

Uttarakhand Power Corporation Ltd.

(A Govt. Of Uttarakhand Undertaking)

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EPBX No-2763672-75

Corporate Identity No. U40109UP2001SGC025867/2358



उत्तराखण्ड पावर कारपोरेशन लि०

(उत्तराखण्ड सरकार का उपक्रम)

वीसीवी गबर सिंह भवन, कौवली रोड,
देहरादून - 248001

दूरभाष:91-135-2763552 फैक्स-91-135-2763839

ई०पी०बी०एक्स०-2763672-75

No. 116.... /UPCL/RM/B-17

Dated: .../... - 01 -2016

BEFORE THE UTTARAKHAND ELECTRICITY REGULATORY COMMISSION

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IN THE MATTER OF: MULTI YEAR TARIFF (MYT) PETITION FOR THE CONTROL PERIOD OF THREE FINANCIAL YEARS FROM 01-04-2016 TO 31-03-2019 ALONGWITH TARIFF DETERMINATION FOR FY 2016-17, TRUE UP FOR FY 2014-15 AND ANNUAL PERFORMANCE REVIEW FOR FY 2015-16

AND

IN THE MATTER OF: UTTARAKHAND POWER CORPORATION LIMITED.

.....Petitioner

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(M. A. Khan)

Director (Finance)

BEFORE THE UTTARAKHAND ELECTRICITY REGULATORY COMMISSION

IN THE MATTER OF: MULTI YEAR TARIFF (MYT) PETITION FOR THE CONTROL PERIOD OF THREE FINANCIAL YEARS FROM 01-04-2016 TO 31-03-2019 ALONGWITH TARIFF DETERMINATION FOR FY 2016-17, TRUE UP FOR FY 2014-15 AND ANNUAL PERFORMANCE REVIEW FOR FY 2015-16

AND

IN THE MATTER OF:UTTARAKHAND POWER CORPORATION LIMITED.

.....Petitioner

This is with reference to Hon'ble Commission's letter no. UERC/6/TF-284/15-16/2015/1467, dated 05-01-2016 vide which the petitioner has been asked to submit the reply to the deficiencies/ shortcomings observed by the Hon'ble Commission in the MYT Petition. The petitioner is directed to submit the reply on the affidavit latest by 11-01-2015. The point wise reply/ information is mentioned herein below and the petitioner most respectfully showeth as follows in the matter:-

- 1. UPCL was required to submit the category wise load shedding data for FY 2014-15. However, UPCL has submitted the month wise load shedding data for FY 2014-15. In this regard, UPCL is required to submit the actual category wise load shedding data for FY 2014-15.**

Reply:

Hon'ble Commission vide its letter dated 08-12-2015 had desired month wise load shedding data which was provided to the Hon'ble Commission vide UPCL's letter dated 29-12-2015. As desired now, the category wise load shedding data for FY 2014-15 has been attached herewith as **Annexure - A**.

- 2. UPCL in its reply dt. 29.12.2015 has shown the comparison of approved and actual power purchase cost for FY 2014-15. In this regard, UPCL is required to submit:**
 - a) The basis of arriving at a banking cost of Rs. 299.38 Crore.*
 - b) Commission had approved transmission charges for PTCUL as Rs 238.7 Crore against which UPCL has shown the same as Rs. 236.78 Crore. UPCL is required to submit the reason for claiming lower expenses than those approved by the Commission.*

Reply:

- a) The Petitioner would like to submit that the total quantum of 809.125 MU was received by UPCL under banking arrangement. This energy along with additional energy of 5% has to be returned by UPCL in FY 2015-16. In the scenario of power deficit in the state, the inability of the firm sources to meet to the existing demand and absence of any new sources coming up in the state, the Petitioner has considered the per unit rate of banking same as the average per unit rate incurred by UPCL from purchase from open market with 5% escalation to balance out the 5% additional energy to be returned. The detailed calculation for the rate derived has been shown in the table below:

Energy at Exbus- Open Market and UI (MU)	Cost (Rs. Cr.)	Rate (Rs/kwh)	Rate with 5% escalation
2379.80	833.84	3.50	3.68

Rate considered to arrive upon the banking cost after rounding off: Rs.3.70/kwh. The Petitioner has accordingly booked an amount of Rs.299.38 Cr. against banking in the annual books of accounts.

- b) The Petitioner would like to submit that an amount of Rs.1.91 Cr. received from PTCUL towards payment of wheeling charges of open access has been adjusted against the PTCUL charges for FY 2014-15. The supporting invoices have been attached herewith as **Annexure - B**.
- 3. UPCL at point No, 9 of its reply dt. 29.12.2015 has submitted the details of scheme wise capitalization during FY 2013-14 and 2014-15. In this regard, UPCL is also required to submit the segregation of the assets capitalized during the two years into LT and HT/EHT works along with the means of financing of the same**

Reply:

Segregation of the assets capitalised into LT and HT for the FY 2013-14 has already been submitted to Hon'ble UERC vide letter no 769/UPCL/RM/B-16 dated 13 Feb 2015. Further, the segregation of the assets capitalised into LT and HT for FY 2014-15 is being done by field units, and the same is expected to be completed by May 2016.

- 4. UPCL at point No. 10(e) of its reply dt. 29.12.2015 has submitted that RoE has been calculated on average equity. In this regard, UPCL is required to submit its capitalization policy and also the period when the assets are capitalized in its accounts.**

Reply:

UPCL has prepared an in-house capitalization process and capitalization policy of the company. The policy presently as per the direction of the Board of Directors has to be sent to an expert in the respective field and again has to be tabled in the Board Meeting for approval with the expert comments. The Petitioner is in the process of seeking comments from the expert (consultant) on the policy. The work is expected be completed by 31 Mar 2016 and accordingly the same shall be submitted to the Hon'ble Commission. The assets presently are being capitalized in the annual books of accounts at the end of the financial year in which the same has been put to use.

- 5. UPCL at point No. 11 of its reply dt. 29.12.2015 has submitted that it has initiated the process of writing off the bad debts, In this regard, UPCL is required to submit the progress made so far in this regard along with the details of amount written off during FY 2014-15.**

Reply:

The Petitioner would like to submit that as per the direction of the Commission, the process of writing off bad debts has already been initiated. Accordingly the debt written off in FY 2014-15 is Rs.37.14 Cr.

Category	Amount(Rs. Cr.)
Domestic	20.16
Non -Domestic	8.74
PTW	0.16
GIS	0.62
PWW	1.03
LT	3.64
HT	2.28
Mixed Load	0.51
Total	37.14

The Petitioner would also like to submit that it is in the process to finalise the bad debts write off policy of the company.

- 6. UPCL at point No. 15 of its reply dt. 29.12.2015 has submitted the actual details of power purchase for the period of April to October 2015. In this regard, UPCL is required to give the basis of the average rates worked out with respect to Uttar Bharat, RV Akash Ganga, Metro Frozen and Jay Ace Technologies with reference to the rates specified by the Commission in the Regulations.**

Reply:

The Petitioner here would like to clarify that that the average rate considered in the reply consisted some arrear amount. The actual average rate after excluding the arrear has been detailed in the table below:

Particulars	Energy at State Periphery (MU)	Net Cost for FY 2014-15 (Rs. Cr.)	Rate (Rs./kwh)
Uttar Bharat Sarju	13.74	6.19	4.51
RV Akash Ganga	1.63	1.93	11.84
Metro Frozen	0.76	1.06	13.95
Jay Ace Technologies	1.34	1.89	14.10

The Petitioner would like to confirm that the per unit rate of all the above mentioned stations are as per the RE Regulation specified by the Hon'ble Commission.

7. **UPCL at point No. 27 of its reply dt. 29.12.2015 has shown the details of non-tariff income wherein material cost variance has been shown as Rs. 198.86 Crore. However, the same has not been considered while calculating its revised ARR for FY 2014-15. In this regard, UPCL is required to submit the reasons for not including the same as non-tariff income and also provide the accounting entries for material cost variance.**

UPCL has also included reversal of provision for obsolete stock material of Rs. 14.52 Crore as its NTT. However, the same has not been considered by calculating its revised ARR for FY 2014-15. In this regard, UPCL is required to submit the reasons for not including the same as non-tariff income.

Reply:

During the earlier years upto FY 2013-14, material cost variance was treated as a reserve and shown under Reserve & Surplus in the annual accounts. However, in the FY 2014-15, the Petitioner changed its accounting policy according to which the balance in material cost variance is required to be transferred to Profit & Loss account. Therefore, the balance under the head Material Cost Variance for Rs.198.86 crores has been transferred to Profit and Loss account in FY 2014-15. This is an accounting entry only due to change in accounting policy and there is no cash involved in the same. Disclosure of the same has been made in Point no. 3.3 & 4.3 of Note no.26 (Significant Accounting Policies) of Balance Sheet as at 31.03.2015

Petitioner also proposes not to share non-tariff income on account of reversal of Provision for Obsolete Stock Material. The Petitioner will like to bring into the Commission's notice that under transfer scheme, following balances of Stores and Stocks were transferred to UPCL from UPPCL:

Stores/Inventories on 08.11.01	:	Rs.56.01 Cr.
Less: Prov. for obsolete & Scrap Mat.	:	Rs.14.52 Cr.
Net Stores Balances	:	Rs.41.50 Cr.

Stores and Stocks for Rs.56.01 Cr. has since been consumed /utilized by the field units during the previous years. However, Credit balance for Rs.14.52 Cr was being continuously shown in the books of Head Quarter accounts till now. Stores and Spares are procured in normal course of business and all the stores items are utilized on rotation basis, therefore as a prudence, there is no need to continue the old Provision for obsolete & Scrap material in the books of accounts. Therefore, the provision has been written back in FY 2014-15 in order to portray true and fair view of the state of affairs of the Corporation.

8. UPCL is required to submit trial balances for FY 2014-15 and also trial balance as on 30.09.2015

Reply

The MTB for FY 2014-15 and for FY 2015-16 as on 30.09.2015 has been attached herewith as **Annexure - C** in soft copy.

9. UPCL at point No. 30(a) of its reply dt. 29.12.2015 has referred to the average consumption per employee as 320 units per month based on the analysis made by committee constituted by it. In this regard, UPCL is required to submit the report of the committee and also the details of sample size available before the committee for making the conclusion.

Reply:

The report of the Committee is enclosed herewith at **Annexure – D**. The report of the Committee is based on the billing data available in respect of 718 employees / pensioners of UPCL/PTCUL/UJVNL.

10. UPCL at point No. 30(e) of its reply dt. 29.12.2015 has submitted the treatment of assets sent for repairs. In this regard, UPCL is also required to submit the cost at which deductions from GFA are made by it. Further, UPCL is also required to segregate the deductions made including the financing from FY 2001-02 onwards in compliance with the directions issued by the Commission its previous Tariff Orders within one month.

Reply:

The assets like transformers, electric equipments, etc. which are sent for repairs are shown as deductions from Fixed Assets. The said assets are replaced by working/new transformers or equipments available in the stock. As and when these items are required at site or as per demand of distribution units, the same are issued at stock issue rates as applicable as on the date of issue.

It is submitted that the policy for showing the asset as deductions from Gross Fixed Assets when it is sent for repairs and thereafter adding the same once it is received back and/or replaced is being followed by UPCL since inception.

In lieu of above, the segregation into various heads including the financing from FY 2001-02 onwards, as required by Hon'ble UERC, is quite difficult task. Hon'ble Commission is requested to kindly exempt UPCL for providing the same.

11. UPCL at point No. 30(f) of its reply dt. 29.12.2015 has submitted that Chief Engineer (Dist.) was directed to conduct the study and assess the load consumption of thrasher, cane crusher and rice huller consumers but the said study could not be completed. UPCL is required to submit the reasons for not completing the said study.

Reply:

The Petitioner would like to submit that the required study could not be completed due to engagement of officers in revenue and operational functions of the Petitioner specially in works related to the Kumbh Mela. The Petitioner requests the Hon'ble Commission to provide a waiver on the same.

12. UPCL is required to submit the details of number of employees as on 01.04.2014, employees recruited & retired during the year and number of employees as on 31.03.2015.

Reply:

Details of Employees as on 01.04.2014:

S.No	DESIGNATION	TOTAL WORKING	RECRUTIMENT TYPE
Class 'A'			
1.	MD	1	DIR REC (FOR FIX) DURATION
2.	DIR (HR)	1	DIR REC (FOR FIX) DURATION
3.	DIR (O)	1	DIR REC (FOR FIX) DURATION
4.	DIR (P)	1	DIR REC (FOR FIX) DURATION
5.	GM (F)	2	PROMOTION
6.	CE Level 2 (E&M)	4	PROMOTION
7.	DGM (F)	2	PROMOTION
8.	DGM (HR)	1	PROMOTION/DIRECT
9.	SE (E&M)	20	PROMOTION
10.	SE (CIVIL)	1	PROMOTION
11.	Company Secretary	1	DIRECT RECRUITMENT
12.	Dy Chief Acct Officer	4	PROMOTION
13.	Executive Engineer (E&M)	72	PROMOTION
14.	Executive Engineer (Civil)	3	PROMOTION
15.	Sr Acct Officer	3	PROMOTION

S.No	DESIGNATION	TOTAL WORKING	RECRUTIMENT TYPE
16.	Sr Law Officer	2	DIRECT
17.	Sr Personnel Officer	3	PROMOTION
Class 'B'			
18.	Assistant Engineer (E&M)	201	DIR REC(50.33%)/PROMOTION (49.67%)
19.	Assistant Engineer (CIVIL)	20	DIR REC(50.33%)/PROMOTION (49.67%)
20.	Acct Officer	5	DIR REC(66.67%)/PROMOTION (33.33%)
21.	Asst Acct Officer	16	PROMOTION
22.	Adm Officer	2	PROMOTION
23.	Section Officer	1	PROMOTION
24.	PS	2	PROMOTION
Class 'C'			
25.	Junior Engineer (E&M)	334	DIR REC(60%)/PROMOTION (40%)
26.	Junior Engineer (CIVIL)	13	DIRECT
27.	Steno (SPG)	2	PROMOTION
28.	Steno Grade- I	19	PROMOTION
29.	Accountant	79	PROMOTION
30.	Asst Accountant	41	DIR REC(60%)/PROMOTION (40%)
31.	OS (SPG)	2	PROMOTION
32.	OS-I	10	PROMOTION
33.	OS-II	24	PROMOTION
34.	Tech Asst	9	PROMOTION
35.	Store Keeper	3	PROMOTION
36.	OA-I	31	PROMOTION
37.	OA-II	231	PROMOTION
38.	OA-III	263	DIR REC(90%)/PROMOTION (10%)
39.	Data Entry Operator	50	DIRECT RECRUITMENT
40.	Acct Clerk	1	PROMOTION
41.	DRAFTSMAN	8	PROMOTION
42.	Cable Jointer	5	PROMOTION
43.	TG-I(Elect)	149	PROMOTION
44.	TG-II (Elect)	480	DIR REC (66.67%)/PROMOTION (33.33%)
45.	TG-II (Line)	574	PROMOTION
46.	Asst Store Keeper	2	DIR REC
47.	DRIVER	23	DIR REC
Class 'D'			

S.No	DESIGNATION	TOTAL WORKING	RECRUITMENT TYPE
48.	PETROL MAN / SKILLED COOLIE	182	PROMOTION
49.	DAFADAAR	34	PROMOTION
50.	COOLIE / MATE	494	DIRECT RECRUITMENT
51.	PEON / RUNR	161	DIR RECRUITMENT
52.	CHOWKIDAR	22	DIR RECRUITMENT
53.	SWEEPER	8	DIR RECRUITMENT
TOTAL		3623	
54.	DYING CADRE	60	
TOTAL		3683	

No of employees recruited: 5

No of Employees Retired: 232

Details of Employees as on 31.03.2015:

S. No	Designation	Total working	Recruitment Type
1.	Managing Director	1	DIR REC (FOR FIX) DURATION)
2.	Director (HR)	1	DIR REC (FOR FIX) DURATION)
3.	Director (Projects)	1	DIR REC (FOR FIX) DURATION)
4.	Director (Finance)	0	DIR REC (FOR FIX) DURATION)
5.	Director (Commercial)	0	DIR REC (FOR FIX) DURATION)
6.	Executive Director	0	DIR RECRUITMENT
7.	Chief Engineer Level 1	3	PROMOTION
8.	General Manager (F)	3	PROMOTION
9.	Chief Engineer Level 2 (E&M)	5	PROMOTION
10.	General Manager (HR)	0	PROMOTION
11.	General Manager (Legal)	0	PROMOTION
12.	Deputy General Manager (F)	1	PROMOTION
13.	Deputy General Manager (HR)	1	PROMOTION
14.	Superintendent Engineer (E&M)	25	PROMOTION
15.	Superintendent Engineer (Civil)	1	PROMOTION
16.	Company Secretary	1	DIR RECRUITMENT
17.	Dy Chief Acct Officer	4	PROMOTION
18.	Dy Chief Personnel Officer	0	PROMOTION
19.	Dy Director (Internal Audit)	1	PROMOTION
20.	Executive Engineer (E&M)	93	PROMOTION

S. No	Designation	Total working	Recruitment Type
21	Executive Engineer (Civil)	3	PROMOTION
22	Sr Acct Officer	2	PROMOTION
23	Sr Adm Officer	0	PROMOTION
24	Sr Law Officer	2	PROMOTION
25	Sr Executive HR	0	PROMOTION
26	Industrial Engineer	0	PROMOTION
27	Sr. Executive Secretary	0	PROMOTION
28	Sr Personnel Officer	3	PROMOTION
29	Assistant Engineer (E&M)	177	DIR REC (50.33%) / PROMOTION (49.67%)
30	Assistant Engineer (CIVIL)	19	DIR REC (50.33%) / PROMOTION (49.67%)
31	Acct Officer	6	DIR REC (66.67%) / PROMOTION (33.33%)
32	Asst Acct Officer	16	PROMOTION
33	Personnel Officer	2	DIR REC (66.67%) / PROMOTION (33.33%)
34	Law Officer	0	DIR REC
35	Adm Officer	2	PROMOTION
36	Section Officer	1	PROMOTION
37	PS	2	PROMOTION
Group C			
38	PA-II	2	PROMOTION
39	Junior Engineer (E&M)	311	DIR REC (60%) / PROMOTION (40%)
40	Junior Engineer (CIVIL)	13	DIR REC
41	Steno (SPG)	0	PROMOTION
42	Steno Grade- I	18	PROMOTION
43	Accountant	79	PROMOTION
44	Asst. Accountant	35	PROMOTION (60%) / DIR REC (40%)
45	OS (SPG)	3	PROMOTION
46	OS-I	8	PROMOTION
47	OS-II	18	PROMOTION
48	Steno Grade-II	0	DIR REC
49	Tech Asst	10	PROMOTION
50	Store Keeper	3	PROMOTION
51	OA-I	25	PROMOTION
52	OA-II	218	PROMOTION
53	OA-III	263	DIR REC (90%) / PROMOTION (10%)
54	Data Entry Operator	49	DIR REC

S. No	Designation	Total working	Recruitment Type
55	Acct Clerk	2	PROMOTION
56	DRAFTSMAN	3	PROMOTION
57	Cable Jointer	7	PROMOTION
58	TG-I(Elect)	142	PROMOTION
59	TG-II (Elect)	456	DIR REC (66.67%) / PROMOTION (33.33%)
60	TG-II (Line)	518	PROMOTION
61	Asst Store Keeper	2	DIR REC
62	DRIVER	23	DIR REC
Group D			
63.	PETROL MAN / SKILLED COOLIE	182	PROMOTION
64.	DAFADAAR	38	PROMOTION
65.	COOLIE / MATE	481	DIR REC
66.	PEON / RUNR	145	DIR REC
67.	CHOWKIDAR	18	DIR REC
68.	SWEEPER	8	DIR REC
TOTAL		3456	

13. UPCL is also required to submit the details of penalty/compensation paid by it during FY 2014-15 and FY 2015-16

Reply:

The details of penalty paid in FY 2014-15 and FY 2015-16 are as follows:-

- (i) Penalty Rs. 20,000/- vide letter no. 966/UPCL/Com/RPO/CE, dated 30-04-2014 in reference of UERC's Order dated 22-01-2014(RPO).
- (ii) Penalty Rs. 25,80,210/- vide letter no. 786/UPCL/RM/F-3, dated 04-04-2014 in reference of UERC's Order dated 26-03-2014 for delay in release of new electricity connections in EDD, Rudrapur & EDD, Kashipur.
- (iii) Penalty Rs. 10,000/- vide letter no. 2242/UPCL/Com/Birla/CE, dated 26-10-2014 in reference of UERC's Order dated 09-09-2014 (Birla Tyre).

14. The Commission had approved Rs. 3.48 Crore as additional provision as part of A&G expenses for FY 2014-15. In this regard, UPCL is required to submit the details of amount booked under A&G expenses.

Reply:

The Details of expenditure incurred towards data centre cost and call centre has been detailed as follows:

Particulars	Amount (Rs.)
Telephone & Trunk Calls	860124.00
Other Professional Charges	31292.00
Advertisement Expenses	92810.00
Purva Sainik Kalyan Nigam Ltd.	2093012.00
Electricity Expenses-Data Centre	1798759.00
Stationery-Revenue receipt books, electric bill rolls etc.	2265615.00
Other Miscellaneous Expenses	251660.00
Insurance & Other Assets	66431.00
Total	7459703.00

15. In its Petition, UPCL has again claimed interest on AREP loan which is not in line with the decision taking by the Commission in its previous Orders. UPCL is required to justify why the same has been claimed again. UPCL is also required to submit the basis of claiming guarantee fee payable to GoU for FY 2014-15.

Reply:

The petitioner would like to submit that the interest on AREP loan payable to GoU has been claimed as per actuals for FY 2014-15 and the same may be considered. Further, the Government Guarantee Fee for FY 2014-15 has been computed as follows:-

Head of Loan	Balance as on 31-03-2015 (Rs.)
Old REC Loan	93,52,86,618
RAPDRP (Part- A)	69,80,27,539
RAPDRP (Part- B)	1,75,23,00,000
Total	3,38,56,14,157
Government Guarantee Fee payable @ 1%	3,38,56,142
Government Guarantee Fee provided in the Accounts due to sum typo error	3,40,08,000

16. In addition certain billing deficiencies have been observed in the CS-3/CS-4 statement submitted by UPCL for FY 2014-15 wherein the average rate for the categories given below are even lower than the Energy Charges for these categories. UPCL is required to submit the reasons and justifications for the same:

- a) *Govt., Education Institutions and hospitals, etc. above 25 kW under RTS-2.*
- b) *UPCL's & UJVN Ltd. offices.*
- c) *Public lamps.*
- d) *Steel Plants under HT industries.*
- e) *Mixed Loads.*
- f) *Under GIS category, State tubewells and pump canals having load upto 75 kW and, State tubewells and World bank tubewells having load above 75 kW.*
- g) *Further, UPCL is also required to explain the following:*
 - i. *Average Consumption of PTW unmetered category for FY 2014-15 is higher than metered category. Basis of considering the consumption of PTW unmetered category.*
 - ii. *Average Consumption of Public Lamps unmetered category for FY 2014-15 is higher than metered category. Basis of considering the consumption of unmetered category*
 - iii. *Reason why consumption of World Bank tubewells are higher than other other tubewells under GIS.*
 - iv. *Reason why Jal Sansthan has consumption almost double that of Jal Nigam connections.*

Reply:

The Petitioner in regard to abnormal sales being recorded in the commercial dairy has taken strict measures for curbing the same. The Field officers have been directed to avoid such kind of careless mistakes and be accountable for such kind of errors. Further, in order to avoid such errors in the future and to simplify the monitoring system, the corporate office has revised the formats and accordingly circulated them to the field offices.

In regard to the sales for PTW unmetered category, the Petitioner would like to submit that the consumption booked under this category is based on nature of load and supply hours on the feeder and is done by the respective field officers. Further the Petitioner would also like to mention that in case of fixed tariff, human tendency is to consume more thereby increasing the load factor.

In case of Public Lamps unmetered category the Petitioner would like to submit that the consumption booked under this category is based on nature of load and supply hours on the feeder and is done by the respective field officers.

In regard to World Bank Tubewells and other GIS consumers as well as Jal Sansthan and Jal Nigam consumers, the consumption is based on the meter readings and in case of defective meters the same is assessed as per norms specified by the Hon'ble Commission.

17. From the division wise commercial statement submitted by UPCL for FY 2014-15, certain anomalies like higher consumption per Kilowatt or lower revenue per unit than that approved by the Commission has been observed in some divisions for some of the categories, which are enclosed as Appendix-A. UPCL is required to submit the reasons and basis for the same.

Reply:

The Petitioner in regard to discrepancies in the commercial dairy has taken strict measures for curbing the same. The Field officers have been directed to avoid such kind of careless mistakes and be accountable for such kind of errors. Further, in order to avoid such errors in the future and to simplify the monitoring system, the corporate office has revised the formats and accordingly circulated them to the field offices.

(M. A. Khan)

Director (Finance)

Uttarakhand Power Corporation Limited

Verification

I, M. A. Khan, S/o Shri Khan Mohammad, aged about 58 years, working as Director (Finance) - Uttarakhand Power Corporation Limited, VCV Gabar Singh Bhawan, Dehradun do hereby verify that the contents of Paras 1 to 17 are derived from official records, which are true to my personal knowledge and that I have not suppressed any material fact.

(M. A. Khan)

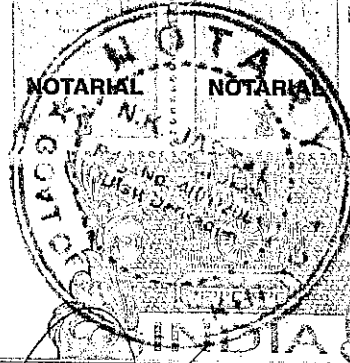
Director (Finance)

Uttarakhand Power Corporation Limited

भारतीय नैर न्यायिक

दस
रुपये

₹.10



TEN
RUPEES

Rs.10

File No.

Case No.

BEFORE UTTARAKHAND ELECTRICITY REGULATORY COMMISSION

In the matter of

MULTI YEAR TARIFF (MYT) PETITION FOR THE CONTROL PERIOD OF THREE FINANCIAL YEARS FROM 01-04-2016 TO 31-03-2019 ALONGWITH TARIFF DETERMINATION FOR FY 2016-17, TRUE UP FOR FY 2014-15 AND ANNUAL PERFORMANCE REVIEW FOR FY 2015-16

And

In the matter of:

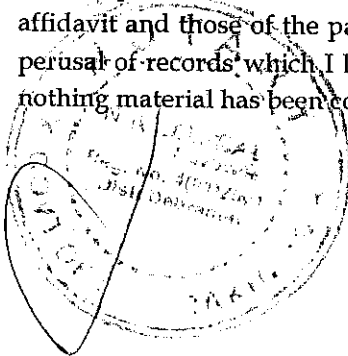
Uttarakhand Power Corporation Limited.

Petitioner

AFFIDAVIT VERIFYING THE PETITION

M. A. Khan, S/o Shri Khan Mohammad, aged about 58 years, working as Director (Finance) - Uttarakhand Power Corporation Limited, VCV Gabar Singh Bhawan, Dehradun, the deponent named above do hereby solemnly affirm and state on oath as under:

1. That the deponent is the Director (Finance) of Uttarakhand Power Corporation Limited who is acquainted with the facts deposed to below.
2. I, the deponent named above do hereby verify that the contents of the paragraph No.-1 of the affidavit and those of the paragraph No.-1 to 17 of the accompanying petition are based on the perusal of records which I believe to be true and verify that no part of this affidavit is false and nothing material has been concealed.



Deponent


M. A. Khan

(M. A. Khan)

Director (Finance)

Uttarakhand Power Corporation Limited

I, Anil Prasad Ranakoti, Advocate, do hereby declare that the person making this affidavit is known to me through the perusal of records and I am satisfied that he is the same person alleging to be deponent himself.

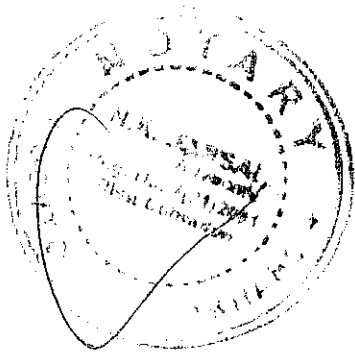
(
.....)
9758106
Advocate

Solemnly affirmed before me on this.....day of.....by the deponent who has been identified by the aforesaid advocate.

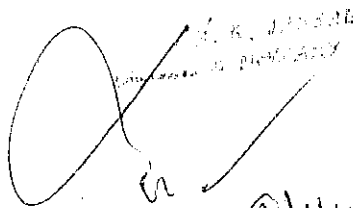
I have satisfied myself by examining the deponent that he understood the contents of the affidavit which has been read over and explained to him. He has also been explained about section 193 of Indian Penal Code that whoever intentionally gives false evidence in any of the proceedings of the Commission or fabricates evidence for purpose of being used in any of the proceedings shall be liable for punishment as per law.



(Notary Public)



THIS AFFIDAVIT IS MADE BEFORE ME BY
Shri. MAKL
who is represented by Shri.
of Department


N. K. SINGH
Notary Public

Category-wise & Month-wise Load Shedding

(Figures in MU)

Month	2014-15										
	Domestic	Non-Domestic	LT Industry	HT Industry	PTW	Mixed Load	Public Lamps	GIS	PWW	Railway Traction	Total
April	4.30	2.02	0.58	9.57	0.56	0.35	0.09	0.20	0.60	0.03	18.30
May	3.89	1.83	0.52	8.67	0.51	0.32	0.08	0.18	0.54	0.03	16.57
June	5.82	2.74	0.78	12.96	0.76	0.47	0.12	0.28	0.81	0.04	24.77
July	8.81	4.15	1.18	19.63	1.16	0.72	0.18	0.42	1.23	0.06	37.53
August	12.69	5.97	1.70	28.27	1.67	1.04	0.26	0.60	1.77	0.08	54.05
September	10.37	4.88	1.39	23.11	1.36	0.85	0.21	0.49	1.44	0.07	44.17
October	15.43	7.26	2.07	34.38	2.03	1.26	0.32	0.73	2.15	0.10	65.72
November	7.15	3.36	0.96	15.93	0.94	0.58	0.15	0.34	1.00	0.05	30.46
December	3.98	1.87	0.53	8.86	0.52	0.32	0.08	0.19	0.55	0.03	16.93
January	5.58	2.62	0.75	12.42	0.73	0.46	0.11	0.26	0.78	0.04	23.75
February	4.24	1.99	0.57	9.44	0.56	0.35	0.09	0.20	0.59	0.03	18.05
March	4.51	2.12	0.60	10.05	0.59	0.37	0.09	0.21	0.63	0.03	19.22
Total	86.76	40.82	11.63	193.30	11.39	7.08	1.79	4.11	12.08	0.56	369.52

S.K. MEHTA

D.S.

श्री Kanupal Sahib, For URC



पावर ट्रांसमिशन कारपोरेशन ऑफ उत्तराखण्ड लि०

(उत्तराखण्ड सरकार का उपक्रम)

ANNEXURE - 13

अधीक्षण अभियन्ता, प्रान्तीय भार निस्तारण केन्द्र कार्यालय

विद्युत भवन, नजदीक-आई०एस०बी०टी० क्रासिंग, सहारनपुर रोड, माजरा, देहरादून-248002

दूरभाष नं० 0135-2645768 फैक्स नं० 0135-2645758 email:- sldc1@rediffmail.com

Letter No. 706 /SLDC/Fin.

Dated : 27/03/15

CAO (FM),

UPCL.

Victoria Cross Vijeta Gabar Singh Bhawan.

Kanwali Road.

Dehradun.

Sub. : Payment of Wheeling Charges of Open Access.

Kindly find enclosed herewith the cheque no. 179018 dated 27.03.2015 for amounting Rs. 7105759.68 (Rs Seventy one lakh five thousand seven hundred fifty nine & paise sixty eight only) which has been received from open access (wheeling charges). Details given below :-

S.No.	Month	Amount (In Rs.)
01.	January, 2015	3725843.13
02.	February, 2015	3379916.55
	TOTAL :	7105759.68

Encls. : As above (Cheque No.- 179018).

(Anupam Sharma)

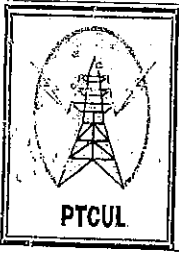
Superintending Engineer

CC :-

1. Chief Engineer, SLDC, PTCUL, Vidyut Bhawan, Majra, Dehradun.
2. Chief Engineer (Commercial), UPCL, Kanwali Road, Dehradun.

Secret

— 17 —



पावर ट्रांसमिशन कारपोरेशन ऑफ उत्तराखण्ड लि०
(उत्तराखण्ड सरकार का उपक्रम)

अधीक्षण अभियन्ता, प्रान्तीय भार निस्तारण केन्द्र कार्यालय
विद्युत भवन, नजदीक-आई०एस०बी०टी० क्रासिंग, सहारनपुर रोड, माजरा, देहरादून-248002
दूरभाष नं० 0135-2645768 फ़ैक्स नं० 0135-2645758 email:- sldc1@rediffmail.com

पत्रांक ५८८ / प्रा०भा०नि०के० / Fin

दिनांक : 18/11/14

CAO (FM),
UPCL
Kanwali Road,
Dehradun.

Sub. : Payment of Wheeling Charges of Open Access.

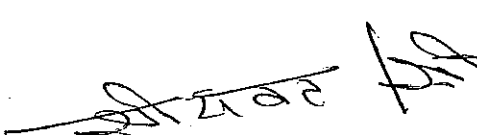


Kindly find enclosed a cheque no. 179015 dated 31.10.2014 for amount Rs. 4881775.21 (Rs. Forty eight lakh eighty one thousand seven hundred seventy five & paise twenty one only) which has been received from open access (Wheeling Charges). Details given below :-

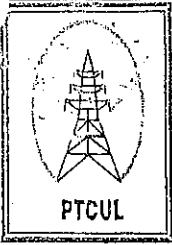
S.No.	Month	Amount (In Rs.)
01.	June, 2014	2122561.71
02.	July, 2014	1532398.50
03.	August, 2014	0.00
04.	September, 2014	1226815.00
TOTAL :		4881775.21


(Kartikey Dubey)
Superintending Engineer

CC :

Chief Engineer, SLDC, PTCUL, Vidyut Bhawan, Majra, Dehradun.



21/11/2014
62.24
Dr. Saha




पावर ट्रांसमिशन कारपोरेशन ऑफ उत्तराखण्ड लि०

(उत्तराखण्ड सरकार का उपक्रम)

अधीक्षण अभियन्ता, प्रान्तीय भार निस्तारण केन्द्र कार्यालय

विद्युत भवन, नजदीक-आई०एस०बी०टी० क्रॉसिंग, सहारनपुर रोड़, माजरा, देहरादून-248002

दूरभाष नं० 0135-2645768 फैक्स नं० 0135-2645758 email:- sldc1@rediffmail.com

Letter No. 673 /SLDC/Fin.

Dated : 9/3/2015

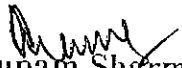
CAO (FM),
UPCL,
Victoria Cross Vijeta Gabar Singh Bhawan,
Kanwali Road,
Dehradun.

Sub. : Payment of Wheeling Charges of Open Access.

Kindly find enclosed herewith the cheque no. 179015 dated 07.03.2015 for amounting Rs. 7183641.24 (Rs Seventy one lakh eighty three thousand six hundred forty one & paise twenty four only) which has been received from open access (wheeling charges). Details given below :-

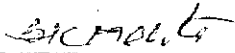
S.No.	Month	Amount (In Rs.)
01.	Octorber, 2014	1483632.15
02.	November, 2014	2484799.17
03.	December, 2014	3215209.92
	TOTAL :	7183641.24

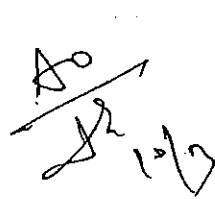
Encls. : As above (Cheque No.- 179015).

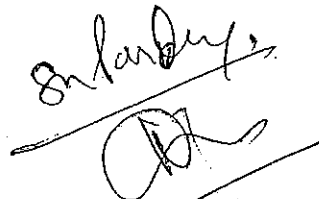

(Anupam Sharma)
Superintending Engineer

CC :-

1. Chief Engineer, SLDC, PTCUL, Vidyut Bhawan, Majra, Dehradun.
2. Chief Engineer (Commercial), UPCL, Kanwali Road, Dehradun.

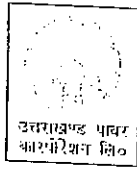








Uttarakhand Power Corporation Ltd.
Govt. Of Uttarakhand Undertaking)
Victoria Cross Vijeta Gabar Singh Bhawan,
anwali Road, Dehradun -248001
Phone: 0135-2763672-75 Fax: 91-135-2762444



उत्तराखण्ड पावर कारपोरेशन लि०
(उत्तराखण्ड सरकार का उपक्रम)
विक्टोरिया क्रॉस विजेता गबर सिंह भवन,
कॉवली रोड, देहरादून-248001
दूरभाष: 0135-2763672-75 फैक्स 135-2762444

N-36

No. 1477/UPCL/CE (Com)/Misc

Dated 4 .04.2015

Managing Director,
Uttarakhand Power Corporation Ltd,
Victoria Cross Vijeta Gabar Singh Bhawan,
Dehradun

ANNEXURE - D

Subject: Office Memorandum No. 157 dated 16-03-2015

Sir,

On the subject cited above, the following points are brought to your kind notice:-

1. The details of electricity consumed by UJVNL employees/pensioner/family pensioners are enclosed in Ann-1.
2. The details of electricity consumed by PTCUL employees are enclosed in Ann-2.
3. The details of electricity consumed by UPCL employees/pensioner/family pensioners are enclosed in Ann-3.
4. In order to make proper energy accounting of all employees/pensioner/family pensioners of UJVNL/PTCUL/UPCL, the following points are required to be implemented with immediate effect:-
 - (i) Metering of balance employees/pensioners/family pensioners of UJVNL/PTCUL/UPCL is to be done on top priority.
 - (ii) Ledgerisation of all balance employees/pensioner/family pensioners of UJVNL/PTCUL/UPCL is to be ensured at distribution division level.
 - (iii) Bi-monthly reading to be carried out at distribution division level and billing be done accordingly. The bills may not be printed.
 - (iv) Compilation of all such billing to be ensured at UPCL's headquarter level and demand to be raised to concerned corporation for making payment (cash/adjustment) by them.

Encls: As above

S.K. Melita
4/4/15
(S.K. Melita)

AAO (Commercial)
Member

Chitra Singh
4/4/15
(Chitra Singh)

AAO (FM)
Member

Anil Dhiman
4/4/15
(Anil Dhiman)

EE (R-APDRP)
Member

Munish Chandra
4/4/15
(Munish Chandra)

EE (Commercial)
Convener

NO. 20/17/MD/

C-8

DL 06/4/2015

CE/Comm/EE/GM/Audit

*Pl. disburse & take appropriate action
simultaneously.*

R
6/4

Ju

S.K. Melita

ELECTRICITY BILL FOR UPCL EMPLOYEES/PENSIONERS for the period from 11/2001 TO 03/2015 (161 MONTHS)

AVERAGE CONSUMPTION 320 UNIT PER MONTH PER EMPLOYEE & TARIFF APPLICABLE AS PER UERC TARIFF ORDER DT : 10.04.2014

NUMBER OF EMPLOYEES	4519
NUMBER OF PENSIONERS	3454
TOTAL NUMBER OF DEPARTMENT CONSUMERS	7973

Source -- Commercial Dairy, of UPCL
for the month of Nov, 2014

Fix Charge 7973 x Rs. 90
Energy Charge
797300 X 2.30 = 18,33,790/-
797300 X 2.70 = 21,52,710/-
956760 X 3.35 = 32,05,146/-
TOTAL = 71,91,646/-
ED @ 0.15 RS. PER UNIT = 3,82,704/-
TOTAL PER MONTH BILL = 82,91,920/-

TOTAL BILL 11/2001 TO 03/2015
82,91,920 X 161 MONTHS = 133,49,99,120/-
PTCUL employees 11/2001 to 5/2004 = 7,83,120 x 31 = 2,42,76,720/-
Total Amount = 135,92,75,840/-

Rs. One Hundred Thirty Five Crore, Ninty Two Lakh, Seventy Five Thousand, Eight Hundred, Forty only.

Assumptions :-

1. Presently 718 employees / pensioners of UPCL/PTCUL/UJVNL are being billed through the billing software of UPCL and accordingly the billing available the average consumption per month per employee is 320 units. The consumption has been considered while working out the billing of employees.
2. The above tariff hike from 11/2001 to 3/2015 is about 7% p.a. only whereas interest / Delayed Payment Surcharge is more than this. Accordingly, current tariff rates have been considered by ignoring the intrest/DPS.
3. The year wise details of employee / pensioners are not available and therefore billing value has been computed on the available details of employees/pensioners.

Sanjay Mehta
Sanjay Mehta
04/4/15

Chitra Singh
Chitra Singh
(AAO/Member)

Anil Dhiman
Anil Dhiman
(EE/Member)

Muneesh Chandra
Muneesh Chandra
(EE/Convener)

ESTIMATED BILL FOR PTCUL EMPLOYEES/PENSIONERS FOR THE PERIOD FROM 06/2004 TO 03/2015 (130 MONTHS)

AVERAGE CONSUMPTION 320 UNIT PER MONTH PER EMPLOYEE & TARIFF APPLICABLE AS PER UERC TARIFF ORDER DT : 10.04.2014

NUMBER OF EMPLOYEES
 NUMBER OF PENSIONERS
 NUMBER OF FAMILY PENSIONERS
 TOTAL NUMBER OF DEPARTMENT CONSUMERS

753
 Not Available
 Not Available

 753

} Source -- IT Dept. of PTCUL

Fix Charge 753 x Rs. 90 = Rs. 67,770
 Energy Charge
 75300 X 2.30 = 1,73,190/-
 75300 X 2.70 = 2,03,310/-
 90360 X 3.35 = 3,02,706/-
 TOTAL = 6,79,206/-
 ED @ 0.15 RS. PER UNIT = 36,144/-
 TOTAL PER MONTH BILL = 7,83,120/-

TOTAL BILL 06/2004 TO 03/2015
 7,83,120 X 130 MONTHS = 10,18,05,600/-

Rs. Ten Crore, Eighteen Lakh, Five Thousand, Six Hundred only.

Assumptions :-

1. Presently 718 employees / pensioners of UPCL/PTCUL/UJVNL are being billed through the billing software of UPCL and accordingly the billing available the average consumption per month per employee is 320 units. The consumption has been considered while working out the billing of employees.
2. The above tariff hike from 11/2001 to 3/2015 is about 7% p.a. only whereas interest / Delayed Payment Surcharge is more than this. Accordingly, current tariff rates have been considered by ignoring the interest/DPS.
3. The year wise details of employee / pensioners are not available and therefore billing value has been computed on the available details of employees/pensioners.

Sanjay Mehta

Sanjay Mehta
 4/4/15
 Sanjay Mehta
 (AAO/Member)

Chitra Singh
 4/4/15
 Chitra Singh
 (AAO/Member)

Anil Dhiman
 Anil Dhiman
 (EE/Member)

Muneesh Chandra
 Muneesh Chandra
 (EE/Convenor)

ELECTRICITY BILL FOR UJVNL EMPLOYEES/PENSIONERS For the period from 11/2001 to 03/2015

AVERAGE CONSUMPTION 320 UNIT PER MONTH PER EMPLOYEE & TARIFF APPLICABLE AS PER UERC TARIFF ORDER DT : 10.04.2014

NUMBER OF EMPLOYEES	2088
NUMBER OF PENSIONERS	1350
NUMBER OF FAMILY PENSIONERS	280
TOTAL NUMBER OF DEPARTMENT CONSUMERS	<u>3718</u>

Source -- HR Dept. of UJVNL

Fixed Charge 3718 x Rs. 90 = 3,34,620

Energy Charge

371800 X 2.30 = 8,555,140/-

371800 X 2.70 = 10,03,860/-

446160 X 3.35 = 14,94,636/-

TOTAL = 33,53,636/-

ED @ 0.15 RS. PER UNIT = 1,78,464/-

TOTAL PER MONTH BILL = 38,66,720/-

TOTAL BILL 11/2001 TO 03/2015

38,66,720 X 161 MONTHS = 62,25,41,920/-

Rs. Sixty Two Crores, Twenty Five Lakhs, Forty One Thousand, Nine Hundred, Twenty only.

Assumptions :-

1. Presently 718 employees / pensioners of UPCL/PTCUL/UJVNL are being billed through the billing software of UPCL and accordingly the billing available the average consumption per month per employee is 320 units. The consumption has been considered while working out the billing of employees.
2. The above tariff hike from 11/2001 to 3/2015 is about 7% p.a. only whereas interest / Delayed Payment Surcharge is more than this. Accordingly, current tariff rates have been considered by ignoring the interest/DPS.
3. The year wise details of employee / pensioners are not available and therefore billing value has been computed on the available details of employees/pensioners.

SK Mehta
Sanjay Mehta
4/4/15
(AAO/Member)

Chitra Singh
4/4/2015
Chitra Singh
(AAO/Member)

Anil Dhiman
Anil Dhiman
(EE/Member)

Muneesh Chandra
Muneesh Chandra
(EE/Convenor)