



# Uttarakhand Electricity Regulatory Commission

'Vidyut Niyamak Bhawan',  
Near ISBT, PO- Majra, Dehradun-248171

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No. UERC/6/TF-284/15-16/2015/1357

Date: 08-Dec., 2015

To,

Managing Director,  
Uttarakhand Power Corporation Ltd. (UPCL),  
Urja Bhawan, Kanwali Road,  
Dehradun.

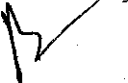
**Sub.: Filing of Petition for Multi Year Tariff for the Control Period FY 2016-17 to FY 2018-19.**

Sir,

This is with reference to your petition dated 30.11.2015 on the above-mentioned subject. In this regard, certain deficiencies/shortcomings have been observed which are enclosed as Annexure-A. You are required to submit the point-wise replies duly quoting titles/sub-titles and S.No. identical with the deficiencies referred to in enclosed Annexure-A. You are required to submit your reply on the same latest by 16.12.2015 in seven copies alongwith the soft copy of the reply accompanied with an affidavit so that your application may be put up before the Commission for admission.

**Encl. as above**

Yours sincerely,

  
(Neeraj Sati)  
Secretary  
७/८

**Uttarakhand Power Corporation Limited (UPCL) MYT Petition for the Control Period from FY 2016-17 to FY 2018-19 in accordance with UERC (Terms and Conditions for Determination of Multi Year Tariff) Regulations, 2015**

**Preliminary information required for admission of Petition**

**A. General**

1. UPCL has not submitted the Audited Accounts for FY 2014-15, on the basis of which it has sought truing up for FY 2014-15 in the MYT Petition. UPCL should submit the audited statement of Accounts for FY 2014-15, alongwith the statutory auditor's and CAG's report.

**B. Forms related**

2. The formats submitted by UPCL along with the MYT Petition are independent and are not linked to each other. Further, the formats have external linkages for most of the data, and even basic calculation has not been done within the Formats. UPCL should resubmit all the Formats using formulae and appropriately link the same to the relevant formats for ensuring consistency and accuracy of the data submitted.
3. For all Formats, the break-up of actuals for H1 (April to September) and estimated for H2 (October to March) as required in the formats specified by the Commission has not been submitted for the Current Year, i.e., FY 2015-16. UPCL should submit the same.
4. Some of the Forms submitted in MS Excel formats along with the MYT Petition are not properly/fully filled as per the Formats prescribed by the Commission, as mentioned in the table below:

<b>Form</b>	<b>Description</b>
F1 & F3	NLDC/RLDC/SLDC charges have not been shown separately
F1	Interest capitalisation (IDC) details and calculation has not been given, even though schemes are spilling over to subsequent years
F1	Income from Wheeling Charges has not been shown separately
F1	Income from Cross-subsidy Surcharge has not been considered
F2.2	Actual consumer (numbers) data has not been submitted for July to Sep 2015
F2.3	Actual consumer demand data has not been submitted for July to Sep 2015
F2.4	Voltage-wise losses have not been submitted for previous as well as ensuing years

<b>Form</b>	<b>Description</b>
F2.5(1) to F2.5(5)	Data on Installed Capacity, Utility Share (in % and MW), and Energy Available in MU have not been submitted
F2.6(1) to F2.6(3)	<ul style="list-style-type: none"> <li>▪ Units have been stated as Rs. cr instead of MU</li> <li>▪ All supporting documents have to be submitted, viz., PPA, copy of bills, etc., as mentioned in the Notes to the Form</li> </ul>
F2.7	UI over/under drawal data not submitted, though such quantity has been mentioned in Form F2.5
F2.8	<ul style="list-style-type: none"> <li>▪ Source-wise summary quantity purchased has not been mentioned</li> <li>▪ Distribution losses and Intra-State transmission losses have not been shown properly in % terms, and have been incorrectly added for the Year's total</li> </ul>
F4.1(B)	Data on number of employees has not been submitted
F5.1	<ul style="list-style-type: none"> <li>▪ Scheme-wise details of capex projects not submitted</li> <li>▪ Details (asset family-wise opening &amp; closing balance) not submitted for Control Period</li> </ul>
F5.2	Data not submitted as per prescribed Format
F5.3	Data not submitted as per prescribed Format
F5.4	Data not submitted as per prescribed Format
F6.1	Data not submitted as per prescribed Format
F6.10	Data not submitted as per prescribed Format
F7.1	Data not submitted as per prescribed Format
F7.2	Data not submitted as per prescribed Format
F7.4	Data not submitted as per prescribed Format; loan-wise details have to be submitted, followed by the summary
F7.5	Calculation of interest on loan not submitted for FY 2018-19
F13	Revenue details sheet not submitted for FY 2014-15 (n-1th year)
F15	Data on category-wise collection efficiency not submitted
F16	Truing up summary incorrect, as truing up not done w.r.t approved O&M expenses
F17.1	SAIFI data submitted from Dec 2014 only rather than from Apr 2014
F17.2	SAIDI data submitted from Dec 2014 only rather than from Apr 2014
F17.3	MAIFI data submitted from Dec 2014 only rather than from Apr 2014
F18.4	Category-wise Load Shedding data not submitted
F18.5	Data not submitted as per prescribed Format
F18.11	Data not submitted

UPCL is required to submit the duly filled forms in MS Excel formats.

### **C. Other Queries**

5. As regards the actual capitalisation during FY 2014-15, UPCL should submit the detailed workings in soft copy (MS Excel) for computing scheme-wise IDC.
6. UPCL should submit the Clearance Certificate from the Electrical Inspector for capitalisation of various HT/EHT schemes for FY 2014-15.
7. UPCL has submitted the revised Opening and Closing GFA for all years starting from FY 2001-02 to FY 2014-15. UPCL should submit the reconciliation of the revised Opening and Closing GFA for these years with the Opening and Closing GFA approved by the Commission for the respective years, and submit justification for any variation between the two sets of values.
8. UPCL should submit the justification for the variation between Opening Depreciable GFA of Rs. 1333.37 crore approved by the Commission for FY 2014-15 and the Depreciable GFA of Rs. 1876.19 crore considered by UPCL for true up of FY 2014-15. In case of any discrepancy, UPCL should submit the revised calculations of depreciation.
9. UPCL should submit the revised calculation of sharing of gains and losses by considering the approved values for truing up purposes, rather than revised values.
10. UPCL should submit the data on actual number of new employees employed in FY 2013-14 and FY 2014-15 vis-a-vis the number of new employees considered by the Commission, while approving the O&M expenses in the MYT Order.
11. UPCL has not mentioned the existing and projected Wires Availability as well as Supply Availability for the Control Period. UPCL should give details of the same.
12. UPCL should submit the proposed tariff hike in terms of percentage for each consumer category for FY 2016-17 to meet the projected revenue gap.
13. UPCL should justify the proposed tariff revision, in terms of reduction of cross-subsidy between various consumer categories, in accordance with the provisions of the EA 2003, Tariff Policy, and previous Orders of the Commission.
14. UPCL should submit the voltage-wise cost of supply for Current Year and FY 2016-17, and the cross-subsidy wrt voltage-wise cost of supply.
15. As regards Cross subsidy, UPCL should submit table indicating the existing and proposed category-wise cross subsidy, in view of the proposed tariff revision.

### **D. Discrepancies**

16. In para 5 on Page D and in the Table in Para 3 on Page C, there are certain discrepancies, as under:

<b>Sl.</b>	<b>Particulars</b>	<b>Para 3, Page C</b>	<b>Para 5, Page D</b>
1	ARR for FY 2016-17 (Rs. Cr)	675.87	681.19
2	Total Gap to be recovered in FY 2016-17 (Rs. Cr)	1220.26	1220.50
3	Increase in tariff required (%)	24.96%	24.99%

UPCL is required to rectify the discrepancy and maintain consistency at all places.

17. In para 3.297 and para 3.298 [Table 140] on Page 126, there are certain discrepancies, as under:

<b>Sl.</b>	<b>Particulars</b>	<b>Para 3.297</b>	<b>Para 3.298 [Table 140]</b>
1	Revenue at existing tariff for FY 2016-17 (Rs. Cr)	4884.25	4889.57
2	Revenue Gap for FY 2016-17 (Rs. Cr)	681.19	675.87

UPCL is required to rectify the discrepancy and maintain consistency at all places.