

## INDEX OF FORMATS

Format for Hydro

S. No.	Format No.	Description
1	Form: F-1.1	Computation of Per Unit Rate
2	Form: F-1.2	Summary of Revenue and Revenue Requirement
3	Form: F-2.1	Saleable Energy & PAF
4	Form: F-2.2	Information on Energy Generation (MU)
5	Form: F-2.3	Salient Features of Hydroelectric Project
6	Form: F- 3	Computation of Net Annual Fixed Charges
7	Form: F- 4	Statement of Gross Fixed Asset Base & Financing Plan
8	Form: F- 5.1	Statement of Asset wise Depreciation
9	Form: F- 5.2	Statement of Depreciation
10	Form: F- 6.1	Statement of Capital Expenditure
11	Form: F- 6.2	Statement of Capital Works-in-Progress
12	Form: F- 6.3	Statement of Capital Expenditure & Schedule of COD of New Projects
13	Form: F- 6.4	Break-up of Capital Expenditure for New Projects
14	Form: F- 6.5	Break-up of Capital cost for Hydro power generating station on COD (For New Stations)
15	Form: F- 6.6	Break-up of Construction / Supply / Service packages on COD (For New Stations)
16	Form: F- 6.7	Draw Down Schedule for Calculation of IDC & Financing Charges
17	Form: F- 7	Details of Capital Cost and Financing Structure
18	Form: F- 8	Details of Financial Packages
19	Form: F-9.1	Statement of Outstanding Loans
20	Form: F- 9.2	Calculation of Weighted Average Interest Rate of Interest on Actual Loans *
21	Form: F- 9.3	Calculation of Interest on Normative Loan
22	Form: F- 10	Details of Interest on Working Capital
23	Form: F- 11	Details of Operation & Maintenance Expenses
24	Form: F-11.1	Details of Repair & Maintenance Expenditure
25	Form: F-11.2	Details of Employee Expenses
26	Form: F- 11.3	Details of Administration & General Expenses
27	Form: F-12	Non Tariff Income
28	Form: F- 13	Summary of Truing Up



**Form: F-1.2**

**Summary of Revenue and Revenue Requirement**

(Figures in Rs Crore)

S. No.	Item	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)		
		2013-14	2014-15			2015-16		
		(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	RE		
<b>A.</b>	<b>Generation</b>							
1	Gross Generation (MU)	114.77	45.17	0.00	45.17	110.00		
2	Aux Consumption and Transformation Losses (%)	1.08%	1.15%	#DIV/0!	1.15%	1.15%		
3	Aux Consumption and Transformation losses (MU)	1.24	0.52	0.00	0.52	1.27		
4	Net Generation (MU) (1-3)	<b>113.53</b>	<b>44.65</b>	-	<b>44.65</b>	<b>108.74</b>		
<b>C.</b>	<b>Expenditure</b>							
1	O&M expenses	<b>12.70</b>			<b>16.35</b>	<b>17.78</b>		
a	Employee Expenses	<b>9.84</b>			<b>10.78</b>	<b>11.80</b>		
b	Repair and Maintenance	<b>1.71</b>			<b>4.35</b>	<b>4.67</b>		
c	A&G Expense	<b>1.05</b>			<b>1.13</b>	<b>1.22</b>		
d	Colony Supply and consessional supply	<b>0.09</b>			<b>0.09</b>	<b>0.09</b>		
2	Depreciation	<b>0.11</b>			<b>0.11</b>	<b>0.11</b>		
3	Lease Charges							
4	Interest on Loans	0.10			0.09	0.07		
5	Interest on Working Capital	0.79			1.01	1.10		
6	Other Income	0.78			0.35	0.35		
	<b>Total Expenditure (1+2+3+4+5-6)</b>	<b>12.92</b>			<b>17.21</b>	<b>18.71</b>		
<b>D.</b>	<b>Return on Equity</b>	<b>0.66</b>			<b>0.66</b>	<b>0.66</b>		
<b>E</b>	<b>Revenue Requirement (C+D)</b>	<b>13.57</b>			<b>17.87</b>	<b>19.37</b>		

**Note:**  
n= FY 2014-15

Name of Generating Company

UJVN Ltd.

Name of Generating Station

Khatima HEP

**Form: F-2.1**

**Saleable Energy & PAF**

Sl. No.	Description	Unit	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)
			2013-14	2014-15			2015-16
			(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	RE
1	Design Energy/Primary Energy Generation	(MU)	194.05			194.05	194.05
2	Auxiliary Consumption and other losses						
	(a) In % of Energy Generated	(%)	0.70%			0.70%	0.70%
	(b) In MU	(MU)	1.36			1.36	1.36
3	Energy Sent Out (1-2b)	(MU)	192.69			192.69	192.69
4	Home State Share	(%)					
5	<b>Saleable Energy {{3}x[1-(4)]}</b>	(MU)	<b>192.69</b>			<b>192.69</b>	<b>192.69</b>
6	<b>Plant Availablility Factor</b>	(%)	<b>34.87%</b>	<b>41.62%</b>	<b>11.76%</b>	<b>26.69%</b>	<b>46.18%</b>

**Form: F-2.2**  
**Information on Energy Generation (MU)**

Sl.No.	Month	Design Energy	Previous Year (n-1)	Current Year (n)		Ensuing Year (n+1)
			2013-14	2014-15		2015-16
			(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	RE
1	April		10.88	6.94		10.50
2	May		13.84	9.59		11.50
3	June		11.99	10.36		11.50
4	July		11.52	7.58		14.00
5	August		13.79	10.70		14.00
6	September		13.59	0.00		14.00
7	October		10.54		-	6.00
8	November		0.53		-	6.00
9	December		7.76		-	6.00
10	January		7.32		-	6.00
11	February		5.97		-	5.00
12	March		7.06		-	5.50
<b>Total</b>			<b>114.77</b>	<b>45.17</b>	<b>0.00</b>	<b>110.00</b>

**Form: F-2.3**  
**Salient Features of Hydroelectric Project**

Name of the Power Station:

Khatima

<b>1. Location</b>	
State/Distt.	Udham Singh Nagar
River	Sharda
<b>2. Diversion Tunnel</b>	NA
Size, shape	
Length	NA
<b>3. Dam</b>	Banbasa Barrage
Type	Diversion Barrage
Maximum dam height	
<b>4. Spillway</b>	
Type	NA
Crest level of spillway	NA
<b>5. Reservoir</b>	
Full Reservoir Level (FRL)	221.7M RL
Minimum Draw Down Level (MDDL)	218.2M RL
Live storage (MCM)	Not Applicable
<b>6. Desilting Arrangement</b>	0
Type	Not Applicable
Number and Size	Not Applicable
Particle size to be removed(mm)	Not Applicable
<b>7. Head Race Tunnel</b>	0
Size and type	Bed Width=250ft., Water Depth=8.1ft. To 9.07ft.
Length	15 KM
Design discharge(Cumecs)	308
<b>8. Surge Shaft</b>	0
Type	Not Applicable
Diameter	Not Applicable
Height	Not Applicable
<b>9. Penstock/Pressure shafts</b>	0
Type	RCC
Diameter & Length	6.45M dia & 128M length
<b>10. Power House</b>	
Type	Surface & Run of River
Installed capacity (No of units x MW)	3x13.8 MW
Peaking capacity during lean period (MW)	NA
Type of turbine	Kaplan
Rated Head(M)	60 ft.
Rated Discharge(Cumecs)	308
<b>11. Tail Race Tunnel</b>	
Diameter, shape	Width=34.44M
Length	59.13M
Minimum tail water level	356 Feet R.L.
<b>12. Switchyard</b>	0
Type of Switch gear	Bulk Oil circuit breaker,132KV.
No. of generator bays	3
No. of Bus coupler bays	2
No. of line bays	2

(Petitioner)

Name of Generating Company  
Name of Generating Station

UJVN Ltd.  
Khatima HEP

**Form: F- 3**  
**Computation of Net Annual Fixed Charges**

(Figures in Rs Crore)

Sl.No.	Year ending March	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)
		2013-14	2014-15			2015-16
		(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	RE
1	Interest on Loan (Including Interest on Normative Loans)	0.0990			0.09	0.07
2	Depreciation	0.1129			0.1114	0.1073
3	Lease Charges					
4	Return on Equity					
	(a) Rate of Return on Equity	15.50%			15.50%	15.50%
	(b) Equity	4.2417			4.25	4.25
	(c) Return on Equity (4a)*(4b)	0.6575			0.66	0.66
5	O&M Expenses	12.6978			16.3515	17.7828
	5.1 Employee Costs	9.8434			10.7788	11.8032
	5.2 Repair and Maint. Expenses	1.7093			4.3492	4.6721
	5.3 Admin & Gen Costs	1.0549			1.1332	1.2173
	Colony Supply and consessional supply	0.0902			0.0902	0.0902
6	Interest on Working Capital	0.7900			1.01	1.10
7	Gross Annual Fixed Charges (1+2+3+4(c)+5+6)	14.3572			18.22	19.72
8	Less: Other Income (provide details)	0.7825	-	-	0.35	0.35
9	Net Annual Fixed Charges (7-8)	<b>13.5747</b>			<b>17.87</b>	<b>19.37</b>

**Form: F- 4**

**Statement of Gross Fixed Asset Base & Financing Plan**

**Final Approved Cost as on Commercial Operations Date**

	Capital Expenditure	Date of commercial operation
Unit 1	12.4	JAN 1955
Unit 2		MAY 1955
Unit 3		FEB 1955

**Original Financing Plan (Unitwise)**

Rupee Term Loan		
Normative Debt		8.68
Debt 2 *		
Foreign Currency Loan		
Debt 1	NA	
Debt 2 *	NA	
Equity		
In Rupees		3.72
In Foreign Currency		

**Gross Fixed Asset**

Previous year (n-1) **2013-14** (Figures in Rs Crore)

Particulars of Assets**	GFA (as on COD)	additional capitalization at the beginning of the year	Additions during the year	Retirement of assets during the year	Balance at the end of the year
(1)	(2)	(3)	(4a)*	(5a)*	(6)
a) Land	Break Up not available	0.00	0.00	-	Break Up not available
b) Building		0.13	0.00	-	
c) Major Civil Works		0.00	-	-	
d) Plant & Machinery		1.06	0.01	-	
e) Vehicles		0.13	0.00	-	
f) Furniture and Fixtures		0.10	0.01	-	
g) Office Equipment & Other Items		0.31	0.01	-	
<b>Total</b>		<b>12.40</b>	<b>1.74</b>	<b>0.03</b>	

**Current Year (n)**

**2014-15**

(Figures in Rs Crore)

Particulars of Assets**	GFA (as on COD)	additional capitalization at the beginning of the year	Additions during the year	Additions during the year proposed to be undertaken*	Retirement of assets during the year already undertaken	Retirement of assets during the year proposed to be undertaken	Balance at the end of the year
(1)	(2)	(3)	(4a)**	(4b)**	(5a)*	(5b)**	(6)
a) Land	Break Up not available	0.00	0.00	0.00	-	-	Break Up not available
b) Building		0.13	0.00	0.00	-	-	
c) Major Civil Works		0.00	0.00	0.00	-	-	
d) Plant & Machinery		1.08	0.00	0.00	-	-	
e) Vehicles		0.13	0.00	0.00	-	-	
f) Furniture and Fixtures		0.11	0.00	0.00	-	-	
g) Office Equipment & Other Items		0.32	0.00	0.00	-	-	
<b>Total</b>		<b>12.40</b>	<b>1.77</b>	<b>0.00</b>	<b>0.00</b>	<b>-</b>	

**Ensuing year (n +1)**

**2015-16**

(Figures in Rs Crore)

Particulars of Assets**	GFA (as on COD)	additional capitalization at the beginning of the year	Additions during the year proposed to be undertaken*	Retirement of assets during the year proposed to be undertaken	Balance at the end of the year
(1)	(2)	(3)	(4b)**	(5b)**	(6)
a) Land	Break Up not available	0.00	0.00	-	Break Up not available
b) Building		0.13	0.00	-	
c) Major Civil Works		0.00	0.00	-	
d) Plant & Machinery		1.08	0.00	-	
e) Vehicles		0.13	0.00	-	
f) Furniture and Fixtures		0.11	0.00	-	
g) Office Equipment & Other Items		0.32	0.00	-	
<b>Total</b>		<b>12.40</b>	<b>1.77</b>	<b>0.00</b>	

**Form: F- 5.1**  
**Statement of Asset wise Depreciation**

Previous year (n-1) **2013-14** (Figures in Rs Crore)

Particulars of Assets*	Rate of Depreciation for GFA in %	Rate of Depreciation for Additional Capitalization in %	Accumulated Depreciation on GFA at the beginning of the Year	Accumulated Depreciation on Additional Capitalization at the beginning of the Year	Accumulated depreciation at the beginning of the year	Depreciation provided for GFA for the Year	Depreciation provided for Additional Capitalization for the Year	Depreciation provided for the year	Withdrawals during the year	Balance of accumulated depreciation at the end of the year
(1)	(2)	(3)	4(a)	4(b)	(4)	5(a)	5(b)	(5)	(6)	(7)
a) Land	Remaining	0.00%		0.00			0.0000			
b) Building	Depreciation	3.34%					0.0057			
c) Major Civil Works	Equally	5.28%					0.0000			
d) Plant & Machinery	distributed to	5.28%					0.0720			
e) Vehicles	Remaining	9.50%					0.0009			
f)Furniture and Fixtures	Useful Life of	6.33%					0.0083			
g) Office Equipment & Others	Power Station	6.33%					0.0259			
<b>Total</b>			<b>11.16</b>	<b>0.36</b>	<b>11.52</b>	<b>0.00</b>	<b>0.11</b>	<b>0.11</b>	<b>0.00</b>	<b>11.63</b>

Current Year (n) **2014-15** (Figures in Rs Crore)

Particulars of Assets*	Rate of Depreciation for GFA in %	Rate of Depreciation for Additional Capitalization in %	Accumulated Depreciation on GFA at the beginning of the Year	Accumulated Depreciation on Additional Capitalization at the beginning of the Year	Accumulated depreciation at the beginning of the year	Depreciation provided for GFA for the Year	Depreciation provided for Additional Capitalization for the Year	Depreciation provided for the year	Withdrawals during the year	Balance of accumulated depreciation at the end of the year
(1)	(2)	(3)	4(a)	4(b)	(4)	5(a)	5(b)	(5)	(6)	(7)
a) Land	Remaining	0.00%					0.0000			
b) Building	Depreciation	3.34%					0.0057			
c) Major Civil Works	Equally	5.28%					0.0000			
d) Plant & Machinery	distributed to	5.28%					0.0720			
e) Vehicles	Remaining	9.50%					0.0009			
f)Furniture and Fixtures	Useful Life of	6.33%					0.0077			
g) Office Equipment & Others	Power Station	6.33%					0.0250			
<b>Total</b>			<b>11.16</b>	<b>0.47</b>	<b>11.63</b>	<b>0.00</b>	<b>0.11</b>	<b>0.11</b>	<b>0.00</b>	<b>11.74</b>

Ensuing year (n +1) **2015-16** (Figures in Rs Crore)

Particulars of Assets*	Rate of Depreciation for GFA in %	Rate of Depreciation for Additional Capitalization in %	Accumulated Depreciation on GFA at the beginning of the Year	Accumulated Depreciation on Additional Capitalization at the beginning of the Year	Accumulated depreciation at the beginning of the year	Depreciation provided for GFA for the Year	Depreciation provided for Additional Capitalization for the Year	Depreciation provided for the year	Withdrawals during the year	Balance of accumulated depreciation at the end of the year
(1)	(2)	(3)	4(a)	4(b)	(4)	5(a)	5(b)	(5)	(6)	(7)
a) Land	Remaining	0.00%					0.0000			
b) Building	Depreciation	3.34%					0.0056			
c) Major Civil Works	Equally	5.28%					0.0000			
d) Plant & Machinery	distributed to	5.28%					0.0717			
e) Vehicles	Remaining	9.50%					0.0009			
f)Furniture and Fixtures	Useful Life of	6.33%					0.0072			
g) Office Equipment & Others	Power Station	6.33%					0.0218			
<b>Total</b>			<b>11.16</b>	<b>0.58</b>	<b>11.74</b>	<b>0.00</b>	<b>0.11</b>	<b>0.11</b>	<b>0.00</b>	<b>11.85</b>

Name of Generating Company UJVN Ltd.  
Name of Generating Station Khatima HEP

**Form: F- 6.1**  
**Statement of Capital Expenditure**

opening balance for n-1 year 14.14

(Figures in Rs Crore)

Particulars	FY of COD	Previous Year (n-1)	Current Year (n)			Remarks+	Ensuing Year (n+1)	Total Expenditure Approved by Competent Authority	Total Expenditure Actually Incurred	Remarks++
		2013-14	2014-15				2015-16			
		(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)		RE			
<b>A) Expenditure Details</b>										
a) Land		-	-	-	-	-				
b) Building		0.00	-	-	-	-				
c) Major Civil Works		-	-	-	-	-				
d) Plant & Machinery		0.01	-	-	-	-				
e) Vehicles		0.00	-	-	-	-				
f)Furniture and Fixtures		0.01	-	-	-	-				
g) Office Equipment & Others		0.01	-	-	-	-				
<b>Total (A)</b>	<b>12.4</b>	<b>0.03</b>			-	-	<b>14.17</b>	<b>14.17</b>		
<b>B) Break up of sources of financing</b>										
<b>Rupee term Loan</b>										
Normative Debt		0.02			-	-	9.92	9.92		
Debt 2										
<b>Foreign Currency Loan</b>		-			-	-	-	-		
Debt 1		-			-	-	-	-		
Total Debt		0.02			-	-	9.92	9.92		
<b>Equity</b>										
In Rupees		0.01			-	-	4.25	4.25		
In Foreign Currency										
c) Others (Please specify)		-			-	-	-	-		
<b>Total (B)</b>		<b>0.03</b>			-	-	<b>14.17</b>	<b>14.17</b>		

Name of Generating Company  
Name of Generating Station

UJVN Ltd.  
Khatima HEP

**Form: F- 7**  
**Details of Capital Cost and Financing Structure**

Rs. Crores

Year ending March	FY of COD	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Remarks
		2013-14	2014-15			2015-16	
		(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	RE	
<b>Basic Project Financial Parameters</b>							
Capital Cost*		14.14			14.17	14.17	
Addition during the year		0.03			0	0	
Deletion during the year							
Gross Capital Cost (A)		<b>14.17</b>			<b>14.17</b>	<b>14.17</b>	
Equity against Original Project Cost		4.24			4.25	4.25	
Addition during the year		0.01			0.00	0.00	
Equity Sub-Total (B)		<b>4.25</b>			<b>4.25</b>	<b>4.25</b>	
Debt outstanding against the original Capital cost		9.90			9.92	9.92	
New Loans added during the year		0.02			0.00	0.00	
Debt Sub-Total (C)		<b>9.92</b>			<b>9.92</b>	<b>9.92</b>	
Grants against original project cost							
Addition during the year							
Grants Sub-Total (D)							
Total Financing (B+C+D)		14.17			14.17	14.17	

**Note :**

- i) \*Approved or Actual Capital Cost whichever is less.
- ii) The equity and loan shall be divided into foreign and domestic component if applicable.

Name of Generating Company UJVN Ltd.  
Name of Generating Station Khatima HEP

**Form: F- 8**  
**Details of Financial Packages**

Source of Funds	Amount in FC	Exchange Rate	Amount in Indian Currency	Terms of Repayment	Grace Period	Interest Rate / Return on Equity	Guarantee Commission	Upfront Fees/ Exposure Premium	% of Total Debt	% of Total Equity	% of Total PC
	(Name of Currency)	(Rs./FC)	(Rs. in Crore)	(Years)	(Years)	(%)	(Rs. in Crore)	(Rs. in Crore)	(%)	(%)	(%)
<b>(A) Debt</b>											
<b>Foreign:</b>											
Loan I											
Loan II											
Loan III											
Loan IV etc.											
<b>Indian:</b>											
Loan I											
Normative Loan			9.92			12.21%			100%	NA	70%
Total Loan (A)			9.92			12.21%			100%	NA	70%
<b>(B) Equity</b>											
<b>Foreign:</b>											
<b>Indian:</b>			4.25			15.50%			NA	100%	30%
Total Equity(B)			4.25			15.50%			NA	100%	30%
<b>(C) Grants</b>											
<b>Foreign:</b>											
<b>Indian:</b>											
Total Grant (C)											
Total Financing (A+B+C)			14.17								
<b>Total Project Cost</b>			<b>14.17</b>								

**Note:**

- (i) In case of projects having achieved COD: Financial package details, as admitted by the Competent Authority on COD of the Project, shall be furnished in the Format alongwith supporting documents.
- (ii) In case of projects which are yet to achieve their COD: Financial package details, as approved by the Competent Authority , shall be furnished in the Format alongwith supporting documents.
- (ii) FC- Foreign Currency
- (iii) PC- Project Cost



**Form: F- 9.3**

**Calculation of Interest on Normative Loan**

Particulars	FY 2013-14 (n-1)	Current Year FY 2014- 15 (n)	FY 2015-16 (n+1)
	2013-14	2014-15	2015-16
	(Actuals/Audited)	Actual & Estimated	RE
Gross Normative Loan - Opening	9.90	9.92	9.92
Cummulative payments of Normative Loan upto Previous Year			
Net Normative Loan - Opening	0.86	0.76	0.65
Increase or Decrease during the year	0.02	-	-
Less: Repayment of Normative Loan during the year	0.11	0.11	0.11
Net Normative Loan - Closing	0.76	0.65	0.55
Average Normative Loan	0.81	0.71	0.60
Weighted Average Rate of Interest on Actual Loan on Annual Basis	12.21%	12.21%	12.21%
Interest on Normative Loan	<b>0.099</b>	<b>0.087</b>	<b>0.073</b>

Name of Generating Company  
 Name of Generating Station

UJVN Ltd.  
 Khatima HEP

**Form: F- 10**  
**Details of Interest on Working Capital**

Sl.No.	Particulars	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Remarks
		2013-14	2014-15			2015-16	
		(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	RE	
1	O & M expenses - 1 month	1.06			1.36	1.48	
2	Spares (15% of O&M Expenses)	1.90			2.45	2.67	
3	Receivables- 2 months	2.39			3.04	3.29	
4	<b>Total Working Capital (1+2+3)</b>	<b>5.36</b>			<b>6.85</b>	<b>7.44</b>	
5	Normative Interest Rate (%)	14.75%			14.75%	14.75%	
6	Normative Interest on Working Capital (4 X 5)	<b>0.79</b>			<b>1.01</b>	<b>1.10</b>	

**Form: F- 11**  
**Details of Operation & Maintenance Expenses**

S. No.	Particulars	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Remarks
		2013-14	2014-15			2015-16	
		(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)Projection	RE	
<b>a)</b>	<b>Repair and Maintenance Expenses</b>						
	- Plants and Machinery	0.869	0.786	-	-	-	
	- Buildings	0.620	0.133	-	-	-	
	- Major Civil Works	0.008	0.007	-	-	0.007	
	- Hydraulic Work	0.000	0.083	-	-	-	
	- Lines Cables Networks etc.	0.002	0.001	-	-	-	
	- Vehicles	0.028	0.010	-	-	-	
	- Furnitures and Fixtures	0.000	0.000	-	-	-	
	- Office Equipment & Other Items	0.013	0.008	-	-	-	
	-Consumption of Stores and Spares(Lubricant)	0.169	0.074	-	-	-	
	<b>Sub-Total</b>	<b>1.709</b>	<b>1.102</b>	<b>3.247</b>	<b>4.349</b>	<b>4.672</b>	
<b>b)</b>	<b>Administrative Expenses</b>						
	- Insurance	0.158	0.301	-	-	-	
	- Rent Rates and Taxes	0.002	-	-	-	-	
	- Electricity Charges	0.012	0.003	-	-	-	
	- Travelling and conveyance	0.071	0.039	-	-	-	
	- Staff Car	0.093	0.056	-	-	0.056	
	- Telephone, telex and postage	0.044	0.018	-	-	-	
	- Advertising	0.075	0.003	-	-	-	
	- Entertainment	0.009	0.003	-	-	-	
	- Corporate mgmt expenses	0.000	-	-	-	-	
	- Legal Expenses	0.070	0.030	-	-	-	
	- Consultancy Expenses	0.015	0.025	-	-	-	
	- Professional Charges	0.002	0.001	-	-	-	
	- Printing & Stationery	0.054	0.020	-	-	-	
	- Security Expenses	0.165	0.033	-	-	-	
	- Rect. & Traning Exp.	0.023	0.003	-	-	-	
	- Fees & Subscription	0.031	0.000	-	-	0.000	
	-Others	0.233	0.080	-	-	-	
	<b>Sub-Total</b>	<b>1.055</b>	<b>0.614</b>	<b>0.520</b>	<b>1.133</b>	<b>1.217</b>	
<b>c)</b>	<b>Employee Cost</b>						
	- Basic Salaries	3.404	1.525	-	-	-	
	- Dearness Allowance	2.902	1.582	-	-	-	
	- Other Allowances incl Leave Encash	1.202	0.804	-	-	-	
	- Bonus	0.006	-	-	-	-	
	- Staff welfare expenses	0.211	0.155	-	-	-	
	- Medical Allowances	0.132	0.047	-	-	-	
	- Others Expenses (specify elements) Overtime to staff	0.354	0.275	-	-	-	
	- Terminal Benefits	1.634	0.146	-	-	-	
	<b>Sub-Total</b>	<b>9.843</b>	<b>4.534</b>	<b>6.245</b>	<b>10.779</b>	<b>11.803</b>	
<b>d)</b>	<b>Other Expenses</b>						
	Colony Supply and consessional supply	0.090	-	-	0.090	0.090	
	<b>Sub-Total</b>	<b>0.090</b>	<b>-</b>	<b>-</b>	<b>0.090</b>	<b>0.090</b>	
	<b>Total O&amp;M Expenses</b>	<b>12.698</b>	<b>6.250</b>		<b>16.351</b>	<b>17.783</b>	
	Less: O&M Expenses Capitalised						
	<b>Net O&amp;M Expenses</b>	<b>12.698</b>	<b>6.250</b>		<b>16.351</b>	<b>17.783</b>	

Notes 1 : (i) The process of allocation of corporate expenses to generating stations should be specified.  
(ii) The data should be certified by statutory auditors.

Name of Generating Company  
Name of Generating Station

UJVN Ltd.  
Khatima HEP

Format for Hydro

**Form: F-11.1**

**Details of Repair & Maintenance**

S.No.	Particulars	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Remarks
		2013-14	2014-15			2015-16	
		(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)Projection	RE	
1	- Plants and Machinery	0.869	0.786	-	-	-	
2	- Buildings	0.620	0.133	-	-	-	
3	- Major Civil Works	0.008	0.007	-	-	-	
4	- Hydraulic Work	0.000	0.083	-	-	-	
5	- Lines Cables Networks etc.	0.002	0.001	-	-	-	
6	- Vehicles	0.028	0.010	-	-	-	
7	- Furnitures and Fixtures	0.000	0.000	-	-	-	
8	- Office Equipment & Other Items	0.013	0.008	-	-	-	
9	-Consumption of Stores and Spares(Lubricant)	0.169	0.074	-	-	-	
	<b>Total R&amp;M</b>	<b>1.709</b>	<b>1.102</b>	<b>3.247</b>	<b>4.349</b>	<b>4.672</b>	

**Form: F-11.2**  
**Details of Employee Expenses**

(Rs. Crore)

S.No	Particulars	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Remarks
		2013-14	2014-15			2015-16	
		(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)Projection	RE	
<b>A</b>	<b>Employee's Cost</b>						
1	- Basic Salaries	3.404	1.525	-	-	-	
2	- Dearness Allowance	2.902	1.582	-	-	-	
3	- Other Allowances incl Leave Encash	1.202	0.804	-	-	-	
4	- Bonus	0.006	-	-	-	-	
5	- Staff welfare expenses	0.211	0.155	-	-	-	
6	- Medical Allowances	0.132	0.047	-	-	-	
7	- Others Expenses (specify elements) Overtime to staff	0.354	0.275	-	-	-	
8	- Terminal Benefits	1.634	0.146	-	-	-	
	<b>Employee expenses</b>	<b>9.843</b>	4.534	6.245	<b>10.779</b>	<b>11.803</b>	

Name of Generating Company UJVN Ltd.  
Name of Generating Station Khatima HEP

or Hydro

**Form: F- 11.3**

**Details of Administration & General Expenses**

(Rs. Crore)

S.No	Particulars	Previous Year (n-1)	Current Year (n)			Ensuing Year (n+1)	Remarks
		2013-14	2014-15			2015-16	
		(Actuals/Audited)	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)Projection	RE	
<b>A</b>	<b>Administrative Expenses</b>						
1	- Insurance	0.158	0.301	-	-	-	
2	- Rent Rates and Taxes	0.002	-	-	-	-	
3	- Electricity Charges	0.012	0.003	-	-	-	
4	- Travelling and conveyance	0.071	0.039	-	-	-	
5	- Staff Car	0.093	0.056	-	-	-	
6	- Telephone, telex and postage	0.044	0.018	-	-	-	
7	- Advertising	0.075	0.003	-	-	-	
8	- Entertainment	0.009	0.003	-	-	-	
9	- Corporate mgmt expenses	0.000	-	-	-	-	
10	- Legal Expenses	0.070	0.030	-	-	-	
11	- Consultancy Expenses	0.015	0.025	-	-	-	
12	- Professional Charges	0.002	0.001	-	-	-	
13	- Printing & Stationery	0.054	0.020	-	-	-	
14	- Security Expenses	0.165	0.033	-	-	-	
15	- Rect. & Training Exp.	0.023	0.003	-	-	-	
16	- Fees & Subscription	0.031	0.000	-	-	-	
17	-Others	0.233	0.080	-	-	-	
<b>H</b>	<b>A&amp;G Expenses</b>	<b>1.055</b>	<b>0.614</b>	<b>0.520</b>	<b>1.133</b>	<b>1.217</b>	

Name of Generating Company  
Name of Generating Station

UJVN Ltd.  
Khatima HEP

Format for Hydro

**Form: F- 13**

**Summary of Truing Up**

Previous Year (n-1)

2013-14

(Rs. Crore)

S. No.	Particulars	Approved	Actual	Deviation	Controllable	Uncontrollable
<b>A.</b>	<b>Net Annual Fixed Charges</b>					
1	Interest on Loan (Including Interest on Normative Loans)	0.03	0.10	(0.07)		(0.07)
2	Depreciation	0.07	0.11	(0.04)		(0.04)
3	Lease Charge			-		-
4	Return on Equity	0.38	0.66	(0.28)		(0.28)
5	O&M Expenses	11.32	12.70	(1.38)		(1.38)
6	Interest on Working Capital	0.68	0.79	(0.11)		(0.11)
7	Income Tax					
8	Gross Annual Fixed Charges (1+2+3+4+5+6+7)	12.48	14.36	(1.88)		(1.88)
9	Less; Other Income (provide details)	0.35	0.78	(0.43)		(0.43)
10	Net Annual Fixed Charges (8-9)	12.13	13.57	(1.44)		(1.44)
<b>C</b>	<b>Surplus/(Gap)</b>			(1.44)		(1.44)

Note: Please give detailed explanation separately for the deviations on account of uncontrollable factors

0

Total gain/(loss) due to controllable factors	-
Total gain/(loss) due to un controllable factors	(1.44)
Gain loss due to controllbale factors to be passed on to the consumers	-
Gain loss due to un controllbale factors to be passed on to the consumers	(1.44)

Approved ARR for 2013-14	Actual AFC for FY 2013-14	Gain/(loss)
12.13	13.57	(1.44)