcharge concerned Distribution Licensee			
	(d) Additional Surcharge concerned Distribution Licensee			
	(e) SLDC Charges SLDC			
	(2) Inter-State Network Transmission Charges Intervening inter-State Licensee(if any)			
	Total Monthly Payment Amount (Rs.)			

Place
Date

Signature (with stamp)
Name & Designation

* Tentative on the basis of MWh mentioned in application which may vary on actual operation.

FORMAT- ST3

CONGESTION INFORMATION AND INVITATION OF SNAP BIDS
(to be invited by SLDC)

SLDC Bidding Invitation No. _____

Date _____

1	Customer Application No.	<as provided by customer on FORMAT-ST1>	Date	
2	Period of Transaction	< 3 months and more / less than 3 months / day-ahead >		
3	Nature of Customer*	< seller/buyer/captive user/trader(on behalf of seller/buyer/captive user) >		

<* In terms of power transfer>

4	Customer Name			
5	Registration Code		Valid up to	

6. The anticipated congestion (transformer and electric line/link) is as follow:

Network Corridor		Congestion Period				Margin/ Capacity Available MW	Total Capacity Applied by all the Customers MW
Sub-station with Transformation Capacity	Electric Line/Link with Capacity	Date		Hours			
		From	To	From	To		
Intra-State Transmission System							
Intra-State Distribution System							
Inter-State Transmission System							

7. In view of above please submit bid on format [FORMAT-ST4]. The details for bidding are hereunder:

(a) Bid Invitation Date		Time					
(b) Bid Submission Date		Time					
(c) Bid Opening Date		Time					
(d) Bids Invited for							
Intra-State Network Corridor		Congestion Period				Margin/Capacity Available for Bidding MW	Floor Price Rs./kWh
Sub-station	Electric Line/Link	Date		Hours			
		From	To	From	To		
Name of Transmission System							
Name of Distribution System							

8. In case of non submission of bid, application shall be deemed to have been withdrawn and shall not be processed.

Place
Date

Signature (with stamp)
Name & Designation

To: Customers with their reference's < as provided by customers at sl.no. 1 on FORMAT-ST1 >

FORMAT- ST4

SNAP BID PROPOSAL

(to be submitted by the customer to SLDC)

Ref: SLDC Bidding Invitation No. _____

Date _____

To: Dy. General Manager (SLDC),
400 kV sub-station, Veer Bhadra, Rishikesh

1	Customer Application No.	<as provided by customer on FORMAT-ST1>	Date	
2	Period of Transaction	< 3 months and more / less than 3 months / day-ahead >		
3	Nature of Customer*	< seller/buyer/captive user/trader(on behalf of seller/buyer/captive user) >		

<* In terms of power transfer>

4	Customer Name			
5	Registration Code		Valid up to	

6. In reference to above bidding invitation, I hereby submit my bid as follows:

Bidding Details as provided by SLDC							Bid Price to be Quoted by Bidder
Intra-State Network Corridor		Congestion Period				Margin/Capacity Available for Bidding	
Sub-station	Electric Line/Link	Date		Hours			MW
		From	To	From	To	Rs./kWh*	
Name of Transmission System							
Name of Distribution System							

< * Bidder shall quote price (rounded-off to whole number) in denotation of floor price >

7. I do hereby agree that determined bid price(s) shall be transmission and/or wheeling charges.

Place
Date

Signature (with stamp)
Name & Designation