

INDEX OF FORMATS

Formats for Thermal/Gas

S. No.	Format No.	Description
1	Form: F-1.1	Computation of Per Unit Rate
2	Form: F-1.2	Summary of Revenue and Revenue Requirement
3	Form: F-2.1	Saleable Energy & PAF
4	Form: F-2.2	Information on Energy Generation (MU)
5	Form: F-2.3	Salient Features of Thermal Project
6	Form: F- 3	Calculation of Net Annual Fixed Charges
7	Form: F-4.1	Computation of Energy Charges & Fuel stocks
8	Form: F-4.2A	Details/Information to be Submitted in respect of Fuel for Computation of Energy Charges - Gas
9	Form: F- 5.1	Statement of Gross Fixed Asset Base & Financing Plan
	Form: F-5.1A	Abstract of Capital Cost Estimates and Schedule of Commissioning for the New Projects
10	Form: F- 5.2	Statement of Asset wise Depreciation
11	Form: F- 5.3	Statement of Depreciation
12	Form: F- 6.1	Statement of Capital Expenditure
13	Form: F- 6.2	Statement of Capital Works-in-Progress
14	Form: F- 6.3	Statement of Capital Expenditure & Schedule of COD of New Projects
15	Form: F- 6.4	Break-up of Capital Expenditure for New Projects
16	Form: F- 6.5 A	Break-up of Capital cost for Gas based projects on COD
17	Form: F- 6.6	Break-up of Construction / Supply / Service packages on COD (For New Stations)
18	Form: F- 6.7	Draw Down Schedule for Calculation of IDC & Financing Charges
19	Form: F- 6.8	In case there is cost over run
20	Form: F- 6.9	Actual cash expenditure
21	Form: F- 6.10	In case there is time over run
22	Form: F-6.11	Year wise Statement of Additional Capitalisation after COD
23	Form: F-6.12	Statement of Additional Capitalisation during fag end of useful life of the Project
24	Form: F-6.13	Statement showing reconciliation of ACE claimed with the capital additions as per books
25	Form: F-6.14	Statement of De-capitalisation
26	Form: F-6.14A	Statement showing items/assets/works claimed under Exclusions:
27	Form: F-6.15	Return on Equity
28	Form: F-6.15A	In case there is claim of additional RoE
29	Form: F- 7	Details of Capital Cost and Financing Structure
30	Form: F- 8	Details of Financial Packages
31	Form: F- 9.1	Statement of Outstanding Loans
32	Form: F- 9.2	Calculation of Weighted Average Interest Rate of Interest on Actual Loans
33	Form: F- 9.3	Calculation of Interest on Normative Loan
34	Form: F- 10 A	Details of Interest on Working Capital for Gas based Stations
35	Form: F- 11	Details of Operation & Maintenance Expenses
36	Form: F-11.1	Details of Repair & Maintenance Expenditure
37	Form: F-11.2	Details of Employee Expenses
38	Form: F-11.3	Details of Administration & General Expenses
39	Form: F-12	Non Tariff Income
40	Form: F- 13	Summary of Truing Up

Name of Generating Company _____

Name of Generating Station _____

Form: F-1.1

Computation of Per Unit Rate

(Figures in Rs Crore)

S. No.	Item	Units	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
			(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected
1	Annual Fixed Cost	Rs. Crore							
2	Primary Fuel Cost	Rs. Crore							
3	Saleable Energy (Gross Generation net of auxiliary consumption)	MU							
4	Per unit Rate of Saleable Energy	Rs./unit							

Petitioner

Name of Generating Company

Name of Generating Station _____

Form: F-1.2

Summary of Revenue and Revenue Requirement

(Figures in Rs Crore)

S. No.	Item	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
		(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected
A.	Generation							
1	Gross Generation (MU)							
2	Aux Consumption (%)							
3	Aux Consumption (MU)							
4	Net Generation (MU) (1-3)							
B.	Revenue							
1	Revenue from Sale of Power							
2	Non-Tariff Income							
	Total Revenue (1+2)							
C.	Expenditure							
1	Primary Fuel Charges							
2	O&M expenses							
a	R&M Expense							
b	Employee Expenses							
c	A&G Expense							
3	Secondary Fuel Oil Cost							
4	Depreciation							
5	Lease Charges							
6	Interest on Loans							
7	Interest on Working Capital							
	Total Expenditure (1+2+3+4+5+6+7)							
D.	Return on Equity							
E	Revenue Requirement (C+D)							
	Surplus (+) / Shortfall (-) (B-E)							

Note:
n= FY 2012-13

Petitioner

Name of Generating Station

Form: F-2.1

Saleable Energy & PAF

(Figures in Rs Crore)

Sl. No.	Description	Unit	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
			(Actuals/ Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected
1	Gross Generation	(MU)							
2	Auxiliary Consumption								
	(a) In % of Energy Generated	(%)							
	(b) In MU	(MU)							
3	Saleable Energy (1-2b)	(MU)							
4	Plant Availability Factor	%							

Petitioner

Name of Generating Station

Form: F-2.2
Information on Energy Generation (MU)

(Figures in Rs Crore)

Sl.No.	Month	Previous Year (n-1)			Current Year (n)			Ensuing Year (n+1)			Ensuing Year (n+2)			Ensuing Year (n+3)		
		Gross Generation	Auxiliary Consumption	Net Generation	Gross Generation	Auxiliary Consumption	Net Generation	Gross Generation	Auxiliary Consumption	Net Generation	Gross Generation	Auxiliary Consumption	Net Generation	Gross Generation	Auxiliary Consumption	Net Generation
1	April															
2	May															
3	June															
4	July															
5	August															
6	September															
7	October															
8	November															
9	December															
10	January															
11	February															
12	March															
	Total															

Petitioner

Form: F-2.3
Salient Features of Thermal Project

(Figures in Rs Crore)

Sl.No.	Details		Unit I	Unit II	Unit III	
	Unit (s) Block (s) Parameters					
1	Pressure	(Kg/ Cm ²)				
2	Temperature	(⁰ C)				
	" - At Superheater Outlet					
	" - At Reheater Outlet					
3	Guaranteed Desion Heat Rate	(kCal/Kwh)				
	Conditions on which gauranteed					
a	% MCR					
b	% Make-Up					
c	Desiqn Fuel					
d	Desiqn Cooling water Temperature					
e	Back pressure					
	Note: in case gauranteed heat rate is not available then furnish the gauranteed turbine cycle heat rate and gauranteed boiler efficiency sererately along with condition of gaurantee.					
4	Type of Cooling Tower					
5	Installed capacity (IC)	MW				
6	Date of Commercial Operation					
	Details of tied up beneficiaries/target beneficiaries/ merchant capacity along with percentage share with reference to the installed capacity for each beneficiary/category					
7	Type of Cooling system ¹					
8	Type of Boiler Feed Pump ²					
	Associated transmission system or proposed evacuation arrangement 1) Voltage level. 2) Conductor Name & no. of Circuits. 3) Line length in Km. 4) Name of Interconnecting Substation.					
9	Fuel Details ³					
	Main fuel details and its Source (Indigenous/imported)					
	Mode of Transport					
	Gross Calorific Value (GCV) of fuel used/ to be used					
a	- Primary fuel					
b	- Sedondary Fuel					
	Secondary fuel used/proposed to be used					
c	- Alternate Fuel					
10	Special Features/ Site specific Features ⁴					
11	Special Technological Features ⁵					
12	Encvironmental Regulation related features ⁶					
13	Any other special features					
14	Number and Type of Boiler Feed Pumps					
15	Type of Governing System					
16	Type of Excitation System					
17	Generator transformer details: a) Make b) No. of Transformers c) Rating d) Voltage Ratio					

1. Close Circuit cooling, once though cooling, natural draft cooling, induced draft cooling etc.

2. Motor Driven, Steam driven etc.

3. Coal or natural gas or naptha or lignite etc.

4. Any site specific feature such as Mery-Go-Round, Vicinity to sea, Intake/ makeup water system etc. scrubbers etc. Specify all such features.

5. Any Special Technological feature like advanced class FA Technology in Gas Turbines, etc.

6. Environmental regulation related features like FGD, ESP etc.

Form: F- 3

Calculation of Net Annual Fixed Charges

(Figures in Rs Crore)

Sl.No.	Year ending March	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
		(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected
1	Interest on Loan (Including Interest on Normative Loans)							
2	Depreciation							
3	Lease Charges							
4	Return on Equity							
	(a) Rate of Return on Equity							
	(b) Equity							
	(c) Return on Equity (4a)*(4b)							
5	O&M Expenses							
	5.1 Employee Costs							
	5.2 Repair and Maint. Expenses							
	5.3 Admin & Gen Costs							
6	Interest on Working Capital							
7	Secondary Fuel Cost							
8	Gross Annual Fixed Charges (1+2+3+4(c)+5+6+7)							
9	Less; Other Income (provide details)							
10	Net Annual Fixed Charges (8-9)							

Petitioner

Name of Generating Company

Name of Generating Station _____

Form: F-4.1**Computation of Energy Charges & Fuel stocks**

(Figures in Rs Crore)

SL No	Description	Unit	Previous Year (n-1)	Current Year (n)		Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+2)
			Actual / Audited	Apr-Sep (Actual)	Oct-Mar (Estimated)	Projected	Projected	Projected
1	Rated Capacity	MW						
2	Target Availability (PLF)	%						
3	Units of Generation at Normative PLF	MU						
4	Gross Station Heat Rate (GHR)	Kcal/Kwh						
5	Specific Fuel Oil Consumption (SFC)	ml/Kwh						
6	Calorific Value of Secondary Fuel (CVSF)	Kcal/L						
7	Weighted Average Landed Price of Primary Fuel (LPPF)	Rs./MT or Rs./KL						
8	Weighted Average Landed Price of Secondary Fuel	Rs./KL						
9	GCV of Domestic Gas as per bill of Gas compant (CVPF)	kCal/ Litre						
10	GCV of Domestic Gas as per bill of Gas company(CVPF)	kCal/ Litre						
11	GCV of Imported Gas as per bill of Gas company (CVPF)	kCal/ Litre						
12	Normative Auxiliary Consumption (%)	%						
13	Ex-Bus Primary Fuel Chages	Ps./Kwh						
14	Energy Generation in One Month	MU						
15	Energy Sent Out in One Month	MU						
16	Average Cost of Primary Fuel per Month	Rs. Crores						
17	Average Cost of Secondary Fuel per Month	Rs. Crores						
18	Average Cost of Main Secondary Fuel per Month (for WC)	Rs. Crores						

Petitioner

Name of Generating Company

Name of Generating Station _____

Form: F-4.2A**Details/Information to be Submitted in respect of Fuel for Computation of Energy Charges - Gas**

(Figures in Rs Crore)

SL No	Description	Unit	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
			Actual / Audited	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected
1	Quantity of Fuel purchased	(MMSCM / KL)							
2	Amount payable to Fuel supplier	(Rs. Crore)							
3	Total Transportation Charges	(Rs. Crore)							
	Total Fuel Cost	(Rs. Crore)							
4	Weighted average GCV of Fuel	(kCal/Kg) / (kCal/KL)							

Petitioner

Form: F- 5.1**Statement of Gross Fixed Asset Base & Financing Plan****Final Approved Cost as on Commercial Operations Date**

(Figures in Rs Crore)

	Capital Expenditure	Date of commercial operation
(a) Unit/Block -1		(DD/MM/YY)
(b) Unit/Block-2		(DD/MM/YY)
(c) Unit/Block-3		(DD/MM/YY)
(d) Unit/Block-4		(DD/MM/YY)
(e) Unit/Block-5, etc.		(DD/MM/YY)

Original Financing Plan (Unitwise)

Rupee Term Loan		
Debt 1		
Debt 2 *		
Foreign Currency Loan		
Debt 1		
Debt 2 *		
Equity		
In Rupees		
In Foreign Currency		

Previous year (n-1)

(Figures in Rs Crore)

Particulars of Assets	Opening balance	Additions during the year*	Retirement of assets during the year*	Closing balance
a) Land				
b) Building				
c) and so on (As per categorisation given in the Regulations)				
Total				

Current Year (n)

(Figures in Rs Crore)

Particulars of Assets	Opening Balance	Additions of assets during the year*		Retirement of assets during the year*		Closing balance
		Apr-Sep (Actual)	Oct-Mar (Estimated)	Apr-Sep (Actual)	Oct-Mar (Estimated)	
a) Land						
b) Building						
c) and so on (As per categorisation given in the Regulations)						
Total						

Form: F- 5.1
Statement of Gross Fixed Asset Base & Financing Plan

Ensuing year (n +1)

(Figures in Rs Crore)

Particulars of Assets	Opening balance	Additions during the year*	Retirement of assets during the year*	Closing balance
a) Land				
b) Building				
c) and so on (As per categorisation given in the Regulations)				
Total				

Ensuing year (n +2)

(Figures in Rs Crore)

Particulars of Assets	Opening balance	Additions during the year*	Retirement of assets during the year*	Closing balance
a) Land				
b) Building				
c) and so on (As per categorisation given in the Regulations)				
Total				

Ensuing year (n +3)

(Figures in Rs Crore)

Particulars of Assets	Opening balance	Additions during the year*	Retirement of assets during the year*	Closing balance
a) Land				
b) Building				
c) and so on (As per categorisation given in the Regulations)				
Total				

* Please provide Actual / Proposed Dates of Addition and Retirement of Fixed Assets during the year

Petitioner

Name of Generating Company _____
 Name of Generating Station _____

Form: F-5.1A
Abstract of Capital Cost Estimates and Schedule of Commissioning for the New Projects

New Projects
Capital Cost Estimates

(Figures in Rs Crore)

Board of Director/ Agency approving the Capital cost estimates:		
Date of approval of the Capital cost estimates:		
	Present Day Cost	Completed Cost
Price level of approved estimates	As on End of _____ Qtr. Of the year _____	As on Scheduled COD of the Station
Foreign Exchange rate considered for the Capital cost estimates		
Capital Cost excluding IDC,IEDC& FC		
Foreign Component, if any (In Million US \$ or the relevant Currency)		
Domestic Component (Rs. Lakh)		
Capital cost excluding IDC, IEDC, FC, FERV & Hedging Cost (Rs. Cr)		
IDC, IEDC,FC, FERV & Hedging Cost		
Foreign Component, if any (In Million US \$ or the relevant Currency)		
Domestic Component (Rs. Lakh)		
Total IDC, IEDC, FC, FERV & Hedging Cost (Rs. Lakh)		
Rate of taxes & duties considered		
Capital cost Including IDC, IEDC, FC, FERV & Hedging Cost		
Foreign Component, if any (In Million US \$ or the relevant Currency)		
Domestic Component (Rs. Lakh)		
Capital cost Including IDC, IEDC& FC (Rs. Lakh)		
Schedule of Commissioning		
Scheduled COD of Unit-I/Block-I as per Investment Approval		
Scheduled COD of Unit-II/Block-II as per Investment Approval		

Scheduled COD of last Unit/Block		

- Note:**
1. Copy of Investment approval letter should be enclosed
 2. Details of Capital Cost are to be furnished as per FORM-5B or 5C as applicable
 3. Details of IDC & Financing Charges are to be furnished as per FORM-14.

Petitioner

Form: F- 5.2
Statement of Asset wise Depreciation

Previous year (n-1)

(Figures in Rs Crore)

Particulars of Assets	Rate of Depreciation in %	Accumulated Depreciation at the beginning of the year	Depreciation provided for the year		Withdrawals during the year	Balance of accumulated depreciation at the end of the year
			Apr-Sep (Actual)	Oct-Mar (Estimated)		
a) Land						
b) Building						
c) and so on (As per categorisation given in the Regulations)						
Total						

Current Year (n)

(Figures in Rs Crore)

Particulars of Assets	Rate of Depreciation in %	Accumulated Depreciation at the beginning the year	Depreciation provided for the year		Withdrawals during the year		Balance of accumulated depreciation at the end of the year
			Apr-Sep (Actual)	Oct-Mar (Estimated)	Apr-Sep (Actual)	Oct-Mar (Estimated)	
a) Land							
b) Building							
c) and so on (As per categorisation given in the Regulations)							
Total							

Form: F- 5.2

Statement of Asset wise Depreciation

Ensuing year (n +1)

(Figures in Rs Crore)

Particulars of Assets	Rate of Depreciation in %	Accumulated Depreciation at the beginning of the year	Depreciation provided for the year	Withdrawals during the year	Balance of accumulated depreciation at the end of the year
a) Land					
b) Building					
c) and so on (As per categorisation given in the Regulations)					
Total					

Ensuing year (n +2)

(Figures in Rs Crore)

Particulars of Assets	Rate of Depreciation in %	Accumulated Depreciation at the beginning of the year	Depreciation provided for the year	Withdrawals during the year	Balance of accumulated depreciation at the end of the year
a) Land					
b) Building					
c) and so on (As per categorisation given in the Regulations)					
Total					

Ensuing year (n +3)

(Figures in Rs Crore)

Particulars of Assets	Rate of Depreciation in %	Accumulated Depreciation at the beginning of the year	Depreciation provided for the year	Withdrawals during the year	Balance of accumulated depreciation at the end of the year
a) Land					
b) Building					
c) and so on (As per categorisation given in the Regulations)					
Total					

Form: F- 5.3
Statement of Depreciation

(Figures in Rs Crore)

Financial Year	Upto 2000-01	2001-02	2002-03	2003-04	2004-05	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16
Depreciation on Capital Cost																
Depreciation on Additional Capitalisation																
Amount of Additional Capitalisation																
Depreciation Amount																
Detail of FERV																
Amount of FERV on which Depreciation is charged																
Depreciation Amount																
Depreciation recovered during the year																
Advance against Depreciation recovered during the year																
Depreciation and Advance against Depreciation recovered during the year																
Cummulative Depreciation and Advance against Depreciation recovered upto the year																

Petitioner

Form: F- 6.1
Statement of Capital Expenditure

(Figures in Rs Crore)

Particulars	FY of COD	Previous Year (n-1)	Current Year (n)			Remarks+	Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks+	Total Expenditure Approved by Competent Authority	Total Expenditure Actually Incurred	Remarks++
		Actual / Audited	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)		Projected	Projected	Projected				
A) Expenditure Details													
a) Land													
b) Building													
c) Major Civil Works													
d) Plant & Machinery													
e) Vehicles													
f) Furniture and Fixtures													
g) Office Equipment & Others													
Total (A)													
B) Break up of sources of financing													
Rupee term Loan													
Debt 1													
Debt 2													
....													
Foreign Currency Loan													
Debt 1													
Debt 2													
....													
Equity													
In Rupees													
In Foreign Currency													
c) Others (Please specify)													
Total (B)													

- Note:**
- The break up wherever required and necessary may be given in respect of Loans and Equity financing supported with respective documentation.
 - Copies of Approval from competent authorities may be furnished in respect of cost of project, its components and scheme of finance.
 - Remarks+: Explain for the causes of deviation if the actual expenditure during the current year is expected to be different from that approved by UERC or other authorised agencies
 - Remarks++: Explain for the causes of deviation if the total actual expenditure is different from that approved by UERC or the authorised agencies

Petitioner

Name of Generating Station

Form: F- 6.2

Statement of Capital Works-in-Progress

(Figures in Rs Crore)

S. No.	Particulars	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
		Actual / Audited	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected	
1	Opening balance of CWIP								
2	<i>Add: New Investment</i>								
	Capital Expenditure								
	Expenses Capitalised								
	Interest During Construction								
3	<i>Less: Investment Capitalised</i>								
4	Closing balance of CWIP								

Petitioner

Form: F- 6.3

Statement of Capital Expenditure & Schedule of COD of New Projects

(Figures in Rs Crore)

Name of the Power Station:	
Name of Agency approving the Project Cost Estimates	
Date of Approval of Capital Cost Estimate	

	Present Day Cost (As onDate)	As approved by Competent Authority
Capital Cost		
Foreign Exchange Rate considered for capital cost estimates		

Cost Details	Amount	Exchange Rate	Amount (Rs. Crore)
A) Basic Cost			
Foreign Component (in Foreign Currency)			
Domestic Component			
Total Basic Cost	a		
B) IDC &FC			
Foreign Component (in Foreign Currency)			
Indian Component			
Total IDC &FC	b		
C) Total Cost (Including IDC and FC)	c=(a+b)		

Schedule of Commissioning

COD of Unit/Block-I		
COD of Unit/Block-II		

COD of Last Unit/Block		

Note:

i) Copy of Approval to be enclosed

Petitioner

Name of Generating Station _____

Form: F- 6.4
Break-up of Capital Expenditure for New Projects

Name of the Power Station:	
Name of Agency approving the Project Cost Estimates	
Date of Approval of Capital Cost Estimate	

(Figures in Rs Crore)

Particulars	Total Expenditure Approved by Competent Authority	Previous year (n-1)	Current Year (n)		Total Expenditure Incurred upto Current Year	Total Expenditure supposed to be incurred till current year, as per the approved plan	Difference between column 6 and 7	Remarks+	Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
		Expenditure Actually Incured	Apr-Sep (Actual)	Oct-Mar (Estimated)							
1	2	3	4	5	6	7	8	9	10	11	12
A) Expenditure Details											
a) Land											
b) Building											
c) Major Civil Works											
d) Plant & Machinery											
e) Vehicles											
f)Furniture and Fixtures											
g) Office Equipment & Others											
Total (A)											
B) Break up of sources of financing											
a) Loans/ Borrowings											
b) Equity											
c) Others (Please specify)											
Total (B)											

Note:

ii) Remark+: Explain for the causes of difference between 6 and 7.

Petitioner

Form: F- 6.5 A
Break-up of Capital cost for Gas based projects on COD

(Figures in Rs Crore)

Sl. No.	Head of works	As per Original Estimates as per Investment Approval (3)	Actual Capital Expenditure (4)	Liabilities/ Provisions (5)	Variation (3-4-5) (6)	Specific Reasons for Variation* (7)	Actual/Estimated Capital Expenditure upto Cut-off date (8)
1	2						
1.0	Cost of Land & Site Development						
1.1	Land						
1.2	Rehabilitation & Resettlement (R&R)						
1.3	Preliminary Investigation & Site development						
	Total Land & Site Development						
2.0	Plant & Equipment						
2.1	Steam Turbine Generator Island						
2.2	Gas Turbine Generator Island						
2.3	WHRB Island						
2.4	BOP Mechanical						
2.4.1	Fuel Handling & Storage system						
2.4.2	External water supply system						
2.4.3	CW system						
2.4.4	Cooling Towers						
2.4.5	DM water Plant						
2.4.6	Clarification plant						
2.4.7	Chlorination Plant						
2.4.8	Air Condition & Ventilation System						
2.4.9	Fire fighting System						
2.4.10	HP/LP Piping						
	Total BOP Mechanical						
2.5	BOP Electrical						
2.5.1	Switch Yard Package						
2.5.2	Transformers Package						
2.5.3	Switch gear Package						
2.5.4	Cables, Cable facilities & grounding						
2.5.5	Lighting						
2.5.6	Emergency D.G. set						
	Total BOP Electrical						
2.6	Control & Instrumentation (C & I) Package						
	Total Plant & Equipment excluding Taxes & Duties						
2.7	Taxes and Duties						
2.7.1	Custom Duty/Excise Duty/Sales Tax						
2.7.2	Other Taxes & Duties - [Octroi]						
	Total Taxes & Duties						
	Total Plant & Equipment						
3.0	Initial spares						
4.0	Civil Works						
4.1	Main plant/Adm. Building						
4.2	External water supply system						
4.3	CW system						

Sl. No.	Head of works	As per Original Estimates as per Investment Approval (3)	Actual Capital Expenditure (4)	Liabilities/Provisions (5)	Variation (3-4-5) (6)	Specific Reasons for Variation* (7)	Actual/Estimated Capital Expenditure upto Cut-off date (8)
1	2						
4.4	Cooling Towers						
4.5	DM water Plant						
4.6	Clarification plant						
4.7	Fuel Handling & Storage system						
4.8	Township & Colony						
4.9	Temp. construction & enabling works						
4.10	Road & Drainage						
4.11	Fire fighting System						
	Total Civil works						
5.0	Construction & Pre- Commissioning Expences						
5.1	Erection Testing and commissioning						
5.2	Site supervision						
5.3	Operator's Training						
5.4	Construction Insurance						
5.5	Tools & Plant						
5.6	Start up fuel						
5.7	Other Expenses						
	Total Construction & Pre- Commissioning Expenses						
6.0	Overheads						
6.1	Establishment						
6.2	Design & Engineering						
6.3	Audit & Accounts						
6.4	Contingency						
	Total Overheads						
7.0	Capital cost excluding IDC & FC						
8.0	IDC, FC, FERV & Hedging Cost						
8.1	Interest During Construction (IDC)						
8.2	Financing Charges (FC)						
8.3	Foreign Exchange Rate Variation (FERV)						
8.4	Hedging Cost						
	Total of IDC, FC, FERV and Hedging Cost						
9.0	Capital cost including IDC, FC, FERV and Hedging						

Note:

1. In case of time and cost over-run of the project, a detailed write-up giving justification for such time and cost over run should be submitted, clearly bringing out the agency responsible and whether such time and cost over run was beyond the control of the generating company

Petitioner

Sl. No.	Draw Down	Quarter 1			Quarter 2			Quarter n (COD)		
	Particulars	Quantum in Foreign Currency	Exchange Rate on Draw Down Date	Amount in Indian Rupee	Quantum in Foreign Currency	Exchange Rate on Draw Down Date	Amount in Indian Rupee	Quantum in Foreign Currency	Exchange Rate on Draw Down Date	Amount in Indian Rupee
	Financing Charges									
1.2.2	Indian Loan 2									
	Draw Down Amount									
	IDC									
	Financing Charges									
1.2.n	Indian Loan n									
	Draw Down Amount									
	IDC									
	Financing Charges									
1.2	Total Indian Loans									
	Draw Down Amount									
	IDC									
	Financing Charges									
1	Total of Loans Drawn									
	IDC									
	Financing Charges									
	FERV									
	Hedging Cost									
2	Equity									
2.1	Foreign Equity Drawn									
2.2	Indian Equity Drawn									
2	Total Equity Deployed									

- Note:
1. Drawl of Debt and Equity shall be on pari passu basis quarter wise to meet the Commissioning schedule. Drawl of higher Equity in beginning is permissible.
 2. Applicable Interest Rates including reset dates used for computation may be furnished separately.
 3. In case of Multi Unit Project, details of Capitalisation ratio used to be furnished

Petitioner

Form: F- 6.8

In case there is cost over run

(Figures in Rs Crore)

Sl. No.	Break Down	Original Cost (Rs.Lakh) as approved by the Board of Members	Actual/Estimated Cost as incurred/to be incurred(Rs. Lakh)	Difference	Reasons for Variation(Please submit supporting computations and documents wherever applicable)	Increase in soft cost due to increase in hard cost
		Total Cost	Total Cost	Total Cost	Quantum in Foreign Currency	Exchange Rate on Draw Down Date
1	Cost of Land & Site Development					
1.1	Land*					
1.2	Rehabilitation & Resettlement (R&R)					
1.3	Preliminary Investigation & Site Development					
2	Plant & Equipment					
2.1	Steam Generator Island					
2.2	Turbine Generator Island					
2.3	BOP Mechanical					
2.3.1	Fuel Handling & Storage system					
2.3.2	External water supply system					
2.3.3	DM water Plant					
2.3.4	Clarification plant					
2.3.5	Chlorination Plant					
2.3.6	Fuel Handling & Storage system					
2.3.7	Ash Handling System					
2.3.8	Coal Handling Plant					
2.3.9	Rolling Stock and Locomotives					
2.3.10	MGR					
2.3.11	Air Compressor System					
2.3.12	Air Condition & Ventilation System					
2.3.13	Fire fighting System					
2.3.14	HP/LP Piping					
	Total BOP Mechanical					
2.4	BOP Electrical					
2.4.1	Switch Yard Package					
2.4.2	Transformers Package					
2.4.3	Switch gear Package					
2.4.4	Cables, Cable facilities & grounding					
2.4.5	Lighting					
2.4.6	Emergency D.G. set					
	Total BOP Electrical					
2.5	Control & Instrumentation (C & I) Package					
	Total Plant & Equipment excluding taxes & Duties					
3	Initial Spares					
4	Civil Works					
4.1	Main plant/Adm. Building					
4.2	CW system					
4.3	Cooling Towers					
4.4	DM water Plant					
4.5	Clarification plant					
4.6	Chlorination plant					
4.7	Fuel handling & Storage system					

Sl. No.	Break Down	Original Cost (Rs.Lakh) as approved by the Board of Members	Actual/Estimated Cost as incurred/to be incurred(Rs. Lakh)	Difference	Reasons for Variation(Please submit supporting computations and documents wherever applicable)	Increase in soft cost due to increase in hard cost
		Total Cost	Total Cost	Total Cost	Quantum in Foreign Currency	Exchange Rate on Draw Down Date
4.8	Coal Handling Plant					
4.9	MGR & Marshalling Yard					
4.10	Ash Handling System					
4.11	Ash disposal area development					
4.12	Fire fighting System					
4.13	Township & Colony					
4.14	Temp. construction & enabling works					
4.15	Road & Drainage					
	Total Civil works					
5	Construction & Pre- Commissioning Expenses					
5.1	Erection Testing and commissioning					
5.2	Site supervision					
5.3	Operator's Training					
5.4	Construction Insurance					
5.5	Tools & Plant					
5.6	Start up fuel					
	Total Construction & Pre- Commissioning Expenses					
6	Overheads					
6.1	Establishment					
6.2	Design & Engineering					
6.3	Audit & Accounts					
6.4	Contingency					
	Total Overheads					
7	Capital cost excluding IDC & FC					
8	IDC, FC, FERV & Hedging Cost					
8.1	Interest During Construction (IDC)					
8.2	Financing Charges (FC)					
8.3	Foreign Exchange Rate Variation (FERV)					
8.4	Hedging Cost					
	Total of IDC, FC, FERV & Hedging Cost					
9	Capital cost including IDC, FC, FERV & Hedging Cost					

*Submit details of Freehold and Lease hold land

Note: Impact on account of each reason for Cost overrun should be quantified and substantiated with necessary documents and supporting workings.

Petitioner

Form: F- 6.9**Actual cash expenditure**

(Figures in Rs Crore)

Particulars	Quarter-I	Quarter-II	Quarter-III	Quarter-n (COD)
Expenditure towards Gross Block				
Add: Expenditure towards CWIP				
Add: Capital Advances, if any				
Less: Un-discharged liabilities (included above)				
Add/Less: Others				
Payment to contractors / suppliers towards capital assets				
Cumulative payments				

Note: If there is variation between payment and fund deployment justification need to be furnished

Petitioner

Name of Generating Station

Form: F- 6.10

In case there is time over run

(Figures in Rs Crore)

Sl. No.	Description of Activity /Works /Service	Original Schedule		Actual Schedule		Time Over-Run	Reasons for delay	Other Activity affected (Mention Sr No of activity affected)
		Start Date	Completion Date	Actual Start Date	Actual Completion Date	Days		
1								
2								
3								
4								
5								
6								
7								
8								
9								
...							

1. Delay on account of each reason in case of time overrun should be quantified and substantiated with necessary documents and supporting workings.
2. Indicate the activities on critical path

Petitioner

Form: F-6.11**Year wise Statement of Additional Capitalisation after COD****COD****For Financial Year**

(Figures in Rs Crore)

Sl. No.	Head of Work / Equipment	ACE Claimed (Actual / Projected)				Regulations under which claimed	Justification	Admitted Cost by the Commission, if any
		Accrual basis	Un-discharged Liability included in col. 3	Cash basis	IDC included in col. 3			
(1)	(2)	(3)	(4)	(5=3-4)	(6)	(7)	(8)	(9)

1. In case the project has been completed and cost has already been admitted under any tariff notification(s) in the past, fill column 10 giving the cost as admitted for the purpose of tariff notification already issued by (Name of the authority) (Enclose copy of the tariff Order)

2. The above information needs to be furnished separately for each year / period of tariff period 2014-19.

3. In case of de-capitalisation of assets separate details to be furnished at column 1, 2, 3 and 4. Further, the original book value and year of capitalisation of such asset to be furnished at column 8. Where de-caps are on estimated basis the same to be shown separately.

4. Where any asset is rendered unserviceable the same shall be treated as de-capitalised during that year and original value of such asset to be shown at col. 3. And impaired value if any, year of its capitalisation to be mentioned at column 8.

5. Justification against each asset of capitalization should be specific to regulations under which claim has been made and the necessity of capitalization of that particular asset.

Note:

1. Fill the form in chronological order year wise along with detailed justification clearly bringing out the necessity and the benefits accruing to the beneficiaries.

2. In case initial spares are purchased along with any equipment, then the cost of such spares should be indicated separately. e.g. Rotor - 50 Crs. Initial spares- 5 Crs.

Petitioner

Name of Generating Station

Form: F-6.12

Statement of Additional Capitalisation during fag end of useful life of the Project

COD

(Figures in Rs Crore)

Sl. No.	Year	Work/ Equipment added during last five years of useful life of each Unit/Station	ACE Claimed (Actual / Projected)				Regulations under which claimed	Justification	Impact on life extension
			Accrual basis	Un-discharged Liability included in col. 4	Cash basis	IDC included in col. 4			
(1)	(2)	(3)	(4)	(5)	(6=4-5)	(7)	(8)	(9)	(10)

Note:

1. Cost Benefit analysis for capital additions done should be submitted along with petition for approval of such schemes
2. Justification for additional capital expenditure claim for each asset should be relevant to regulations under which claim has been made and the necessity of capitalization of the asset.

Petitioner

Name of Generating Station

Form: F-6.13

Statement showing reconciliation of ACE claimed with the capital additions as per books

COD _____

(Figures in Rs Crore)

Sl. No.	Particulars	2014-15	2015-16	2016-17	2017-18	2018-19
(1)	(2)	(3)	(4)	(5)	(6)	(7)
	Closing Gross Block					
	Less: Opening Gross Block					
	Total Additions as per books					
	Less: Additions pertaining to other Stages (give Stage wise breakup)					
	Net Additions pertaining to instant project/Unit/Stage					
	Less: Exclusions (items not allowable / not claimed)					
	Net Additional Capital Expenditure Claimed					

Note: Reason for exclusion of any expenditure shall be given in Clear terms

Petitioner

Name of Generating Company

Name of Generating Station _____

Form: F-6.14

Statement of De-capitalisation

(Figures in Rs Crore)

Sr. No.	Year of De-capitalisation	Work/Equipment proposed to be De-capitalised	Year of capitalisation of asset/equipment being decapitalised	Original Book Value of the asset being decapitalised	Debt Equity ratio at the time of capitalisation	Cumulative Depreciation corresponding to decapitalisation	DCumulative Repayment of Loan corresponding to decapitalisation	Justification
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
1								
2								
3								
4								
5								

Petitioner

Name of Generating Station

Form: F-6.14A

Statement showing items/assets/works claimed under Exclusions:

(Figures in Rs Crore)

Sl. No.	Work / Equipment added during last five years of useful life of each Unit/Station	ACE Claimed under Exclusion				Justification
		Accrual basis	Un-discharged Liability included in col. 3	Cash basis	IDC included in col. 3	
(1)	(2)	(3)	(4)	(5=3-4)	(6)	(7)

Note:

1. Exclusions claimed on assets not allowed in Tariff should be supported by the specific reference of Commission Order date, Petition No., amount disallowed, etc..
2. For inter unit transfer, nature of transfer i.e. temporary or permanent should be mentioned. It is to be certified that exclusion sought in receiving station only and not in sending station or in both the station.

Petitioner

Name of Generating Company _____

Name of Generating Station _____

Form: F-6.15

Return on Equity

(Figures in Rs Crore)

S.No.	Item	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
		(Actuals / Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected	
1	Equity at the beginning of the year								
2	Capital Expenditure								
3	Equity portion of capital expenditure								
4	Equity at the end of the year								
	Return Computation								
5	Return on Equity on Opening Balance of Equity								

Petitioner

In case there is claim of additional RoE

(Figures in Rs Crore)

Project	Completion Time as per Investment approval (Months)				Actual Completion time				Qualifying time schedule (as per regulation)
	Start Date	Scheduled COD (Date)	Completion time in Months	Installed Capacity	Start Date	Actual COD (Date)	Actual Completion time in Months	Tested Capacity	Months
Unit 1									
Unit 2									
Unit 3									
Unit 4									
...									
...									

Petitioner

Form: F- 7
Details of Capital Cost and Financing Structure

(Figures in Rs Crore)

Year ending March	FY of COD	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
		Actual/ Audited	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected	
Basic Project Financial Parameters									
Capital Cost*									
Addition during the year									
Deletion during the year									
Gross Capital Cost (A)									
Equity against Original Project Cost									
Addition during the year									
Equity Sub-Total (B)									
Debt outstanding against the original Capital cost									
New Loans added during the year									
Debt Sub-Total (C)									
Grants against original project cost									
Addition during the year									
Grants Sub-Total (D)									
Total Financing (B+C+D)									

Note :

- i) *Approved or Actual Capital Cost whichever is less.
- ii) The equity and loan shall be divided into foregin and domestic component if applicable.

Petitioner

Form: F- 8**Details of Financial Packages**

(Figures in Rs Crore)

Source of Funds	Amount in FC	Exchange Rate	Amount in Indian Currency	Terms of Repayment	Grace Period	Interest Rate / Return on Equity	Guarantee Commission	Upfront Fees/ Exposure Premium	% of Total Debt	% of Total Equity	% of Total PC
	(Name of Currency)	(Rs./FC)	(Rs. in Crore)	(Years)	(Years)	(%)	(Rs. in Crore)	(Rs. in Crore)	(%)	(%)	(%)
(A) Debt											
Foreign:											
Loan I											
Loan II											
Loan III											
Loan IV etc.											
Indian:											
Loan I											
Loan II etc.											
Total Loan (A)											
(B) Equity											
Foreign:											
Indian:											
Total Equity(B)											
(C) Grants											
Foreign:											
Indian:											
Total Grant (C)											
Total Financing (A+B+C)											
Total Project Cost											

Note:

(i) In case of projects having achieved COD: Financial package details, as admitted by the Competent Authority on COD of the Project, shall be furnished in the Format alongwith supporting documents.

(ii) In case of projects which are yet to achieve their COD: Financial package details, as approved by the Competent Authority, shall be furnished in the Format alongwith supporting documents.

(ii) FC- Foreign Currency

(iii) PC- Project Cost

Petitioner

Ensuing year (n+3)

Loan Agency (Source of loan)	Rate of interest (%)	Repayment period (Years)	Balance at the beginning of the year	Amount to be received during the year	Principal due during the year	Principal to be redeemed during the year	Principal Overdue at the year end	Principal Due at the year end	Remarks
	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated		
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)=(6)-(7)	(9)=(4)+(5)-(6)	(10)
A. Other than state government									
Loan 1 (Name of lender)									
Loan 2 (Name of lender)									
Loan 3 (Name of lender), etc.									
Sub-Total (A)									
B. Government Loans									
Type 1									
Type 2									
Type 3 etc.									
Sub-Total (B)									
Sub-Total (A+B)									
C. Normative Loans									
TOTAL (A+B+C)									

- Note:** i) In case reschedulement of any loan has been undertaken, the terms of reschedulement to be specified clearly, through an attachment alongwith copy of the letter from the Lender outlining the terms of Re-schedulement.
ii) Any Loan not allocated to any Generating Station and not forming part of Appoved Financial Package, to be shown separately, with reasons thereof.
iii) The original Financing Plan and the cumulative repayment as per the Original Financing Plan to be outlined for each Loan.
iv) For current year, Loans already drawn and the loan proposed to be drawn by end of year to be shown separately.
v) Any new loan from existing or new lenders to be identified separately as a Loan.
vi) In case of Foreign Currency Loans, data to be provided in the currency of borrowing alongwith the name of the currency.

Petitioner

Form: F- 9.2

Calculation of Weighted Average Interest Rate of Interest on Actual Loans *

(Figures in Rs Crore)

S.No.	Particulars	Previous Year (n-1)	Current Year (n)	Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
				Projected	Projected	Projected
	Loan 1					
	Gross Loan - Opening					
	Cummulative payments of Loan upto Previous Year					
	Net Loan - Opening					
	Add: Drawl(s) during the year					
	Less: Repayment(s) of Loan during the year					
	Net Loan - Closing					
	Average Net Loan					
	Rate of Interest on Loan on Annual Basis					
	Interest on Loan					
	Loan 2					
	Gross Loan - Opening					
	Cummulative payments of Loan upto Previous Year					
	Net Loan - Opening					
	Add: Drawl(s) during the year					
	Less: Repayment(s) of Loan during the year					
	Net Loan - Closing					
	Average Net Loan					
	Rate of Interest on Loan on Annual Basis					
	Interest on Loan					
	Loan n					
	Gross Loan - Opening					
	Cummulative payments of Loan upto Previous Year					
	Net Loan - Opening					
	Add: Drawl(s) during the year					
	Less: Repayment(s) of Loan during the year					
	Net Loan - Closing					
	Average Net Loan					
	Rate of Interest on Loan on Annual Basis					
	Interest on Loan					
	Total Loan					
	Gross Loan - Opening					
	Cummulative payments of Loan upto Previous Year					
	Net Loan - Opening					
	Add: Drawl(s) during the year					
	Less: Repayment(s) of Loan during the year					
	Net Loan - Closing					
	Average Net Loan					
	Rate of Interest on Loan on Annual Basis					
	Interest on Loan					
	Weighted Average Rate of Interest on Loans					

* In case of Foreign Loans, the calculation in Indian Rupee is to be furnished. However, the calculation in Original currency is also to be furnished separately in the same format

Name of Generating Station _____

Form: F- 9.3

Calculation of Interest on Normative Loan

(Figures in Rs Crore)

Particulars	Previous Year (n-1)	Current Year (n)	Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
			Projected	Projected	Projected
Gross Normative Loan - Opening					
Cummulative payments of Normative Loan upto Previous Year					
Net Normative Loan - Opening					
Increase or Decreasedue to ACE during the year					
Less: Repayment of Normative Loan during the year					
Net Normative Loan - Closing					
Average Normative Loan					
Weighted Average Rate of Interest on Actual Loan on Annual Basis					
Interest on Normative Loan					

Petitioner

Form: F- 10 A

Details of Interest on Working Capital for Gas based Stations

(Figures in Rs Crore)

Sl.No.	Particulars	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
		Actual / Audited	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Estimated	Estimated	Estimated	
1	Landed Fuel Cost for One (1) Month corresponding to NAPAF								
2	Liquid Fuel Cost for Half (1/2) Month corresponding to NAPAF								
3	O & M expenses - 1 month								
4	Maintenance Spares (20% of O&M Expenses)								
5	Receivables- 2 months of Capacity and Variable Charges								
6	Total Working Capital (1+2+3+4+5)								
7	Normative Interest Rate (%)								
8	Normative Interest on Working Capital (6 X 7)								

Petitioner

Form: F- 11
Details of Operation & Maintenance Expenses

(Figures in Rs Crore)

S. No.	Particulars	Previous Year (n-6)	Previous Year (n-5)	Previous Year (n-4)	Previous Year (n-3)	Previous Year (n-2)	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
		Actual / Audited	Actual / Audited	Actual / Audited	Actual / Audited	Actual / Audited	Actual / Audited	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Estimated	Estimated	Estimated	
a)	Repair and Maintenance Expenses													
	Plants and Machinery													
	Buildings													
	Civil Works													
	Hydraulic Works													
	Lines, Cables Net Works etc.													
	Vehicles													
	Furnitures and Fixtures													
	Office Equipment & Other Items													
	Consumption of Stores and Spares													
	- Related to Generator, turbines and Accessories													
	- Related to Auxiliary Electrical Equipment													
	- Related to Auxiliary Equipment & Services for Power Station													
	Sub-Total													
b)	Administrative Expenses													
	- Insurance													
	- Rent													
	- Electricity Charges													
	- Travelling and conveyance													
	- Staff Car													
	- Telephone, telex and postage													
	- Advertising													
	- Entertainment													
	- Corporate mgmt expenses													
	- Legal Expenses													
	- Others (specify elements)													
	Sub-Total													
c)	Employee Cost													
	- Basic Salaries													
	- Dearness Allowance													
	- Other Allowances													
	- Bonus													
	- Staff welfare expenses													
	- Medical Allowances													
	- Others Expenses (specify elements)													
	- Terminal Benefits													
	Sub-Total													
d)	Corporate Office expenses Allocated													
	- Employee expenses													
	- Repair and maintenance													
	- Training and Recruitment													
	- Communication													
	- Travelling													
	- Security													
	- Rent													
	- Others (specify elements)													
	Sub-Total													
	Total O&M Expenses													
	Less: O&M Expenses Capitalised													
	Net O&M Expenses													

Notes 1: (i) The process of allocation of corporate expenses to generating stations should be specified.
(ii) The data should be certified by statutory auditors.

Name of Generating Company _____
 Name of Generating Station _____

Form: F-11.1
Details of Repair & Maintenance Expenditure

(Figures in Rs Crore)

S.No.	Particulars	Previous Year (n-6)	Previous Year (n-5)	Previous Year (n-4)	Previous Year (n-3)	Previous Year (n-2)	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
		(Actuals / Audited)	(Actuals / Audited)	(Actuals / Audited)	(Actuals / Audited)	(Actuals / Audited)	(Actuals / Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected	
1	Plant and Machinery													
2	Building													
3	Civil Works													
4	Hydraulic Works													
5	Lines, Cables Net Works etc.													
6	Vehicles													
7	Furniture and Fixtures													
8	Office Equipments													
9	Station Supplies, Stores and Consumables													
	- Related to Generator, turbines and Accessories													
	Equipment													
	- Related to Auxiliary Equipment & Services for Power Station													
11	Any other items													
12	Less: Capitalisation													
	Total													

Petitioner

Form: F-11.2
Details of Employee Expenses

(Figures in Rs Crore)

S.No	Particulars	Previous Year (n-6)	Previous Year (n-5)	Previous Year (n-4)	Previous Year (n-3)	Previous Year (n-2)	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
		(Actuals/Audited)	(Actuals/Audited)	(Actuals/Audited)	(Actuals/Audited)	(Actuals/Audited)	(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected	
A	Employee's Cost (Other Than Covered In 'C' & 'D')													
1	Salaries													
2	Additional Pay / Dearness Allowance (DA)													
3	Other Allowances & Relief													
4	Interim Relief / Wage Revision													
5	Honorarium/Overtime													
6	Statutory bonus/ Ex-gratia													
	Sub Total													
B	Other Costs													
1	Medical Expenses Reimbursement													
2	Travelling Allowance(Conveyance Allowance)													
3	Leave Travel Assistance													
4	Earned Leave Encashment													
5	Payment Under Workman's Compensation And Gratuity													
6	Subsidised Electricity To Employees													
7	Any Other Item													
8	Staff Welfare Expenses													
	Sub Total													
C	Apprentice And Other Training Expenses													
D	Contribution To Terminal Benefits													
1	Provident Fund Contribution													
2	Provision for PF Fund													
3	Any Other Items													
	Total C													
E	Grand Total													
F	Employee expenses capitalised													
G	Net Employee expenses (E)-(F)													

B. Details of number of employees

S. No.	Item	Previous Year (n-6)	Previous Year (n-5)	Previous Year (n-4)	Previous Year (n-3)	Previous Year (n-2)	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
		(Actuals/Audited)	(Actuals/Audited)	(Actuals/Audited)	(Actuals/Audited)	(Actuals/Audited)	(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected	
A	Officer/Managerial Cadre													
1	Technical													
2	Administrative													
3	Accounts and finance													
4	Other (Please specify)													
B	Staff Cadre													
5	Technical													
5.1	Grade I													
5.2	Grade II													
5.3	Grade III													
5.4	Grade IV													
6	Administrative													
6.1	Grade I													
6.2	Grade II													
6.3	Grade III													
6.4	Grade IV													
7	Accounts and finance													
7.1	Grade I													
7.2	Grade II													
7.3	Grade III													
7.4	Grade IV													
8	Others (please specify)													
8.1	Grade I													
8.2	Grade II													
8.3	Grade III													
8.4	Grade IV													
	Total Employees													

Name of Generating Company _____
 Name of Generating Station _____

Form: F-11.3
Details of Administration & General Expenses

(Figures in Rs Crore)

S.No	Particulars	Previous Year (n-6)	Previous Year (n-5)	Previous Year (n-4)	Previous Year (n-3)	Previous Year (n-2)	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)	Remarks
		(Actuals / Audited)	(Actuals / Audited)	(Actuals / Audited)	(Actuals / Audited)	(Actuals / Audited)	(Actuals / Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected	
A	Administrative Expenses													
1	Rent rates and taxes													
	Lease / Rent													
	Rates & Taxes													
2	Insurance													
3	Revenue Stamp Expenses Account													
4	Telephone, Postage, Telegram & Telex Charges													
5	Incentive & Award To Employees/Outsiders													
6	Consultancy Charges													
7	Technical Fees													
8	Other Professional Charges													
9	Conveyance And Travelling													
10	License And Registration Fee Of													
	Plant And Machinery													
	Vehicles													
11	Vehicle Expenses (Other Than Trucks And Delivery Vans)													
	Vehicles Running Expenses Petrol And Oil													
	Hiring Of Vehicles													
12	Security / Service Charges Paid To Outside Agencies													
	Sub-Total 'A' (1 To 12)													
B	Other Charges													
1	Fee And Subscriptions Books And Periodicals													
2	Printing And Stationery													
3	Advertisement Expenses (Other Than Purchase Related) Exhibition & Demo.													
4	Contributions/Donations To Outside Institutes / Associations													
5	Electricity Charges To Offices													
6	Water Charges													
7	Entertainment Charges													
8	Miscellaneous Expenses													
	Sub-Total 'B' (1 To 8)													
C	Legal Charges													
D	Auditor's Fee													
E	Material Related Expenses													
1	Freight On Capital Equipments													
2	Purchase Related Advertisement Expenses													
3	Vehicle running and hiring expenses (Truck/ Delivery Van)													
4	Other Freight													
5	Transit Insurance													
6	Octroi													
7	Incidental Stores Expenses													
8	Fabrication Charges													
	Sub Total 'E' (1 To 8)													
F	Grand Total (A To E)													
G	A&G expenses capitalised													
H	Net A&G Expenses (F - G)													

Petitioner

Form: F-12

Non Tariff Income

(Figures in Rs Crore)

Sl. No.	Description	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Ensuing Year (n+2)	Ensuing Year (n+3)
		(Actuals/ Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	Projected	Projected
A	Income from Investment, Fixed & Call Deposits							
1	Interest Income from Investments							
2	Interest on fixed deposits							
3	Interest from Banks other than Fixed Deposits							
4	Interest on (any other items)							
	Sub-Total							
B	Other Non Tariff Income							
1	Interest on loans and Advances to staff							
2	Interest on Loans and Advances to Licensee							
3	Interest on Loans and Advances to Lessors							
4	Interest on Advances to Suppliers / Contractors							
5	Income from Trading (other than Electricity)							
6	Gain on Sale of Fixed Assets							
7	Income/Fee/Collection against staff welfare activities							
8	Miscellaneous receipts							
9	Delayed payment charges from beneficiary							
10	Net profit from U I charges							
12	Penalty for contractor / supplier for delay etc.							
13	Misc. charges from beneficiary							
	Sub-Total							
	Total							

Petitioner

Name of Generating Company _____
Name of Generating Station _____

Form: F- 13

Summary of Truing Up

Previous Year (n-1) for Final Truing Up

(Figures in Rs Crore)

S. No.	Particulars	Approved	Actual	Deviation	Reason for Deviation	Controllable	Uncontrollable
A.	Total Annual Fixed Charges						
1	Interest on Loan (Including Interest on Normative Loans)						
2	Depreciation						
3	Lease Charge						
4	Return on Equity						
5	O&M Expenses						
6	Interest on Working Capital						
7	Secondary Fuel Cost						
8	Income Tax						
9	Gross Annual Fixed Charges (1+2+3+4+5+6+7+8)						
10	Less; Other Income (provide details)						
11	Net Annual Fixed Charges (9-10)						
B	Energy Charges (Primary Fuel Cost)						
C	Revenue from Sale of Power						
D	Surplus/(Gap) (C-B-A)						

Note: Please give detailed explanation separately for the deviations on account of uncontrollable factors

Current Year (n) for Annual Performance Review

(Figures in Rs Crore)

S. No.	Particulars	Approved	Revised Estiamtes based on Half Year Acutal Performance	Deviation	Reason for Deviation	Controllable	Uncontrollable
A.	Net Annual Fixed Charges						
1	Interest on Loan (Including Interest on Normative Loans)						
2	Depreciation						
3	Lease Charge						
4	Return on Equity						
5	O&M Expenses						
6	Interest on Working Capital						
7	Secondary Fuel Cost						
8	Income Tax						
9	Gross Annual Fixed Charges (1+2+3+4+5+6+7+8)						
10	Less; Other Income (provide details)						
11	Net Annual Fixed Charges (9-10)						
B	Energy Charges (Primary Fuel Cost)						
C	Revenue from Sale of Power						
D	Surplus/(Gap) (C-B-A)						

Note: Please give detailed explanation separately for the deviations on account of uncontrollable factors

Petitioner