



Uttarakhand Electricity Regulatory Commission

'Vidyut Niyamak Bhawan',

Near ISBT, PO- Majra, Dehradun-248171

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No. UERC/5/Tech/759/2022-23/1421

Date: 6 February, 2023

To,

Managing Director,
UJVN Limited,
"UJJWAL", Maharani Bagh, GMS Road,
Dehradun.

Sub: Petition for True up for FY 2021-22, Annual Performance Review for FY 2022-23 and determination of AFC for FY 2023-24 for 10 LHPs of UJVN Ltd.

Sir,

In reference to your Petitions dated 30.11.2021 on the above-mentioned subject and subsequent discussions (Online Mode) held with your various Cost/Profit Centres pertaining to 'Scrutiny of Add Cap and R&M expenses', certain deficiencies/requirement of additional information have been observed which are enclosed in Annexure-I.

In this regard, you are directed to submit your reply **under affidavit** on the same latest by **22.02.2023** in Original + 1 copy alongwith soft copy of the reply.

Encl.: As above.

Yours Sincerely,


(Neeraj Sati)
Secretary

Part - A

Deficiencies w.r.t UJVN Ltd.'s Submissions dated 03.02.2023

1. UJVN Ltd. vide its reply dated 03.02.2023 against Point-20(e) has submitted Details of insurance claim received for Chilla HEP. In this regard, UJVN Ltd. is required to submit clarification on the following:
 - a. "Adjustment of Non-payment by Insured" for Rs 4,80,118/-
 - b. "Underinsurance" for Rs 7,55,42,515/-

Further, UJVN Ltd. is required to confirm whether any claim against material loss/generation loss is pending with the insurance company, if yes, then UJVN Ltd. is required to furnish the amount and head-wise details of the same.
2. Currently, the apportionment philosophy for common expenses is in the ratio of 85:10:5. However, with the commissioning of Vyasi HEP, the said apportionment philosophy would change. Hence, UJVN Ltd. is required to submit the revised apportionment philosophy for common expenses.
3. UJVN Ltd. at Page No. 83 of its Balance Sheet for FY 2021-22 pertaining to "GoU Loan- World Bank funded" has mentioned that 'Interest-free loan from GoU pending terms and conditions for repayment of loan'. In this regard, UJVN Ltd. is required to submit the terms and conditions of repayment to GoU and details of repayments made, if any.
4. UJVN Ltd. is required to furnish the details of Profit /Cost Center-wise CSR expenditure alongwith details of works executed.

Part - B

Deficiencies observed during Online meetings conducted with UJVN Ltd.'s Profit / Cost Centers

Khatima

R&M FY 2021-22

1. On examination of R&M expenditure, it is observed that certain items for example 'Generator Protection Relays and Distance Protection Relays', 'MANAGERD L2 Distribution switches', 'LED lights/Flood Lights' etc. have been taken from the stocks. UJVN Ltd. is required to confirm that the said items have not been claimed in its previous tariff claims and no issue of Double Accounting exists. Hence, UJVN Ltd. is required to provide an affidavit that no Double Accounting/Claims have been booked in its instant petition.
2. UJVN Ltd. is required to check and confirm that no Ad Cap nature item have been booked under R&M and vice versa and furnish details, if any.

Chilla

Add Cap FY 2021-22

1. UJVN Ltd. is required to confirm that works claimed under instant petition have not been booked/claimed in its previous tariff claims and no issue of Double Accounting exists.
2. UJVN Ltd. has claimed expenditure of Rs. 9,68,19,000 for the work of 'D.M.S.E.T.C OF TRCM (DRIP)'. It is observed that the Bills and Vouchers submitted for the same are not matching. UJVN Ltd. is required to submit the complete Bills and Vouchers for the same.

R&M FY 2021-22

1. UJVN Ltd. is required to check and confirm that no Add Cap nature item have been booked under R&M and vice versa and furnish details, if any.

Civil Mayapur

Add Cap FY 2022-23, 23-24

1. UJVN Ltd. is required to furnish the details of works which are being executed/yet to be executed in FY 2022-23 and FY 2023-24 which require Prior investment approval from the Commission.
2. UJVN Ltd. is required to confirm that no items have been claimed in its previous tariff claims and no issue of Double Accounting exists in Add Cap & R&M expenses.

Maneri Bhali-I

Add Cap FY 2021-22

1. UJVN Ltd. is required to furnish unit-wise detail of RMU works in the following format

Date of Purchase Order	Unit No.	Date of work Completion as per Contract/Purchase Order	Date of Commercial Operation (COD)	Delay duration (In Days)	Detail of time extensions granted to the Contractor.	Details of penalty deducted on account of LD (excluding time extension period)
	#1					
	#2					
	#3					

Further, UJVN Ltd. is required to submit the mechanism of treatment of the penalty amount in its Tariff claims.

R&M FY 2021-22

1. UJVN Ltd. is required to check and confirm that no Add Cap nature item have been booked under R&M and vice versa and furnish details, if any.
2. UJVN Ltd. is required to confirm that no items have been claimed in its previous tariff claims and no issue of Double Accounting exists in Add Cap & R&M expenses.

Maneri Bhali-II

R&M FY 2021-22

1. UJVN Ltd. is required to check and confirm that no Add Cap nature item have been booked under R&M and vice versa and furnish details, if any.
2. UJVN Ltd. is required to confirm that no items have been claimed in its previous tariff claims and no issue of Double Accounting exists in Add Cap & R&M expenses.
3. UJVN Ltd. is required to submit a writeup for justifying the Negative Entry of amount Rs. 30 lakhs. marked in the Excel sheet for the work '245 KV SF6 Gas CB PO No. 5200001052 Unit #1, Unit #2 (SUPPLY PART)'.

PDD

R&M FY 2021-22

1. UJVN Ltd. is required to provide confirmation regarding the work/items of the Purchase Order No. 5200004706 have not been booked as Double Claim/Accounting in its R&M expenses through stock issue items.
2. UJVN Ltd. is required to check and confirm that no Add Cap nature item have been booked under R&M and vice versa and furnish details, if any.
3. UJVN Ltd. is required to confirm that no items have been claimed in its previous tariff claims and no issue of Double Accounting exists in Add Cap & R&M expenses.

- UJVN Ltd. is required to furnish the details of the colonies under the jurisdiction of PDD in the following format and confirm that R&M expenses are incurred against quarters occupied by its staff only.

Name of the Colony

Type of Quarters	Total No. of Quarters	Total No. of Quarters occupied by		
		UJVN Ltd. employees	Others	Total

Chibro

R&M FY 2021-22

- UJVN Ltd. is required to furnish the past details of Unit-wise Capital maintenance on the generator & turbine and MIV separately providing details of expenditure incurred and year of capitalization.
- UJVN Ltd. is required to check and confirm that no Add Cap nature item have been booked under R&M and vice versa and furnish details, if any.
- UJVN Ltd. is required to confirm that no items have been claimed in its previous tariff claims and no issue of Double Accounting exists.

Khodri

R&M FY 2021-22

- UJVN Ltd. is required to furnish the copy of the Purchase Order & the Voucher against the item '245 KV Isolator without earth switch' amounting to Rs. 7.79 lakh. issued from stock and its trail.
- UJVN Ltd. is required to submit an official statement mentioning the items 'Scrap (used & Received Back in Store)' having Document No. 5200001642 and 5200001643 amounting

to a sum of Rs. 6,32,560 that are added in the R&M expenses but the same are to be removed from the claim.

3. UJVN Ltd. is required to confirm that no items have been claimed in its previous tariff claims and no issue of Double Accounting exists in Add Cap & R&M expenses.
4. UJVN Ltd. is required to check and confirm that no Add Cap nature item have been booked under R&M and vice versa and furnish details, if any.

Civil Dhalipur

Add Cap FY 2021-22

1. UJVN Ltd. has claimed an expenditure against the work of 'Supply, installation, testing & Commissioning of Instrumentation software monitoring of Dam Monitoring system' amounting to Rs. 4,44,75,919 in the Ichari and Maneri Dam.

UJVN Ltd. is required to furnish the copy of Purchase Order of M/s Elcome Technologies and furnish details with break-up of expenses against Ichari Dam and Maneri Dam depicting the 5 years comprehensive AMC separately. Further the date of completion of the aforesaid works at Ichari Dam and Maneri Dam is to be furnished.

R&M FY 2021-22

1. UJVN Ltd. is required to confirm that no items have been claimed in its previous tariff claims and no issue of Double Accounting exists in Add Cap & R&M expenses.
2. UJVN Ltd. is required to check and confirm that no Add Cap nature item have been booked under R&M and vice versa and furnish details, if any.
3. UJVN Ltd. is required to furnish the details of 'Yamuna Colony Dehradun' under the jurisdiction of Civil Dhalipur in the following format –

Type of Quarters	Total No. of Quarters	Total No. of Quarters occupied by			Annual R&M expense (FY 2021-22)
		UJVN Ltd. employees	Others	Total	

Dhakrani

R&M FY 2021-22

1. UJVN Ltd. has claimed an amount of Rs. 11,505 against the work 'Replacement of CTs of 33 KV Chibro-2'. Further, UJVN Ltd. has proposed the Add Cap of Rs. 12.76 lakhs. against the work '33 KV PT & 33KV CT Outdoor oil type, 4 core, single'. In this regard, UJVN Ltd. is required to provide the details of the said work claimed under R&M expenses and clarify that no Double Claim/ Accounting exists.
2. UJVN Ltd. is required to check and confirm that no Add Cap nature item have been booked under R&M and vice versa and furnish details, if any.
3. UJVN Ltd. is required to furnish an affidavit justifying that no items in R&M expenses have been claimed in its previous tariff claims and no issue of Double Claim/ Accounting exists.

Dhalipur

Add Cap FY 2021-22

1. UJVN Ltd. is required to furnish the detail of RMU works for Unit #B in the following format:

Date of Purchase Order	Date of work Completion as per	Date of Commercial	Delay duration (In Days)	Detail of time extensions	Details of penalty deducted on

	Contract/Purchase Order	Operation (COD)		granted to the Contractor.	account of LD (excluding time extension period)

Further, UJVN Ltd. is required to submit the mechanism of treatment of the penalty amount in its Tariff claims.

1. With regards to claimed Additional Capitalization against RMU of Unit #B of Dhalipur for FY 2021-22 the Petitioner is required to submit the statutory auditor certificate certifying the completion cost along with the following break up.
 - i. Hard Cost
 - ii. IDC
 - iii. IEDC
 - iv. Total
 - v. Total Price Variation included in Hard Cost.

The above cost needs to be as on date of completion of RMU of the Unit.

2. UJVN Ltd. is required to confirm that the works executed against RMU of Unit #B doesn't include the works of other Units.

R&M FY 2021-22

1. It is observed that the work 'Enhancing fencing Security System' amounting to Rs. 3709757.52' has been claimed in the R&M expenses. UJVN Ltd. is required to furnish the details of the works executed under the 'Enhancing fencing Security System' and justify the rationale for claiming the said work under R&M expenses.
2. UJVN Ltd. is required to check and confirm that no Add Cap nature item have been booked under R&M and vice versa and furnish details, if any.

3. UJVN Ltd. is required to furnish an affidavit justifying that no items in R&M expenses have been claimed in its previous tariff claims and no issue of Double Claim/ Accounting exists.

Kulhal

Add Cap FY 2021-22

1. It is observed that the work 'SITC of 3 phase 250kVA Voltage Servo Stabilizer at Asan Barrage' amounting to Rs. 11,15,100 has been put under 'Major Civil Works'. In this regard, UJVN Ltd. is required to furnish the rationale for claiming the said work under 'Major Civil Works' instead of 'Plant & Machinery' head.
2. It is observed that UJVN Ltd. has claimed Additional Capitalization of Rs. 3,06,800 for the work 'Erection, Testing & Commissioning of 6.6 KV CT/PT and SITC of Distilled water plant'. In this regard, UJVN Ltd. is required to provide the detail w.r.t the Supply of the erected CT/PT along with its trails.

R&M FY 2021-22

1. It is observed that UJVN Ltd. has claimed R&M expenses of Rs. 224200 and Add Cap of Rs. 12,44,900 for the work '220V/50A battery charger & DCDB150A'. In this regard, UJVN Ltd. is required to provide the rationale for claiming of the same contract in R&M and as well as in Add Cap.
2. It is observed that UJVN Ltd. has claimed R&M expense of Rs. 21,47,600 for the work 'IIOT based asset monitoring online platform'. In this regard, UJVN Ltd. is required to provide the 'Need', 'Functionality' and 'Working Status' of the monitoring system along with the Parameters that would be monitored remotely. Further, UJVN Ltd. is required to provide the rationale for claiming the said expenditure under R&M.
3. UJVN Ltd. is required to check and confirm that no Add Cap nature item have been booked under R&M and vice versa and furnish details, if any.
4. UJVN Ltd. is required to furnish an affidavit justifying that no items in R&M expenses have been claimed in its previous tariff claims and no issue of Double Accounting exists.

Civil Maneri (MB-I)

Add Cap FY 2021-22

1. UJVN Ltd. has claimed Rs. 6.76 lakhs. against the work 'Installation of 4KLD Sewage treatment Plant including its O&M for One year & construction its appurtenant structure at Maneri Dam Uttarkashi' in its Add Cap as well as R&M claims. UJVN Ltd. is required to clarify the same.
2. UJVN Ltd. has claimed an expenditure against the work of 'Supply, installation, testing & Commissioning of Instrumentation software monitoring of Dam Monitoring system' amounting to Rs. 4,44,75,919 in the Ichari and Maneri Dam. In this regard, UJVN Ltd. is required to confirm that since the aforesaid amount of Rs. 4.44 cr. has been booked by Civil Dhalipur for Ichari Dam as well as Maneri Dam for the said works, no repeat claim in any form has been done by UJVN Ltd. in its claims of Maneri Dam and ensure the same in its future claims.

Civil Maneri (MB-II)

Add Cap FY 2021-22

1. UJVN Ltd. is required to furnish the copy pertaining to Insurance Amount received against the items De-Capitalized under MB-II HEP amounting to Rs. 4.99 Cr.

Civil Maneri (MB-I & MB-II)

R&M FY 2021-22

1. UJVN Ltd. is required to check and confirm that no Add Cap nature item have been booked under R&M and vice versa and furnish details, if any.
2. UJVN Ltd. is required to furnish an affidavit justifying that no items in R&M and Add Cap have been claimed in its previous tariff claims and no issue of Double Accounting exists.

3. UJVN Ltd. is required to furnish the colony-wise details under the jurisdiction of Civil Maneri in the following format -

Name of colony

Type of Quarters	Total No. of Quarters	Total No. of Quarters occupied by			Annual R&M expense (FY 2021-22)
		UJVN Ltd. employees	Others	Total	

4. With regard to 'Prevention of Seepage/leakage water at Ghamari Gad above HRT changing 13750 mtr. of MB-II HEP at Dharashu PH', UJVN Ltd. has claimed an expenditure of Rs. 1.49 cr. for the same. UJVN Ltd. is required to furnish the rationale for substantiating the Need for the said expense and also furnish a concrete plan for mitigating the problem permanently.