

पावर ट्रांसमिशन कारपोरेशन ऑफ उत्तराखण्ड लि०

(उत्तराखण्ड सरकार का उपक्रम)

निदेशक (परियोजना) कार्यालय

विद्युत भवन, नजदीक-आई०एस०बी०टी० क्रॉसिंग, सहारनपुर रोड, माजरा, देहरादून-248002
दूरभाष नं० 0135-2645753 फैक्स नं० 0135-2645753 ई-मेल shrawan_k_sharma@ptcul.org

No. 138 /Dir. (Projects)/PTCUL/ MYT

Date: 20 / 01 / 2016

To,

The Secretary
Uttarakhand Electricity Regulatory Commission
"Vidyut Niyamak Bhawan",
Near I.S.B.T., P.O. Majra
Dehradun (Uttarakhand)

Sub: Power Transmission Corporation of Uttarkhand Limited's Petition for True up for FY 2014-15, Annual Performance Review for FY 2015-16 and Multi Year Tariff Petition for the Control Period 2016-17 to 2018-19.

Sir,

Kindly take reference of Hon'ble UERC letter no UERC/6/TF-286/15-16/2016/1554 dated 14.01.2016 on above mentioned subject vide which PTCUL was directed to submit the point-wise replies to the Technical Validation Session held on 12.01.2016 at the Commission's office as per Annexure-A of above mentioned letter.

In compliance of the same, point-wise replies are being submitted herewith as directed by the Hon'ble Commission.

Submitted for kind consideration of the Hon'ble Commission.

Encl: As above.

Thanking you,

Yours Faithfully,

(S.K. Sharma)
Director (Projects)

Cc:-

1. PS to MD, PTCUL for kind information of Managing Director, PTCUL.



उत्तराखण्ड UTTARAKHAND

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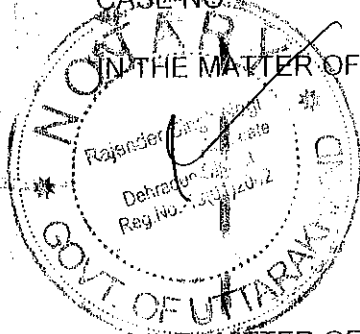
BEFORE UTTARAKHAND ELECTRICITY REGULATORY COMMISSION,
DEHRADUN

FILE NO.

FILE NO.

CASE NO.

IN THE MATTER OF:



IN THE MATTER OF:

Filing of Petition for approval of Multi Year Tariff Petition for control period 2016-17 to 2018-19, determination of tariff for FY 2015-17, True-up of FY 2014-15 and Annual Performance Review for FY 2015-16 for PTCUL (Reply of UERC Letter No. UERC/6/TF-286/15-16/2016/1554 dated 14.01.2016).

AND

Power Transmission Corporation of Uttarakhand Ltd.
Vidyut Bhawan", 132KV Substation Majra, Saharanpur Road,
Near I.S.B.T. Crossing, Dehradun 248002.

.....Petitioner

Affidavit

I, S.K. Sharma, S/o Lt. Sh. C.D.Sharma aged about 60 years, Director, Power Transmission Corporation of Uttarakhand Ltd., "Vidyut Bhawan", 132KV Substation Majra, Saharanpur Road, Near I.S.B.T. Crossing, Dehradun, the deponent named above do hereby solemnly affirm and state on oath as under:-


SHRAWAN KUMAR SHARMA
DIRECTOR (PROJECTS)
POWER TRANSMISSION CORPORATION
OF UTTARAKHAND LTD., DEHRADUN

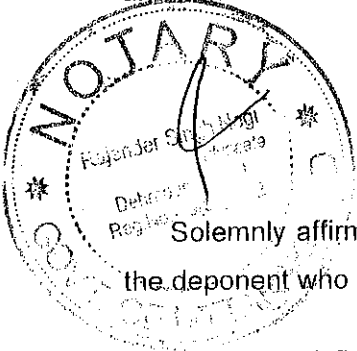
1. That the deponent is the Director who is authorized as per the resolution of the company (In case the Petitioner is a Company) dated 27.11.2015 and is acquainted with the facts deposed to below.
2. I, the deponent named above do hereby verify that the contents of the paragraph Nos. 1 of the affidavit and the statements made and data presented in the accompanying petition are true to my personal knowledge and are based on present information/ records of the Company and are based on estimation arising from present data/ records of the company which I believe to be true and verify that no part of this affidavit is false and nothing material has been concealed

I, Shankendra Pinder Advocate, Dehradun

do hereby declare that the person making this affidavit is known to me through the perusal of records and I am satisfied that he is the same person alleging to be deponent himself.

Shankendra Pinder
 (Deponent)
 SHRAWAN KUMAR
 DIRECTOR (PROJECTS)
 POWER TRANSMISSION
 DEPARTMENT
 U.P. POWER CORPN. LTD.
 DEHRADUN

Shankendra Pinder
 Advocate



Solemnly affirmed before me on this 20th day of Jan 2016 at 3 a.m. / p.m. by the deponent who has been identified by the aforesaid Advocate.

I have satisfied myself by examining the deponent that he understood the contents of the affidavit which has been read over and explained to him. He has also been explained about section 193 of Indian Penal Code that whoever intentionally gives false evidence in any of the proceedings of the Commission or fabricates evidence for purpose of being used in any of the proceedings shall be liable for punishment as per law.

(Notary Public)

ATTESTED
[Signature]
 (RAJENDER SINGH NEGI)
 Advocate & NOTARY
 Collectorate Court Compound
 Dehra Dur

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**PTCUL's Replies to the Minutes of Technical Validation Session
held on 12.01.2016 in the matter of PTCUL's Petition for
approval of true up for FY 2014-15, APR for FY 2015-16 and
MYT for the Control Period FY 2016-17 to FY 2018-19**

Query No.	Commission's query	Petitioner's response
1	Vide its submissions dated January 11, 2016, PTCUL submitted the revised Form 9.5 with details of projects completed in FY 2014-15...	The duly filled forms as required by the Hon'ble commission are attached herewith as Annexure 1.
2	PTCUL agreed to submit the copies of Electrical Inspector Certificates for the following projects capitalised in FY 2014-15 clearly mentioning the Scheme under which projects/works have been capitalised.	The Electrical Inspector Certificates for the projects as specified by the commission are attached herewith as Annexure 2. For the remaining projects, the Electrical Inspector certificates have been applied for.
3.a	PTCUL claimed capitalisation of Rs. 1,48,124 and Rs. 3,95,642 under the same head "SF-6 Replace Purkul". PTCUL agreed to submit the clarification for mentioning work of "SF-6 Replace Purkul" twice, if it was mentioned inadvertently, PTCUL also agreed to submit the justification for variation in the cost for the same work.	The detailed justification is being submitted as Annexure 3.
3.d	It is observed that PTCUL claimed the capitalisation for the same project under two different Schemes. For example, the capitalisation towards 132 kV S/s Laltappar is claimed in REC IV while the capitalisation of furniture and fixtures of 132 kV S/s Laltappar is claimed in REC IX. PTCUL submitted that it is due to error in submissions and the same shall be rectified.	It is submitted to the Hon'ble commission that this was the result of an inadvertent error the same has been rectified and is being submitted as Annexure 4.
3.e	PTCUL claimed the capitalisation of Rs. 1574679 towards 132 kV ELDECO Sitarganj (Store Shed) (Additional capitalisation). PTCUL agreed to submit the details of the same.	It is submitted to the Hon'ble commission that the amount capitalised against 132 kV ELDECO Sitarganj (Store Shed) (Additional capitalisation) is for the 132 kV Sitarganj S/s at SIDCUL and this work was covered under the REC IV scheme. The details are submitted as Annexure 4.
3.f	It is observed that the name of the Scheme and name of the project is not mentioned for many of the items for which capitalisation is claimed for FY 2014-15. Further, naming convention of the projects capitalised in FY 2014-15 is different from the naming convention as per the Investment Approval Order of the Commission for the respective Schemes. PTCUL agreed to rectify the same.	The details as sought by the commission are being submitted as Annexure 4.
3.g	Vide the letter dated January 5, 2016 (query no. 3), the details of capitalisation was sought in the prescribed format. PTCUL replied that the same would be submitted by January 19, 2016. PTCUL agreed to submit the capitalisation of FY 2014-15 in the prescribed format duly rectifying the discrepancies in submissions dated December 16, 2015 and reconciling the scheme wise capitalisation with details of capitalisation submitted in the Petition.	The details as sought by the commission are being submitted as Annexure 4.
4	PTCUL was asked to submit the write-up on the procedure and accounting treatment of assets taken away for repairs (de-capitalisation) and	The details of the treatment of assets taken away for repairs (de-capitalisation) and again

Query No.	Commission's query	Petitioner's response
	again put to use (capitalisation) of the same assets. PTCUL agreed to submit atleast 2-3 such instances depicting the above mentioned procedure followed by it clearly indicating the date of capitalisation, funding pattern, Scheme under which assets have been capitalised, for example 20 MVA Transformer has been replaced at 132 kV S/s Ramnagar, replacement of 5 MVA Transformer (Repaired) etc. submitted in the capitalisation details for FY 2014-15.	put to use (capitalisation) are being shared as Annexure 5 .
5	Vide submissions dated December 16, 2015, PTCUL submitted the details of capitalisation for an amount of Rs. 68.14 Crore for FY 2014-15 whereas vide submissions dated December 28, 2015, the capitalisation in FY 2014-15 is submitted as Rs. 68.29 Crore in Form 9.3 and Form 9.4. PTCUL agreed to reconcile the same.	It is submitted to the Hon'ble commission that the actual capitalisation for FY 2014-15 is Rs. 68.14 Crore, the details of the same have already been submitted. The earlier submission of Rs. 68.29 Crore was the result of an inadvertent error.
6	Vide submissions dated December 16, 2015 (Annexure 3), PTCUL submitted the GFA addition through deposit works in FY 2014-15 as Rs. 0.59 Crore while the same has been submitted as Rs. 1.31 Crore in Form 9.3 vide submissions dated December 28, 2015. PTCUL agreed to reconcile the same.	It is submitted to the Hon'ble commission that this was the result of an inadvertent error and the revised Forms 9.3 and 9.4 are being submitted as Annexure 6 for the Hon'ble commission's perusal.
7	PTCUL agreed to submit the actual gross employee expenses, A&G expenses, capitalised employee expenses, A&G expenses and net employee expenses, A&G expenses for the last 5 years till FY 2014-15.	The details as sought by the commission are being submitted as Annexure 7 .
8	In Form 8.3, PTCUL submitted the head wise A&G expenses for FY 2014-15 by allocating the actual A&G expenses as per the audited accounts, in the same proportion of actual head wise A&G expenses for FY 2013-14. PTCUL agreed to submit the head wise A&G expenses for FY 2014-15 in Form 8.3 as per the A&G expenses in the audited accounts.	The details as sought by the commission are being submitted as Annexure 8 .
10	PTCUL agreed to submit the actual expenses incurred out of the one-time provision of Rs. 1 Crore approved by the Commission in the A&G expenses for FY 2014-15.	It is submitted that to the Hon'ble commission that Rs. 13 Lakhs have been spent out of the Rs. 1 Crore provision toward training of employees.
12	PTCUL agreed to submit the copies of loan agreements for the working capital loans for which the actual interest on working capital has been claimed in FY 2014-15.	The details as sought by the commission are being submitted as Annexure 9 .
13	Under Investment approval of REC-II (REC New), capital cost of Rs 4.02 Crore were approved against which PTCUL has capitalised Rs 9.85 Crore towards "LILO 132 Almora-Pithoragarh at 220 Pithoragarh (PGCIL), Work of protecting tower No. 197 of 132 kV A-P Line and Work of protecting tower No. 194 of 132 kV Almora - Pithoragarh Line". PTCUL is required to submit the justification for the cost overrun. If cost overrun is due to delay in commissioning of the project, submit details of IDC giving breakup of the same up to Schedule date of completion (SCOD) and from SCOD to actual date of completion.	It is submitted to the Hon'ble commission that "LILO 132 Almora-Pithoragarh at 220 Pithoragarh (PGCIL)" and "Work of protecting tower No. 197 of 132 kV A-P Line and Work of protecting tower No. 194 of 132 kV Almora - Pithoragarh Line" are separate projects and they have been represented as such in the accounts as well. The actual cost of "LILO 132 Almora-Pithoragarh at 220 Pithoragarh (PGCIL)" is Rs. 9.03 Crore (till March 2015) and the escalation in cost is due to ROW issue, the details of which are attached as Annexure 10 .

Query No.	Commission's query	Petitioner's response
14	PTCUL claimed the income tax of Rs. 11.63 Crore for FY 2014-15. Vide submissions dated December 16, 2015 PTCUL submitted its ITR V for Assessment year 2015-16 in which income tax depicted is Rs. 9.63 Crore. PTCUL agreed to submit the reasons for the variation in income tax paid and claimed in the petition along with supporting documents for the same.	The details as sought by the commission are being submitted as Annexure 11.

Information pending for submission with respect to submission dated 11.01.2016

6	The actual R&M expenses (under the head 'Building & Civil Works') for FY 2014-15 has increased to Rs. 2.46 Crore from Rs. 1.15 Crore in FY 2013-14 while the actual expenses under all the other heads has decreased from FY 2013-14. PTCUL is required to submit the justification for the increase in R&M expenses (under the head 'Building & Civil Works') from FY 2013-14 to FY 2014-15.	The relevant information is attached as Annexure 12.
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SHRAWAN KUMAR SHARMA
DIRECTOR (PROJECTS)
POWER TRANSMISSION CORPORATION
INDIA